



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

October 22, 2018

Re: Animal Welfare Assurance
A3878-01 [OLAW Case C]

James Coleman, Ph.D.
Provost and Executive Vice Chancellor
For Academic Affairs
University of Arkansas
(b) (4) Administration Building
Fayetteville, AR 72701

Dear Dr. Coleman,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 12, 2018 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Arkansas. According to the information provided, OLAW understands that on October 8, 2018 your IACUC suspended a protocol for 14 days due to inadequate oversight of animal work. The researcher was under probations at the time. It was not stated if the animal activity was, or was not, funded by the PHS.

Corrective and preventive measures include placing all naïve animals under the care of the animal care staff during the suspension. Animals undergoing experimental treatment will continue under the direct supervision of the Attending Veterinarian. Another individual must be identified by the researcher and approved by the IACUC to provide oversight of the animal work. The probationary period was extended by six months.

OLAW believes that the corrective and preventative measures put in place by the University of Arkansas are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. In future reports, please state the species of animal involved and whether or not the associated research was funded by the PHS or NSF. If this was a PHS-funded study, ensure that no animal-related research costs are charged to the grant during the period of protocol suspension. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



UNIVERSITY OF ARKANSAS

Office of the Provost and Executive Vice Chancellor for Academic Affairs

October 12, 2018

Assurance Number A3878-01

Axel Wolff, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
6705 Rockledge Drive
Bethesda, MD 20892

Dear Dr. Wolff,

Pursuant to the requirements of the PHS Policy on Humane Care and Use of Laboratory Animals, this letter serves as a voluntary reporting of the suspension of a protocol involving the use of animals. The letter contains a description of the activities prompting this letter and the actions taken by the University of Arkansas to address the issues.

Protocol AUP 18063, *Terahertz imaging for breast cancer assessment*, was suspended temporarily on October 8, 2018 for a period of 14 days. The reason for this temporary suspension is that adequate oversight of animal work was not being provided in accordance with the terms of probation under which the researcher was operating.

The following corrective actions have been taken:

1. All naïve animals on this protocol have been placed under the care of animal care staff during the 14-day suspension. No experimental procedures will be performed on these animals during this period.
2. Work involving animals that have already undergone experimental treatment will be allowed to continue under the direct supervision of the Attending Veterinarian.
3. The researcher has 14 days to identify another individual who will provide oversight of the researcher's animal work. The selection of this individual is subject to approval by the IACUC.
4. The researcher's probationary period is extended by six months.

Should you have any additional questions or suggestions, please feel free to contact me at jscolema@uark.edu.

Regards,

(b) (6)

James Coleman, Ph.D.
Provost and Executive Vice Chancellor for Academic Affairs

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Retrieved from Animal Research Laboratory Overview (ARLO)

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, October 18, 2018 10:46 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Jim Coleman; (b) (6)
Subject: RE: Notification Letter

Thank you for contacting OLAW (b) (6) We have received the letter dated October 12, 2018 and will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Thursday, October 18, 2018 10:37 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Jim Coleman <jscolema@uark.edu>; (b) (6)
Subject: Notification Letter

Dear Dr. Wolff,

I'm following to confirm receipt of a notification letter sent to you from Provost Jim Coleman at the University of Arkansas. The letter was sent via email on Friday, October 12. I have attached a copy again here for your convenience.

Respectfully,

(b) (6)