



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Fax: (301) 402-7065

December 19, 2018

Re: Animal Welfare Assurance
A3158-01 [OLAW Case Z]

Judith A. Neubauer, Ph.D.
Associate Vice President for Research Regulatory Affairs
University of Medicine and Dentistry of New Jersey
New Jersey Medical Schools
65 Bergen Street
SSB 519, PO Box 1709
Newark, NJ 07101-1709

Dear Dr. Neubauer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 5, 2018 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rutgers University. Your letter supplements the information in the initial phone report on November 28, 2018. According to the information provided, OLAW understands that on May 29 and June 11, 2018 a live rat was found in a clear plastic bag in a carcass refrigerator. One rat on each date. It was not possible to determine who had been responsible for each incident nor was it possible to determine if the animals were assigned to a project funded by the PHS.

Corrective and preventive actions included retraining all members of all labs that use rats and also use that carcass refrigerator. The training included the importance of using specific secondary methods to confirm death in rodents as described in the approved protocol(s).

The prompt consideration of this matter by Rutgers University was consistent with the philosophy of institutional self-regulation. Similarly, the action taken to resolve the issue was appropriate. Although it could not be determined if this activity was PHS funded, the application of the standards of the PHS Policy across the animal care and use program reduces any potential appearance of a double standard. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Chair
Laszlo M. Szabo, Esq., Dir. Office of Regulatory Affairs

December 5, 2018

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Re: Animal Welfare Assurance Number D16-00098 (A3158-01)

Dear Dr. Morse,

Rutgers University wishes to make a final report in which two live rats were found in a carcass refrigerator. The IACUC Manager first reported the incident via telephone call on November 28, 2018.

On May 29, 2018 and June 11, 2018 one live rat was found on each date in a clear plastic bag in a carcass refrigerator in the central animal facility. Neither rat had identification marks or had apparent surgical or other procedures conducted, so Comparative Medicine Resources (CMR) was unable to identify the lab responsible for either rat.

The following actions were taken in response to this incident:

- All lab members of all labs that use rats and also use that carcass refrigerator were retrained, as it was not possible to determine which lab was responsible. The retraining included the importance of using specific secondary methods to confirm death in rodents, as described in the approved protocol(s).
- At its regular meeting on July 10, 2018, the IACUC reviewed and discussed this incident and unanimously voted to report this matter to OLAW. The IACUC also voted to accept the above noted corrective actions.

Please note that it is not possible to determine the funding for these two rats, as it was not possible to determine which lab was responsible for them.

Please contact me with any questions.

Sincerely,

(b) (6)

Judith Neubauer, Ph.D.
Institutional Official
Associate Vice President for Research Regulatory Affairs

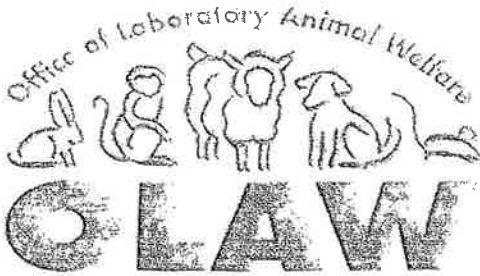
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Initial Report of Noncompliance

By: BMDate: 11/28/18Time: 3:00
(b) (6)Name of Person reporting: [REDACTED]Telephone #: [REDACTED]Fax #: [REDACTED]Email: [REDACTED]Name of Institution: Rutgers U. - NewarkAssurance number: A3158Did incident involve PHS funded activity? ?

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? Single live rat in carcass refriger.
One each on 2 days.Species involved: Rat

Personnel involved: _____

Dates and times: 5/29 + 6/11/18

Animal deaths: _____

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official: _____

OFFICE USE ONLY

Case # _____