

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

1. REGISTRATION NO.  
21-R-0036

CUSTOMER NO.  
307

FORM APPROVED  
OMB NO. 0579-0036

**ANNUAL REPORT OF RESEARCH FACILITY**  
(TYPE OR PRINT)

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include Zip Code)

ROCKEFELLER UNIVERSITY  
1230 YORK AVENUE  
NEW YORK NEW YORK, NY 10021-6399

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS(sites)

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A)

| A.<br>Animals Covered<br>By The Animal<br>Welfare Regulations | B. Number of<br>animals being<br>bred,<br>conditioned, or<br>held for use in<br>teaching, testing,<br>experiments,<br>research, or<br>surgery but not<br>yet used for such<br>purposes. | C. Number of<br>animals upon<br>which teaching,<br>research,<br>experiments, or<br>tests were<br>conducted<br>involving no<br>pain, distress, or<br>use of pain-<br>relieving drugs. | D. Number of animals upon<br>which experiments,<br>teaching, research,<br>surgery, or tests were<br>conducted involving<br>accompanying pain or<br>distress to the animals<br>and for which appropriate<br>anesthetic, analgesic, or<br>tranquilizing drugs were<br>used. | E. Number of animals upon which teaching,<br>experiments, research, surgery or tests were<br>conducted involving accompanying pain or distress<br>to the animals and for which the use of appropriate<br>anesthetic, analgesic, or tranquilizing drugs would<br>have adversely affected the procedures, results, or<br>interpretation of the teaching, research,<br>experiments, surgery, or tests. (An explanation of<br>the procedures producing pain or distress in these<br>animals and the reasons such drugs were not used<br>must be attached to this report) | F.<br>TOTAL NO.<br>OF ANIMALS<br><br>(Cols. C +<br>D + E) |
|---|---|--|---|--|---|
| 4. Dogs   |   |  |   |  |   |
| 5. Cats   |   |  |   |  |   |
| 6. Guinea Pigs  |   | 4  |   |  | 4   |
| 7. Hamsters   |   |  | 6   |  | 6   |
| 8. Rabbits  |   |  | 16  |  | 16  |
| 9. Non-Human Primates   |   | 7  | 19  |  | 26  |
| 10. Sheep   |   |  |   |  |   |
| 11. Pigs  |   |  |   |  |   |
| 12. Other Farm Animals  |   |  |   |  |   |
| 13. Other Animals   |   |  |   |  |   |
| Gerbils   |   | 173  |   |  | 173   |
|   |   |  |   |  |   |
|   |   |  |   |  |   |

ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all the exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

**CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL**  
(Chief Executive Officer or Legally Responsible Institutional official)

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

SIGNATURE OF C.E.O. OR INSTITUTIONAL OFFICIAL

NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print)

DATE SIGNED

11/07/2008

NP



THE ROCKEFELLER UNIVERSITY  
1230 YORK AVENUE NEW YORK, NEW YORK 10021-6399

**The Rockefeller University**  
**Laboratory Animal Research Center**

**MEMORANDUM**

**To:** AC Regional Office  
**From:** IACUC  
**Re:** Addendum to Annual report: A summary of Exception  
**Date:** February 3, 2009

**USDA Registration # 21-R- 0036 /307**

As detailed in approved protocol #07047, the proposed water restriction schedule entails offering the animal its maintenance fluid requirements of 40-80 cc/kg per training session, though not necessarily offered at a frequency of twice daily for at least 1 hour. When the animal is not on study (Friday afternoon until Sunday morning), it has free access to its water bottle containing up to twice its daily average water consumption. This approved protocol involves 12 nonhuman primates (*Macaca mulatta*) and this exemption was approved by the IACUC on 7/18/2007 and reviewed during the semi-annual review of our animal care and use program at the latest IACUC meeting held on 1/12/2009.

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