



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

February 27, 2019

Re: Animal Welfare Assurance
A3158-01 [OLAW Case 11]

Judith A. Neubauer, Ph.D.
Associate Vice President for Research Regulatory Affairs
University of Medicine and Dentistry of New Jersey
New Jersey Medical Schools
65 Bergen Street
SSB 519, PO Box 1709
Newark, NJ 07101-1709

Dear Dr. Neubauer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your February 22, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rutgers University. Your letter supplements the information in the initial phone report on February 4, 2019. According to the information provided, OLAW understands that on December 11, 2018 the University's Comparative Medicine Resources (CMR) found a deceased mouse outside of its cage. It was determined that it was a lab mouse and was suspected that the mouse moved outside of the cage during research handling. It was noted that this study was supported by PHS funds.

Corrective and preventive actions included the lab members, the PI, and CMR staff being re-trained in the handling of animals during cage cleaning or cage assignment, including frequency of performing census.

The prompt consideration of this matter by Rutgers University was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to resolve the issues were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Chair
Laszlo M. Szabo, Esq., Dir. Office of Regulatory Affairs

February 22, 2018

Via Email

Brent C. Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982
morseb@mail.nih.gov

Re: Animal Welfare Assurance Number D16-00098 (A3158-01)

Dear Dr. Morse,

Rutgers, The State University of New Jersey hereby makes the final report for the following incident, reported to OLAW via telephone on February 4, 2019. On December 11, 2018, the University's Comparative Medicine Resources (CMR) found a deceased mouse outside of its cage. An investigation, including a census count, supports the finding that this was a lab mouse (and not a rodent). It is suspected that the mouse moved out of the cage (e.g., during research handling). These mice were control animals under an approved protocol.

The following actions were taken in response to this incident:

- The Principal Investigator, lab members, as well as CMR staff, were re-trained in the handling of animals during cage cleaning or cage assignment, including frequency of performing census.
- At its regularly convened meeting on January 8, 2019, the IACUC reviewed and discussed this incident and unanimously voted to report this matter to OLAW. The IACUC also voted to accept the above noted corrective actions.

Please note that this study was supported by NIH funds, and as you requested, the grant number is: NIH U19-AI111276.

Sincerely,

(b) (6)

Judith Neubauer, Ph.D.
Institutional Official
Associate Vice President for Research Regulatory Affairs

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, February 25, 2019 8:53 AM
To: Laszlo Szabo; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Judith Neubauer; (b) (6)
Subject: RE: Final reports for Assurance # D16-00098 (A3158-01)

Thank you for providing these final reports Mr. Szabo. We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: Laszlo Szabo [mailto:lszabo@ored.rutgers.edu]
Sent: Saturday, February 23, 2019 2:55 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Judith Neubauer <neubauer@rwjms.rutgers.edu>; (b) (6)
(b) (6)
Subject: Final reports for Assurance # D16-00098 (A3158-01)

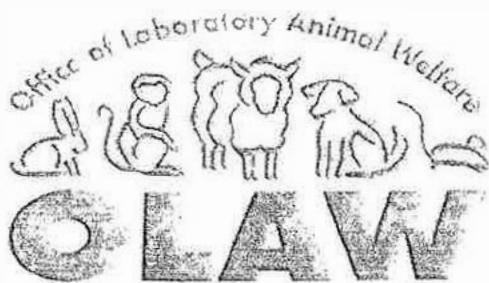
Division of Compliance Oversight,

Attached are three final reports for reportable events under Assurance # D16-00098 (A3158-01). Please let me know if you have any questions.

Regards,

--
László M. Szabó, Esq.
Director, Office of Research Regulatory Affairs
"Your resource in the responsible conduct of research."
RWJMS Research Tower
675 Hoes Lane West, (b) (4)
Piscataway, NJ 08854
Office: (b) (6) | Mobile: (b) (6) | Fax: (b) (6)

****Nothing in this email is intended as legal advice of counsel****



Initial Report of Noncompliance

By: EBMDate: 2/4/19Time: 9:00Name of Person reporting: Laslo Szabo Esq.Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Rutgers U. - NewarkAssurance number: A3158Did incident involve PHS funded activity? NIH

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? BSL 3 facility, One mouse found dead on floor of animal room, During cage change.

Species involved: MousePersonnel involved: ?Dates and times: 12/11/18

Animal deaths:

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____