



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

May 18, 2020

Re: Animal Welfare Assurance
A3255-01 [OLAW Case 2I]

Dr. Christopher S. Brown
Institutional Official
Vice President for Research
University of Alabama- Birmingham
1720 2nd Ave. S, 720C
Birmingham, AL 35294-0107

Dear Dr. Brown,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 11, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Alabama at Birmingham (UAB). This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the UAB Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to the IACUC-approved protocol. The final report states that UAB Facilities/Housekeeping staff reported to the IACUC office that animals were found in a lab during early morning hours in a location not usually used for housing rodents. It was determined that 2 cages of mice scheduled for euthanasia the day before were found in the lab. The lab technician ran out of time and housed the mice overnight in the lab. The lab location was not listed as a procedure area in the approved protocol. Also, no outside housing has been approved by the IACUC. The incident was discussed during the March 2020 IACUC full committee meeting per the report. The corrective actions included the following:

- The principal investigator submitted a modification to add the laboratory location as a procedure. The request did not include outside housing.
- Additional counseling/training for the lab will be required.
- An unannounced lab audit will be conducted.

It is noted that this research is supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Alabama at Birmingham has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T.
Tubbs -S

Digitally signed by Jacquelyn
T. Tubbs -S
Date: 2020.05.27 07:50:41
-04'00'

Jacquelyn T. Tubbs, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

Obtained by Rise for Animals. Uploaded 08/24/2020

Retrieved from Animal Research Laboratory Overview (ARLO)

**UAB THE UNIVERSITY OF
ALABAMA AT BIRMINGHAM**
Institutional Animal Care and Use Committee (IACUC)

May 11, 2019

Brent Morse, D.V.M.
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
6705 Rockledge Drive
RKL1, Suite 360, MSC 7982
Bethesda, Maryland 20892-7982
Assurance # A3255-01

Dear Dr. Morse:

Below is the description of an unapproved deviation from the Guide for the Care and Use of Laboratory Animals and/or the PHS Policy discussed during the March (2020) IACUC full committee meeting. The non-compliance was reported to the IACUC office by University of Alabama at Birmingham (UAB) Facilities/Housekeeping staff. The report stated that animals were found in a lab during early morning hours in a location not normally used for housing rodents. The described corrective actions for the incident are included below.

Two cages of mice (approximately 10-12 mice total) were scheduled to be euthanized the day before they were found in the lab. However, the laboratory technician ran out of time and decided to house the mice overnight in the lab. The lab location was not listed as a procedure area in the approved protocol, and no outside housing had been approved by the UAB IACUC.

Corrective actions include the PI submitting a modification to add the laboratory location for the procedure but will not request outside housing. Additional training/counseling for the lab will be required, and an unannounced lab audit will be performed. The project is Federally funded through award # K01AG048264.

If you require additional information or clarification, please do not hesitate to let me know.

Sincerely,

(b) (6)

Christopher S. Brown, Ph.D.
Institutional Official and Vice President for Research

cc: Samuel C. Cartner, D.V.M., Ph.D., ARP Director
Robert A. Kesterson, Ph.D., IACUC Chair
David Cannon, B.A., C.P.I.A., IACUC Director

(b) (6)
AAALAC International

Institutional Animal Care and Use Committee
403 Community Health
933 19th St S
205.934.7692
FAX 205.934.1188

Mailing Address:
403 CH19
1530 3RD AVE S
BIRMINGHAM AL 35294-2041

Obtained by Rise for Animals. Uploaded 08/24/2020

Retrieved from Animal Research Laboratory Overview (ARLO)

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, May 13, 2020 7:34 AM
To: Cannon, David Grove
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: March 2020 non-compliance issue

Thanks for this report, David. We'll reply soon. Hope all is well with you.
Axel Wolff

From: Cannon, David Grove <dgcannon@uab.edu>
Sent: Monday, May 11, 2020 3:30 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: 'accredit@aaalac.org' <accredit@aaalac.org>; Cartner, Samuel Corbin <scartner@uab.edu>; Kesterson, Robert Allen <kesterson@uab.edu>
Subject: FW: March 2020 non-compliance issue

Dear Dr. Morse,

Attached is the UAB IACUCs March 2020 noncompliance incident report for Assurance A3255-01 sent on behalf of Christopher S. Brown, UAB's Institutional Official. These is one compliance issues. Please let me know if you have any questions that I can answer or provide additional clarity.

Sincerely,

David

David Cannon, CPIA | Director IACUC
Institutional Animal Care and Use Committee
UAB | University of Alabama at Birmingham
(b) (4) | 933 19th St. South | Birmingham, AL 35294-2041
P: (b) (6) | dgcannon@uab.edu

uab.edu
Knowledge that will change your world