



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive - MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

February 5, 2017

Re: Animal Welfare Assurance
#A3124-01 (OLAW Case 1K)

Dr. Wesley Byerly
Associate Vice President for Research Integrity and
Regulatory Affairs
University of Connecticut
263 Farmington Avenue, MC 1524
Storrs, CT 06030

Dear Dr. Byerly,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your February 2, 2018 letter reporting a serious deviation from the provisions of the *Guide for the Care and Use of Laboratory Animals* at the University of Connecticut- Storrs. According to the information provided, OLAW understands that during one weekend day the animal check for one facility had not been performed. No problems occurred with the animals due to this incident.

The corrective actions consisted of assigning teams of at least two animal caretakers to complete daily health checks and animal care to ensure that all areas are covered.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals. In future please indicate the species involved. Thank you for informing OLAW about this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



February 2, 2018

Axel Wolff, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

RE: Animal Welfare Assurance #A3124-01

Dear Dr. Wolff:

I am writing to report an incident of non-compliance at the University of Connecticut/Storrs campus that was discussed by our IACUC at their regularly convened January 31, 2018 meeting. The event occurred on December 31, 2017. During rounds of the AgBiotech Vivarium on January 1, 2018, Animal Care Services (ACS) staff noted that documentation of daily checks for that facility was missing for the 31st. Further investigation by ACS management revealed that the ACS staff member assigned to the daily checks on December 31, 2017 forgot to attend to that building on that day. No adverse effects for any of the animals present were noted on January 1; the animals had been in ventilated cages with food and water available at all times. ACS management has instituted a new practice to address the potential for "overlooking" an area during the more truncated weekend daily checks. Going forward, teams of staff will be assigned to a particular building (typically 2 individuals) to complete the daily checks and necessary animal care. In this way, the team can work together to ensure all of the facilities are being checked as expected, and the potential for communication failures leading staff to assume that "someone else checked 'X' building" will be eliminated. This new practice was instituted immediately following the incident, and the IACUC found it to be an appropriate solution to prevent recurrence of such an event.

I believe that we have taken appropriate corrective action. This will be my final report on this matter unless you request additional information or actions.

Sincerely,
Wesley G. Byerly, Pharm.D.

(b) (6)

Associate Vice President for Research Integrity and
Regulatory Affairs/Institutional Official

Office of the Vice President for Research
438 WHITNEY ROAD EXTENSION, UNIT 1006
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Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, February 02, 2018 2:10 PM
To: More, Karen; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Byerly, Wesley (byerly@uchc.edu) (b) (6)
Subject: RE: Non-compliance Report A3124-01

Thank you for this report. We will send an official response soon. A hard-copy is not required.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: More, Karen [mailto:karen.more@uconn.edu]
Sent: Friday, February 02, 2018 12:51 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Byerly, Wesley (byerly@uchc.edu) <byerly@uchc.edu>; (b) (6)
Subject: Non-compliance Report A3124-01
Importance: High

Dear Dr. Wolff,

I have attached a written report from the UConn/Storrs Institutional Official (IO) documenting an incident of non-compliance that occurred at the University of Connecticut-Storrs. If your office requires a hard copy of this letter in addition to the attached pdf version, please let me know.

If you have additional questions, please don't hesitate to contact us.

Sincerely,
Karen

Karen Moré, CPIA
IACUC Executive Program Director
Office of the Vice President for Research
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