



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

January 23, 2020

Re: Animal Welfare Assurance
A3255-01 [OLAW Case 2E]

Dr. Christopher S. Brown
Institutional Official
Vice President for Research
University of Alabama- Birmingham
1720 2nd Ave. S, 720C
Birmingham, AL 35294-0107

Dear Dr. Brown,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 8, 2020 letter reporting an unexpected event associated with the animal care and use program at the University of Alabama at Birmingham (UAB). Your letter supplements the information provided in the prompt preliminary telephone report on July 29, 2019. According to the information provided, OLAW understands that on July 17, 2019 two pigs died due to hyperthermia while being transported from UAB to another institution. The pigs were transported using a USDA-permitted intermediate handler/transporter. It is further understood that the USDA has been informed of this incident.

OLAW appreciates the transparency of this matter by the University of Alabama at Birmingham. Based on the information provided, OLAW understands that the welfare and care of the animals was the responsibility of the intermediate handler/transporter. We assume that prudent precautions will be put in place by UAB if this handler/transporter is considered again for shipping pigs. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



THE UNIVERSITY OF ALABAMA AT BIRMINGHAM

Institutional Animal Care and Use Committee (IACUC)

January 8, 2020

Brent Morse, D.V.M.
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
6705 Rockledge Drive
RKL1, Suite 360, MSC 7982
Bethesda, Maryland 20892-7982
Assurance # A3255-01

Dear Dr. Morse:

This is a follow up to the preliminary report made to OLAW in July of 2019. The preliminary report follows OLAW guidance and provides complete transparency related to this unexpected event during transport of animals from the University of Alabama at Birmingham (UAB) to Emory University on July 17, 2019. The investigation concluded that UAB followed protocol for animal transport by using a USDA permitted intermediate handler/transporter who became the sole responsible party related to animal welfare once they took receipt. Hyperthermia during transport was determined as the cause of death. The UAB IACUC was informed about this transport event, but since it was determined to be the Intermediate Handler who bore the responsibility for the animal welfare, no recommendations or corrective actions are required. The matter was reported, at the time, to the USDA but we have no additional information related to their review.

If you require additional information or clarification, please do not hesitate to let me know.

Sincerely,

(b) (6)

Christopher S. Brown, Ph.D.
Institutional Official and Vice President for Research

cc: Samuel C. Cartner, D.V.M., Ph.D., ARP Director
Robert A. Kesterson, Ph.D., IACUC Chair
David Cannon, B.A., C.P.I.A., IACUC Director

Institutional Animal Care and Use Committee
403 Community Health
933 19th St S
205.934.7692
FAX 205.934.1188

Mailing Address:
403 CH19
1530 3RD AVE S
BIRMINGHAM AL 35294-2041

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, January 14, 2020 4:04 PM
To: Cannon, David Grove; OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final report to OLAW following prelim report

Thank you for this final report Mr. Cannon. We'll send you an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: Cannon, David Grove [mailto:dgcannon@uab.edu]
Sent: Tuesday, January 14, 2020 3:20 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: Final report to OLAW following prelim report

Dr. Morse,

Attached is the UAB IACUCs follow-up noncompliance Final incident report for Assurance A3255-01 sent on behalf of Christopher S. Brown, UAB's Institutional Official. These is one compliance issues. Please let me know if you have any questions that I can answer or provide additional clarity.

Sincerely,

David

David Cannon, CPIA | Director IACUC
Institutional Animal Care and Use Committee
UAB | The University of Alabama at Birmingham
(b) (4) 933 19th St. South | Birmingham, AL 35294-2041
P: (b) (6) dgcannon@uab.edu



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Initial Report of Noncompliance

By: [redacted] (b) (6)

Date: 7/29/19

Time: Voicemail

Name of Person reporting: David Cannon

Telephone #: [redacted] (b) (6)

Fax #:

Email:

Name of Institution: UAB

Assurance number: A3255

Did incident involve PHS funded activity? _____

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? Pigs transported to Emory U. Two pigs died in transport on USDA licensed transport courier.

Species involved: Pigs

Personnel involved:

Dates and times:

Animal deaths:

Projected plan and schedule for correction/prevention (if known): _____

Reported to USDA VMD

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____