



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

January 24, 2020

Re: Animal Welfare Assurance
A3539-01 [OLAW Case 1A]

Dr. Martin Hetzer
Vice President, Chief Scientific Officer
The Salk Institute for Biological Studies
10010 North Torrey Pines Road
La Jolla, CA 92037-1099

Dear Dr. Hetzer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 13, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Salk Institute.

According to the information provided, this Office understands that the Salk Institute Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: 4 moribund and 3 deceased mice discovered in the animal facility. An investigation revealed the responsible investigator failed to provide food for the mice after a 48h fasting study. The findings indicate a failure to monitor the mice daily for 72h per policy requirements. All moribund mice fully recovered after being treated by the veterinary staff. The investigator met with the Attending Veterinarian and IACUC staff to discuss the incident. The report states the researcher acknowledged misinterpretation of monitoring timelines and was retrained on the Food and Fluid Restrictions policy. It is noted that these animals are involved in PHS funded animal activities.

Based on its assessment of this explanation, OLAW understands that the Salk Institute has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

(b) (6)

Jacquelyn T. Tubbs, DVM
Veterinary Medical Officer
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



A3539 - 1A
No open cases

Salk Institute for Biological Studies
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Axel Wolff, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare, National Institute of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

Dear Dr. Wolff:

The Salk Institute, in accordance with the reporting requirements as defined by PHS Policy IV.F.3 and Assurance A3539-01, hereby communicates the following incident pertaining to the NIH grant DK083834.

On 10/10/19, Veterinary Services reported to the IACUC Office that 4 moribund and 3 deceased mice were discovered in the animal facility. After further investigation, it was determined that the responsible investigator had failed to provide food for the mice after a 48-hour fasting study. These findings indicated failure to monitor the mice daily for 72 hours, per policy requirements. The veterinary staff immediately treated all moribund mice which recovered fully.

The researcher was met by the Attending Veterinarian and IACUC staff to discuss the incident. The researcher acknowledged misinterpretation of monitoring timelines and was re-trained on Salk's Food and Fluid Restrictions policy.

The Salk Institute is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW. In the event you have any questions regarding this report, please contact Ed Callaway Ph.D., IACUC Chair at (b) (6)

Thank you for your consideration in these matters.

Sincerely,

(b) (6)

Martin Hetzer
Vice President, Chief Science Officer
Institutional Official, The Salk Institute for Biological Studies

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, January 14, 2020 8:33 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: (b) (6) Martin Hetzer; (b) (6)
Subject: RE: Incident Reports of Non-compliance

Thank you for submitting these reports (b) (6) We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Monday, January 13, 2020 2:32 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6) Martin Hetzer <hetzer@salk.edu>; (b) (6)
Subject: Incident Reports of Non-compliance

Good afternoon,

Attached please find the three letters describing incidents of non-compliance that occurred at our institution. Our (b) (6) and Institutional Official, Martin Hetzer, are cc'd on this email.

Please feel free to contact us with any questions or concerns.

Best regards,
(b) (6) for Martin Hetzer

(b) (6)