



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive - MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

July 13, 2017

Re: Animal Welfare Assurance
#A3100-01 [OLAW Case 1H]

Ms. Nancy L. Lewis
Assistant Vice President for Research Compliance
State University of New York - Binghamton
P.O. Box 6000
Binghamton, NY 13902-6000

Dear Mr. Parker:

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your July 7, 2017 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Binghamton University. According to the information provided, OLAW understands that seven rat pups were placed in an incubator for later euthanasia, but personnel failed to follow through and the animals died.

The corrective actions consisted of requiring that the incubator is checked daily for the presence of pups. The rat breeding colony spreadsheet is now shared with the veterinarian who will also regularly inspect the incubator.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

Axel Wolff, M.S., D.V.M.
Director
Division of Compliance Oversight

cc: IACUC Chair

July 7, 2017

Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Dear Director,

Binghamton University, in accordance with **PHS Assurance #D16-00063** and PHS Policy IV.F3., is reporting an incident of noncompliance associated with NIAAA funding award numbers P50AA017823-07 and U01AA019972-06.

On May 3, 2017, per the lab's breeding colony procedures, an undergraduate student placed seven (7) one-day old rats in an incubator for euthanasia to be performed later the same day by a graduate student. The undergraduate failed to communicate that pups were in the incubator, and the graduate student did not look in the incubator until May 8 when he had other pups to cull and found the neonatal remains.

The Investigator immediately revised the colony culling procedure requiring the graduate student in charge of the colony to check the incubator daily for pups. Also, a spreadsheet of daily breeding activities is now shared with the Veterinarian. The Veterinarian now inspects the incubator on routine rounds.

Please contact us if additional information is needed. We appreciate your guidance to prevent any recurrence

Sincerely,


Nancy L. Lewis
Assistant Vice President for Research Compliance
Institutional Official

cc: Dr. Bishop, Dr. Kal-Downs

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, July 10, 2017 8:48 AM
To: 'Nancy L Lewis'
Subject: RE: Binghamton University-noncompliance report

Thank you for this report. We will respond soon.
Axel Wolff

From: Nancy L Lewis [mailto:nlewis@binghamton.edu]
Sent: Friday, July 07, 2017 3:09 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: Binghamton University-noncompliance report

Director Wolff,

Binghamton University, in accordance with PHS Assurance #D16-00063 and PHS Policy IV.F3., is reporting an incident of noncompliance associated with NIAAA funding award numbers P50AA017823-07 and U01AA019972-06.

Please do not hesitate to contact me should you have any questions.

Respectfully,

Nancy Lewis
Assistant Vice President for Research Compliance
Binghamton University
Ph. Phone Number
Fax. Phone Number
nlewis@binghamton.edu