



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

January 28, 2020

Re: Animal Welfare Assurance
A3085-01 [OLAW Case 1O]

Dr. Theodore F. Taraschi
Senior Associate Provost for
Research Conduct and Compliance
Thomas Jefferson University
1020 Locust Street, (b) (4)
Philadelphia, PA 19107

Dear Dr. Taraschi,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 22, 2020 letter reporting an adverse event with the PHS Policy on Humane Care and Use of Laboratory Animals at the Thomas Jefferson University following up on an initial November 8, 2019 notification by telephone.

According to the information provided, this Office understands that the Thomas Jefferson University determined that an adverse event occurred with respect to: a mouse found dead in a cage during routine animal health checks on September 10, 2019. It was determined that the animal's tail was caught in the feed hopper of the cage, rendering the animal immobile and unable to access food or water. The final report states death likely occurred due to dehydration or starvation. The PI was notified of the incident. It is noted that the funding source was not listed in the final report.

Corrective action included apprising all animal caretakers of the incident and instructing them to be more conscientious during cage change and daily cage inspections. Also, the PI's lab staff was reminded to be careful when closing animal cage lids.

Based on its assessment of this explanation, OLAW understands that the Thomas Jefferson University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

(b) (6)

Jacquelyn T. Tubbs, DVM
Veterinary Medical Officer
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



Jefferson

Philadelphia University +
Thomas Jefferson University

1020 Locust Street
Alumni Hall, Suite M-41F
Philadelphia, PA 19107
T 215-955-3900
F 215-955-4050

January 22, 2020

Brent Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Morse,

Thomas Jefferson University, in accordance with Animal Welfare Assurance #D16-00051 (A3085-01) and PHS Policy IV.F.3., provides this incident report regarding Animal Use Protocol #01495. This incident was first reported to OLAW via a phone call made on November 8, 2019 by (b) (6)

The animal involved in this incident was a mouse. On September 10, 2019, an animal caretaker was conducting routine animal health checks when a deceased mouse was found in one of the Principal Investigator's cages. Upon closer inspection, it was recognized that the animal's tail was caught in the feed hopper of the cage, rendering it immobile and unable to access food and water. The mouse appeared to have died from either dehydration or starvation. The animal was immediately removed from the cage by the caretaker, placed in the morgue, and labeled accordingly. The PI was notified.

As a corrective measure, all animal caretakers were apprised of the situation and instructed to be more conscientious of the condition of the animals while conducting daily cage inspections and cage changes. The PI's laboratory staff was also reminded to be careful while closing animal cage lids, so as to avoid any repeat occurrences.

The financial cost of this incident is negligible and, therefore, the funding source was not informed of the matter.

Thomas Jefferson University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. Should you have any questions regarding this report, please contact (b) (6)

(b) (6) Thank you for your time and consideration.

Sincerely,

(b) (6)

Theodore F. Taraschi, Ph.D.
Senior Associate Provost for Research Conduct and Compliance
Institutional Official
Thomas Jefferson University

HOME OF SIDNEY KIMMEL MEDICAL COLLEGE

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, January 24, 2020 7:23 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: [A3085-01] TJU Final Report - 190910, 190912

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Thursday, January 23, 2020 9:04 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Theodore Taraschi <Theodore.Taraschi@jefferson.edu>; (b) (6)
(b) (6)
Subject: [A3085-01] TJU Final Report - 190910, 190912

Dear Dr. Morse and the Office of Laboratory Animal Welfare,

On behalf of Dr. Theodore Taraschi, Institutional Official, I am writing to provide two final reports for incidents at Thomas Jefferson University. Please see attached. The preliminary reports were made on November 5 and November 8, 2019 respectively. Please don't hesitate to contact us if you have any questions, concerns, or comments.

Sincerely,

(b) (6)



(b) (6)

Report Compliance Concerns Anonymously: 1-833-ONE-CODE (663-2633) or [Jefferson.MyComplianceReport.com](https://jefferson.mycompliancereport.com)

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Tubbs, Jai (NIH/OD) [E]

From: Tubbs, Jai (NIH/OD) [E]
Sent: Monday, January 13, 2020 8:50 AM
To: (b) (6)
Subject: Follow up on preliminary reports for noncompliance

Good morning (b) (6)

I am following up on several open cases from November and one case reported in July 2019. Please provide an interim or final report or status update on the cases described below.

Preliminary report received 07/08/2019 and interim report received 11/25/2019.
Case involved Transverse Aortic Constriction surgery using the rat as an animal model that was not protocol approved.

Preliminary reports received 11/05/2019
Incident involved animal deaths due to dehydration due to defective water bottle/sipper tube.

Preliminary report received 11/08/2019
Incident involved animal found dead in a cage due to lack of access to food and water.

Jacquelyn Tubbs, DVM, DACLAM
Veterinary Medical Officer
Office of Laboratory Animal Welfare
National Institutes of Health

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Initial Report of Noncompliance

By: Jai Tubos

Date: 11/08/2019

Time: 1:41pm

Name of Person reporting: (b) (6)

Telephone #: (b) (6)

Fax #:

Email: (b) (6)

Name of Institution: Thomas Jefferson Univ

Assurance number: ~~D16-00051~~ D16-00051

Did incident involve PHS funded activity? Not sure

Funding component: _____

Was funding component contacted (if necessary): _____

What happened?

In Sept. 2019, PI staff found one mouse dead in cage, tail caught in feed hopper, death due to lack of access to H₂O and feed.

Species involved: mouse

Personnel involved: Yet to be determined if it was research staff vs husbandry staff

Dates and times: September 2019

Animal deaths:

Projected plan and schedule for correction/prevention (if known): _____

IACUC wanted to try to report as adverse event.

Projected submission to OLAW of final report from Institutional Official:

~ 60d

OFFICE USE ONLY

Case # _____