



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

February 26, 2020

Re: Animal Welfare Assurance
A3189-01 [OLAW Case 1S]

James B. Hendricks, Ph.D.
President
Seattle Children's Hospital Research Institute
4800 Sand Point Way, N.E.
Seattle, Washington 98105

Dear Dr. Hendricks,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your February 24, 2020 letter reporting a serious deviation from the provisions of the Guide for the Care and Use of Laboratory Animals at Seattle Children's Research Institute, following up on an initial report on December 16, 2019. According to the information provided, OLAW understands that a dead mouse was found in a broken down cage in the dirty side of the cage wash. The responsible party could not be identified.

The corrective actions consisted of sending an email to all animal users stating that cages are to be checked for animals before sending them to cage wash. The matter was discussed by members of the Institutional Animal Care and Use Committee (IACUC), animal care staff, and research staff and advice was also sought through a national IACUC listserv.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to reduce the likelihood of a recurrence of this problem. OLAW concurs with the actions taken by the IACUC to comply with the PHS Policy on Humane Care and Use of Laboratory Animals.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



Seattle Children's
HOSPITAL • RESEARCH • FOUNDATION

Research Institute

February 24, 2020

Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Subject: Notification of Serious Deviation from the Provisions of *The Guide*
Institution: Seattle Children's Research Institute (SCRI)
Animal Welfare Assurance #D16-00119 (A3189-01)

Dear OLAW,

This letter is to provide you with a full explanation of the circumstances and actions taken following an incident at our institution as required by PHS Policy IV.F.3.a.

The Institutional Animal Care and Use Committee (IACUC) office received information that one mouse was found dead in a broken-down cage in dirty cage wash.

To narrow down labs who may have been involved in the incident, the Office of Animal Care (OAC) compiled data regarding cage card barcodes submitted during the incident window. However, since nearly all labs with active protocols using the specific cages involved submitted cage card barcodes during the time window, specific labs could not be identified.

In response to the incident, the following corrective actions were implemented:

1. The IACUC office sent out an email notice to all animal users to remind them to check cages prior to leaving them for dirty cage wash;
2. A working group of IACUC office staff, OAC staff and researchers was formed to discuss possible additional corrective actions; and
3. The IACUC office queried a national IACUC listserv for other potential solutions to prevent recurrence and received two responses to share with the working group.

The incident has been reviewed by an IACUC Subcommittee and the convened IACUC. The IACUC accepted these corrective actions as being sufficient to prevent recurrence.

If you have any questions regarding this report, please feel free to contact me. Thank you for your consideration of this matter.

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PO Box 5371, M/S JMB-9
Seattle, WA 98145-5005

Jack R. MacDonald Bu West 8th
1900 Ninth Avenue 2001 Eighth Avenue
Seattle, WA 98101 Seattle, WA 98121

Olive Lab Building
1100 Olive Way, Suite 100
Seattle, WA 98101

818 Stewart
818 Stewart Street, Suite 603
Seattle, WA 98101



Seattle Children's
HOSPITAL • RESEARCH • FOUNDATION

Research Institute

Sincerely,

SEATTLE CHILDREN'S RESEARCH INSTITUTE



James B. Hendricks, PhD
President

Copy: Kari Koszdin, DVM, DACLAM, CPIA, Attending Veterinarian
Tara Polek, PhD, IACUC Chair
IACUC Mailbox

USPS Mail
PO Box 5371, M/S JMB-9
Seattle, WA 98145-5005

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Retrieved from Animal Research Laboratory Overview (ARLO)

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, February 26, 2020 7:15 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final Report of Serious Deviation from The Guide – Animal Welfare Assurance #D16-00119 (A3189-01)

Thank you for this report. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Tuesday, February 25, 2020 4:16 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Hendricks, James <james.hendricks@seattlechildrens.org>; Koszdin, Kari <Kari.Koszdin@seattlechildrens.org>; Polek, Tara <tara.polek@seattlechildrens.org>; IACUC Mailbox <IACUC@seattlechildrens.org>
Subject: Final Report of Serious Deviation from The Guide – Animal Welfare Assurance #D16-00119 (A3189-01)

Dear OLAW,

Please find attached a final report from Dr. Jim Hendricks pertaining to a serious deviation from provisions of *The Guide*, Animal Welfare Assurance #D16-00119 (A3189-01).

Please contact the IACUC office at IACUC@seattlechildrens.org with any questions.

Best Regards,



Connect with us online:



From: (b) (6)
Sent: Monday, December 16, 2019 2:40 PM
To: 'olawdco@mail.nih.gov' <olawdco@mail.nih.gov>
Cc: Hendricks, James <james.hendricks@seattlechildrens.org>; Koszdin, Kari <Kari.Koszdin@seattlechildrens.org>; Polek, Tara <tara.polek@seattlechildrens.org>; IACUC Mailbox <IACUC@seattlechildrens.org>
Subject: Preliminary Notification of Serious Deviation from The Guide – Animal Welfare Assurance #D16-00119 (A3189-01)

Dear OLAW,

This email serves as a preliminary report of a serious deviation from provisions of *The Guide* at Seattle Children's Research Institute. An animal (mouse) was found dead in a broken down cage in dirty cage wash.

An IACUC Subcommittee convened to discuss the incident on 12/6/19. A final, detailed report will follow once the incident has been reviewed by the full IACUC.

Please contact the IACUC office at IACUC@seattlechildrens.org with any questions.

Thank you,



Connect with us online:



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Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, December 17, 2019 8:14 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Preliminary Notification of Serious Deviation from The Guide – Animal Welfare Assurance #D16-00119 (A3189-01)

Thank you for this report. We will open a new case file and look forward to receiving the final report from the IO after the IACUC has completed its investigation.

Axel Wolff, M.S., D.V.M.
 Deputy Director, OLAW

From: (b) (6)
Sent: Monday, December 16, 2019 5:40 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Hendricks, James <james.hendricks@seattlechildrens.org>; Koszdin, Kari <Kari.Koszdin@seattlechildrens.org>; Polek, Tara <tara.polek@seattlechildrens.org>; IACUC Mailbox <IACUC@seattlechildrens.org>
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Thank you,



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