

DEPARTMENT OF HEALTH & HUMAN SERVICES

FOR US POSTAL SERVICE DELIVERY: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500, MSC 6910 Bethesda, Maryland 20892-6910 Home Fage: http://grants.nih.gov/grants/olaw/olaw.htm

January 21, 2020

PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR EXPRESS MAIL: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500 Bethesda, Maryland 20817 Telephone: (301) 496-7163 Faesimile: (301) 402-7065

Re: Animal Welfare Assurance A3269-01 [OLAW Case 3G]

Dr. Alison Lakin Associate Vice Chancellor for Regulatory Compliance University of Colorado Health Sciences Center 13001 East 17th Place Anschutz Medical Campus Bldg. ^{(b) (4)} Denver, Colorado 80262

Dear Dr. Lakin,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 14, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Colorado- Denver. According to the information provided, OLAW understands that mice were subjected to tail clipping after 21 days of age, which violates Institutional Animal Care and Use Committee (IACUC) policy. The individual responsible was also involved in other types of noncompliance including overcrowding cages and incorrectly identifying the sex of mice.

The corrective actions consisted of IACUC co-chairs meeting with the Principal Investigator and the individual, removing the individual from managing the majority of the breeding colony, and retraining the individual on sexing mice and preventing overcrowding.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the IACUC to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M. Deputy Director Office of Laboratory Animal Welfare

cc: IACUC Chair

43269-36

University of Colorado Denver | Anschutz Medical Campus Office of the Institutional Animal Care & Use Committee (IACUC)

Institutional Animal Care & Use Committee Office for Research Committee Support Mail Stop F489 13001 E. 17th Place Aurora, CO 80045 303-724-1055 www.ucdenver.edu/animal

January 14, 2020

Animal Welfare Assurance # D16-00171

Brent Morse, DVM Division of Compliance Oversight Office of Laboratory Animal Welfare Rockledge One, Suite 360 6705 Rockledge Drive – MSC 7892 Bethesda, Maryland 20892-7982

Dear Dr. Morse;

The Institutional Animal Care and Use Committee (IACUC) at the University of Colorado Denver | Anschutz Medical Campus received a report of an animal welfare incident; specifically mice were tail clipped after the standard 21-day timeframe set by CU Denver IACUC policy. This is not the only infraction by this associate. At other times, overcrowded cages have been reported, and animals have been incorrectly sexed.

At a convened meeting of a quorum of the IACUC, a majority of the quorum present determined that a meeting with the PI, the associate and the IACUC Co-Chairs be held. This has been done. Management of the majority of the breeding colony has been taken away from the associate. Additionally, training on how to properly manage a breeding colony has been done, including properly sexing mice and how to prevent overcrowding.

The IACUC determined to accept the actions as taken and further determined that the Office of Laboratory Animal Welfare (OLAW) be informed of this incident. (NIH Grant #5PO1HL014985)

Please let me know if you require further information.

Sincerely,

Alison Lakin RN, LLB, LLM, PhD

Associate Vice Chancellor for Regulatory Compliance University of Colorado Denver | Anschutz Medical Campus

(b) (6)

Wolff, Axel (NIH/OD) [E]

From:	OLAW Division of Compliance Oversight (NIH/OD)
Sent:	Tuesday, January 21, 2020 12:46 PM
To:	Douse, Mark
Cc:	OLAW Division of Compliance Oversight (NIH/OD)
Subject:	RE: UC Denver (D16-00171)

Thanks, I received both and will send a response soon. Axel Wolff

From: Douse, Mark <MARK.DOUSE@CUANSCHUTZ.EDU> Sent: Tuesday, January 21, 2020 11:18 AM To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov> Subject: RE: UC Denver (D16-00171)

My apologies.

Please see attached for the two animal reports for your review.

Thanks !

Mark

Mark A. Douse, PhD Director Office for Research Committee Support University of Colorado Denver | Anschutz Medical Campus

Voice:

University of Colorado Deriver | Anschutz Medical Campus

(b) (6)

Please note that my email address has changed to mark.douse@cuanschutz.edu

From: OLAW Division of Compliance Oversight (NIH/OD) <<u>olawdco@od.nih.gov</u>> Sent: Tuesday, January 21, 2020 5:10 AM To: Douse, Mark <<u>MARK.DOUSE@CUANSCHUTZ.EDU</u>> Cc: OLAW Division of Compliance Oversight (NIH/OD) <<u>olawdco@od.nih.gov</u>> Subject: RE: UC Denver (D16-00171)

Thank you for this report, Dr. Douse. There was only one letter attached so please forward the other one.

Axel Wolff, M.S., D.V.M. Deputy Director, OLAW

From: Douse, Mark <<u>MARK.DOUSE@CUANSCHUTZ.EDU</u>> Sent: Monday, January 20, 2020 1:32 PM

1

Obtained by Rise for Animals. Uploaded 08/24/2020

To: OLAW Division of Compliance Oversight (NIH/OD) <<u>olawdco@od.nih.gov</u>> Subject: UC Denver (D16-00171)

Animal Welfare Assurance D16-00171

Dear Dr. Morse;

.

Hope you are well.

Please find attached two animal reports for your review.

If you have any questions, please do not hesitate to contact me.

Sincerely,

Mark Douse

Mark A. Douse, PhD Director Office for Research Committee Support University of Colorado Denver | Anschutz Medical Campus

Voice:

(b) (6)

University of Colorado Deriver (Anschultz Medical Campus

Please note that my email address has changed to mark.douse@cuanschutz.edu

2