



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

February 27, 2019

Re: Animal Welfare Assurance
A3158-01 [OLAW Case IG]

Judith A. Neubauer, Ph.D.
Associate Vice President for Research Regulatory Affairs
University of Medicine and Dentistry of New Jersey
New Jersey Medical Schools
65 Bergen Street
SSB 519, PO Box 1709
Newark, NJ 07101-1709

Dear Dr. Neubauer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your February 22, 201[9] letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rutgers University. Your letter supplements the information in the initial phone report on February 4, 2019. According to the information provided, OLAW understands that on December 18, 2018 a PI reported that three rabbits were not given an evening dose of post-operative analgesia and anti-microbial application. This was due to the responsible lab member's automobile breaking down. It was noted that this study was supported by NSF funds under award #1700945.

Corrective and preventive actions included directing lab members and the PI to have on-hand the phone numbers for relevant University Comparative Medicine Resources personnel who may administer post-operative care under the approved protocol in such unexpected situations.

The prompt consideration of this matter by Rutgers University was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to resolve the issues were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Chair
Laszlo M. Szabo, Esq., Dir. Office of Regulatory Affairs
NSF Animal Welfare Officer

February 22, 2018

Via Email

Brent C. Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982
morseb@mail.nih.gov

Re: Animal Welfare Assurance Number D16-00098 (A3158-01)

Dear Dr. Morse,

Rutgers, The State University of New Jersey hereby makes the final report for the following incident, reported to OLAW via telephone on February 4, 2019. On December 18, 2018, the Principal Investigator reported that three rabbits missed an evening dose of post-operative analgesia and anti-microbial application. This was a result of the responsible lab member's automobile unexpectedly breaking down. The rabbits experienced no long-term harm.

The following actions were taken in response to this incident:

- Lab members and the Principal Investigator were directed to have on-hand the phone number for relevant University Comparative Medicine Resources personnel who in such unexpected situations may administer post-operative care under the approved protocol.
- At its regularly convened meeting on January 8, 2018, the IACUC reviewed and discussed this incident and unanimously voted to report this matter to OLAW and USDA. The IACUC also voted to accept the above noted corrective actions.

Please note that this study was supported by NSF funds under award: #1700945.

Sincerely,

(b) (6)

Judith A. Neubauer, Ph.D.
Institutional Official
Associate Vice President for Research Regulatory Affairs

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, February 25, 2019 8:53 AM
To: Laszlo Szabo; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Judith Neubauer; (b) (6)
Subject: RE: Final reports for Assurance # D16-00098 (A3158-01)

Thank you for providing these final reports Mr. Szabo. We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: Laszlo Szabo [mailto:lszabo@ored.rutgers.edu]
Sent: Saturday, February 23, 2019 2:55 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Judith Neubauer <neubauer@rwjms.rutgers.edu>; (b) (6)
(b) (6)
Subject: Final reports for Assurance # D16-00098 (A3158-01)

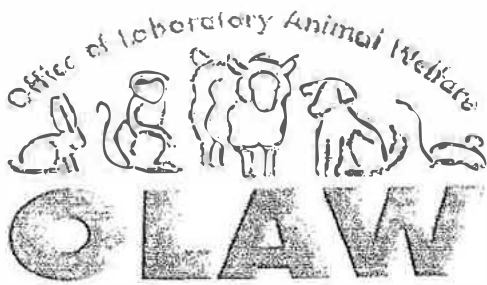
Division of Compliance Oversight,

Attached are three final reports for reportable events under Assurance # D16-00098 (A3158-01). Please let me know if you have any questions.

Regards,

--

László M. Szabó, Esq.
Director, Office of Research Regulatory Affairs
"Your resource in the responsible conduct of research."
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675 Hoes Lane West, (b) (4)
Piscataway, NJ 08854
Office: (b) (6) | Mobile: (b) (6) | Fax: (b) (6)
Nothing in this email is intended as legal advice of counsel



Initial Report of Noncompliance

By: Date: 2/4/19Time: 9:00Name of Person reporting: Carlo Szabo, Esq.Telephone #: (b)(6)Fax #: Email: Name of Institution: Rutgers U. - NewarkAssurance number: A3158Did incident involve PHS funded activity? NSFFunding component: Was funding component contacted (if necessary):

What happened? 3 rabbits each missed evening dose of analgesic + ABO due to lab member car issues.

Species involved: RabbitsPersonnel involved: ResearcherDates and times: 12/12/18Animal deaths: NoProjected plan and schedule for correction/prevention (if known): Requested NSF grant #Projected submission to OLAW of final report from Institutional Official:

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Case #