



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

December 9, 2019

Re: Animal Welfare Assurance  
A3261-01 [OLAW Case 2J]

Dr. Jan M. Weisenberger  
Senior Associate Vice President  
for Research  
Ohio State University-Columbus  
(b) (4) Bricker Hall, 190 North Oval Mall  
Columbus, OH 43210-1321

Dear Dr. Weisenberger,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 25, 2019 letter regarding noncompliance with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals at The Ohio State University, which had not been preceded by a preliminary report to OLAW. According to the information provided, our office understands that animals were not provided postoperative analgesics, not appropriately monitored, and not removed from the study when they reached the approved criteria for tumor size and ulcerations. The work in question was partially funded by the Public Health Service. The Institutional Animal Care and Use Committee (IACUC) reviewed the issue at a convened meeting on November 15, 2019.

Because much of this incident involved a researcher with a history of noncompliance, corrective measures included revoking animal use privileges for the researcher. In addition, no new studies from this researcher will be permitted until a management plan is submitted, reviewed and approved by the IACUC at a convened meeting.

OLAW concurs with the actions taken by your institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals. We appreciate having been informed of this matter and at this time find no cause for further action by this office. Please note that reports of noncompliance to OLAW should include the species and dates of occurrence when describing the incident. Please include this information in future submissions. Also, please ensure that no charges are made to the PHS grant for any unauthorized animal activities.

Sincerely,

(b) (6)

Nicolette Petervary, VMD, DACAW  
Animal Welfare Policy Specialist  
Office of Laboratory Animal Welfare

cc: IACUC Chair  
Associate Director IACUC/IBC



# THE OHIO STATE UNIVERSITY

November 25, 2019

Brent Morse, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
Rockledge One, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892

Office of Research  
208 Bricker Hall  
190 North Oval Mall  
Columbus, OH 43210-1321

614-292-1582 Phone  
614-292-6602 Fax

research.osu.edu

Janet M. Weisenberger  
Senior Associate  
Vice President for Research  
614-247-4764 Phone

RE: Animal Welfare Assurance #A3261-01, D16-00168

Dear Dr. Morse:

This letter reports an incident of noncompliance with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals at The Ohio State University. The incident involved animals not being provided post-op analgesics, not monitoring animals in a timely manner and not removing animals from the study when they met the approved early removal criteria, due to tumor size and ulcerations. The Institutional Animal Care and Use Committee (IACUC) reviewed this issue at its convened monthly meeting on November 15, 2019.

The majority of this incident involved a research associate in the lab and was similar to incidents that occurred several years earlier involving this individual. The individual had received extensive training regarding post-operative care and monitoring animals. The IACUC reviewed the actions of the investigator and individual and the IACUC voted to revoke the animal use privileges of the individual due to the continuing and serious noncompliance activities. In addition, the investigator is not allowed to conduct any new studies until an updated management plan is submitted and approved by the IACUC at a convened meeting. Some of the work in the protocol is funded by the National Cancer Institute (R01CA198128).

The Ohio State University remains committed to ensuring the proper care and treatment of animals used in research and teaching at the institution. Please contact me at (b) (6) or weisenberger.21@osu.edu if you require any further information.

Sincerely,

(b) (6)

Janet M. Weisenberger, PhD  
Senior Associate Vice President for Research

cc: Valerie Bergdall, OSU Attending Veterinarian  
Peter Reiser, OSU IACUC Chair

(b) (6)

**Morse, Brent (NIH/OD) [E]**

---

**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Tuesday, November 26, 2019 3:54 PM  
**To:** (b) (6) OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: report of noncompliance from D16-00168

Thank you for providing this report. We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

---

**From:** (b) (6)  
**Sent:** Monday, November 25, 2019 2:41 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Subject:** report of noncompliance from D16-00168

Attached is a letter from our Institutional official regarding a recent noncompliance activity at our institution. Please let me know if you have any questions.

(b) (6)



(b) (6)