



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

December 11, 2018

Re: Animal Welfare Assurance
A3189-01 [OLAW Case 1M]

James B. Hendricks, Ph.D.
President
Seattle Children's Hospital Research Institute
4800 Sand Point Way, N.E.
Seattle, Washington 98105

Dear Dr. Hendricks,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 26, 2018 letter reporting a serious deviation from the provisions of the *Guide for the Care and Use of Laboratory Animals* at Seattle Children's Research Institute, following up on an initial report on October 9, 2018. According to the information provided, OLAW understands that a mouse was housed overnight in an animal work area, not approved for housing, without access to water. This incident constitutes a repeat noncompliance for the graduate student responsible.

The immediate action taken upon discovery consisted of providing water and subsequently euthanizing the mouse according to the protocol. The student and laboratory staff were counseled, and an individual will be assigned to check that no animals are left outside the vivarium overnight. The PHS grant was not charged for any unauthorized animal activities.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



Seattle Children's®
HOSPITAL • RESEARCH • FOUNDATION

Research Institute

November 26, 2018

Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

Subject: **Notification of a Serious Deviation from the Guide**
Institution: Seattle Children's Research Institute (SCRI)
Grant #: R01HL126523
Animal Welfare Assurance #: D16-00119 (A3189-01)

Dear OLAW,

This letter is to provide you with a full explanation of the circumstances and actions taken following an incident at our institution as required by PHS Policy IV.F.3.a.

The Institutional Animal Care and Use Committee (IACUC) office received information that a mouse on an approved protocol was left overnight at an animal worksite outside the vivarium without access to water. The next day, upon discovery of the animal, Office of Animal Care (OAC) staff provided it with water. It was then euthanized per protocol by the research team. While the animal was discovered unharmed, there was the potential for significant impact to its health and welfare.

This incident was unintentional and involved a graduate student on the research team. The incident was in violation of SCRI IACUC Policy 41, which states that animal worksites are not approved housing space and thus animals may not be housed overnight. This was also the second time the same individual left an animal overnight in an animal worksite.

Immediately after the incident, the PI had several communications with both this individual and the entire lab regarding the importance of not leaving animals unattended.

The incident was discussed by an IACUC subcommittee and at a convened meeting. The IACUC approved a corrective action plan involving calendar scheduling to ensure someone on the research team is assigned responsibility for making sure animals are not left overnight in animal worksites going forward. In addition, the convened IACUC discussed the current state of laboratory animal worksites and whether additional oversight is needed.

Unallowable charges will be removed from the above-referenced NIH grant. This matter will be reported to the funding component.

If you have any questions regarding this report, please feel free to contact me. Thank you for your consideration of this matter.

USPS Mail
PO Box 5371, M/S.....
Seattle, WA 98145-5005

West 8th
2001 Eighth Avenue
Seattle, WA 98121

Jack R. MacDonald Building
1900 Ninth Avenue
Seattle, WA 98101

Olive Lab Building
1100 Olive Way, Suite 100
Seattle, WA 98101

Sincerely,
SEATTLE CHILDREN'S RESEARCH INSTITUTE

(b) (6)

James B. Hendricks, PhD
President

Copy: Kari Koszdin, DVM, DACLAM, CPIA, Attending Veterinarian
Tara Polek, PhD, IACUC Chair
Kelly Uckun, CRA, CRCP, Director, Office of Sponsored Research
IACUC Mailbox

(b) (6)

Ward, Joan (NIH/OD) [E]

From: (b) (6) on behalf of IACUC Mailbox
<IACUC@seattlechildrens.org>
Sent: Friday, December 7, 2018 2:21 PM
To: OLAW Division of Compliance Oversight (NIH/OD)
Cc: Hendricks, James; Koszdin, Kari; Polek, Tara; IACUC Mailbox; (b) (6)
Subject: Final Report RE: Preliminary Notification of a Serious Deviation from the Guide – Animal Welfare Assurance #D16-00119 (A3189-01), Grant #R01HL126523
Attachments: Notification of Serious Deviation from the Guide_D16-00119 (A3189-01) 11-26-2018.pdf
Follow Up Flag: Follow up
Flag Status: Flagged

Dear OLAW,

Please find attached a final report from Dr. Jim Hendricks pertaining to Serious Deviation from the *Guide*, Animal Welfare Assurance #D16-00119 (A3189-01).

Please contact the IACUC office at IACUC@seattlechildrens.org with any questions.

Thank you

(b) (6)

(b) (6)

From: (b) (6)
Sent: Tuesday, October 09, 2018 1:48 PM
To: 'olawdco@mail.nih.gov'
Cc: Hendricks, James; Koszdin, Kari; Polek, Tara; IACUC Mailbox
Subject: Preliminary Notification of a Serious Deviation from the Guide – Animal Welfare Assurance #D16-00119 (A3189-01), Grant #R01HL126523

Dear OLAW,

This email serves as a preliminary report of a serious deviation from the provisions of the *Guide* at Seattle Children's Research Institute. A mouse on an approved protocol was left unattended overnight at an animal worksite outside the vivarium without access to water. The animal was discovered unharmed, but there was the potential for significant impact to its health and welfare.

An IACUC Subcommittee has convened to discuss the incident. A final, detailed report will follow once the incident has been reviewed by the full IACUC.

Please contact the IACUC office at IACUC@seattlechildrens.org with any questions.

Thank you,



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Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, December 10, 2018 8:31 AM
To: IACUC Mailbox; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Hendricks, James; Koszdin, Kari; Polek, Tara; (b) (6)
Subject: RE: Final Report RE: Preliminary Notification of a Serious Deviation from the Guide – Animal Welfare Assurance #D16-00119 (A3189-01), Grant #R01HL126523

Thank you for providing this report (b) (6) We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6) On Behalf Of IACUC Mailbox
Sent: Friday, December 07, 2018 2:21 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Hendricks, James <james.hendricks@seattlechildrens.org>; Koszdin, Kari <Kari.Koszdin@seattlechildrens.org>; Polek, Tara <tara.polek@seattlechildrens.org>; IACUC Mailbox <IACUC@seattlechildrens.org>; (b) (6)
Subject: Final Report RE: Preliminary Notification of a Serious Deviation from the Guide – Animal Welfare Assurance #D16-00119 (A3189-01), Grant #R01HL126523

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Please contact the IACUC office at IACUC@seattlechildrens.org with any questions.

Thank you

(b) (6)

(b) (6)

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, October 10, 2018 10:19 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Preliminary Notification of a Serious Deviation from the Guide – Animal Welfare Assurance #D16-00119 (A3189-01), Grant #R01HL126523

Thank you for this report. We will open a new case file and look forward to receiving the final report from the IO after the IACUC has completed its investigation.

Axel Wolff, M.S., D.V.M.
 Deputy Director, OLAW

From: (b) (6)
Sent: Tuesday, October 09, 2018 4:48 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Hendricks, James <james.hendricks@seattlechildrens.org>; Koszdin, Kari <Kari.Koszdin@seattlechildrens.org>; Polek, Tara <tara.polek@seattlechildrens.org>; IACUC Mailbox <IACUC@seattlechildrens.org>
Subject: Preliminary Notification of a Serious Deviation from the Guide – Animal Welfare Assurance #D16-00119 (A3189-01), Grant #R01HL126523

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Thank you,

(b) (6)

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