



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

August 30, 2019

Re: Animal Welfare Assurance
A3255-01 [OLAW Case 2F]

Dr. Christopher S. Brown
Institutional Official
Vice President for Research
University of Alabama- Birmingham
1720 2nd Ave. S, 720C
Birmingham, AL 35294-0107

Dear Dr. Brown,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your August 26, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Alabama at Birmingham. According to the information provided, OLAW understands that 75 mice which had undergone a survival surgical procedure were given a non-pharmaceutical grade analgesic. No adverse effects were noted with the mice.

The corrective actions consisted of stopping further surgeries until the appropriate analgesic was obtained. The Principal Investigator and staff were counseled on following institutional policies.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair
Director IACUC



THE UNIVERSITY OF ALABAMA AT BIRMINGHAM
Institutional Animal Care and Use Committee (IACUC)

A3255-2F

August 26, 2019

Brent Morse, D.V.M.
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
6705 Rockledge Drive
RKL1, Suite 360, MSC 7982
Bethesda, Maryland 20892-7982
Assurance # A3255-01

Dear Dr. Morse:

Below is the description of unapproved deviations from the Guide for the Care and Use of Laboratory Animals and/or the PHS Policy identified and discussed at the July 31, 2019 IACUC full committee meeting. The incident of non-compliance was identified through post approval monitoring. Described corrective action for the incident is also included.

Failure to follow the IACUC/Institutional policy: A routine laboratory audit conducted by the Compliance Coordinator determined that approximately 75 mice had undergone a surgical procedure without providing a pharmaceutical grade analgesic. Animals were to receive pharmaceutical grade Buprenorphine for postoperative pain management, which was available for order; however, the audit discovered that the laboratory was using a non-pharmaceutical grade Buprenorphine instead of following the institutional policy. No adverse effects were noted in the animals' health status records, and all surgical procedures were halted until the correct pharmaceutical grade analgesic could be acquired. Corrective actions: The laboratory staff (including the PI) received counseling by the Compliance Coordinator on the importance of knowing and following the institutional policies related to the use of pharmaceutical grade compounds. This incident-involved work funded by NIH award RF1AG056815.

If you require additional information or clarification, please do not hesitate to let me know.

Sincerely,

(b) (6)

Christopher S. Brown, Ph.D.
Institutional Official and Vice President for Research

cc: Samuel C. Cartner, D.V.M., Ph.D., ARP Director
Robert A. Kesterson, Ph.D., IACUC Chair
David Cannon, B.A., C.P.I.A., IACUC Director
Gary L. Borkowski, D.V.M., M.S., Global Director, AAALAC International

Institutional Animal Care and Use Committee
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Retrieved from Animal Research Laboratory Overview (ARLO)

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, August 27, 2019 9:38 AM
To: Cannon, David Grove; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Cartner, Samuel Corbin; Kesterson, Robert Allen
Subject: RE: OLAW A3255-01 noncompliance report July 2019.pdf

Thank you for providing this report Mr. Cannon. We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: Cannon, David Grove [mailto:dgcannon@uab.edu]
Sent: Tuesday, August 27, 2019 8:27 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: 'accredit@aaalac.org' <accredit@aaalac.org>; Cartner, Samuel Corbin <scartner@uab.edu>; Kesterson, Robert Allen <kesterson@uab.edu>
Subject: OLAW A3255-01 noncompliance report July 2019.pdf

Dear Dr. Morse,

Attached is the UAB IACUCs July noncompliance Final incident report for Assurance A3255-01 sent on behalf of Christopher S. Brown, UAB's Institutional Official. These is one compliance issues. Please let me know if you have any questions that I can answer or provide additional clarity.

Sincerely,

David

David Cannon, CPIA | Director IACUC
Institutional Animal Care and Use Committee
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