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OMB APPROVED  
0579-0036

This report is required by law (7 U.S.C. 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

Interagency Report Control  
No. 0180-DOA-AN

Fiscal Year: 2009

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

REGISTRATION NUMBER: 21-R-0075

Customer Number: 434

2. HEADQUARTERS RESEARCH FACILITY (Name and Address, as registered with USDA, include ZIP Code)

N Y State Psychiatric Institute  
1051 Riverside Drive  
New York New York, NY 10032  
Telephone: (212) 543 5000

NOV 3 0 2009

ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets if necessary.)

FACILITY LOCATIONS (Sites) See Attached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets if necessary or use APHIS FORM 7023A.)

A.  Animals Covered By The Animal Welfare Regulations	B.  Number of animals being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not yet used for such purposes.	C.  Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use of pain-relieving drugs.	D.  Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E.  Number of animals upon which teaching, experiments, research, surgery, or tests were conducted involving accompanying pain or distress to the animals and for which the use of appropriate anesthetic, analgesic, or tranquilizing drugs would have adversely affected the procedures, results, or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress on these animals and the reasons such drugs were not used must be attached to this report.)	F.  TOTAL NUMBER OF ANIMALS  (Cols. C + D + E)
4. Dogs					
5. Cats	2		24		26
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates	6	73	16		95
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					

ASSURANCE STATEMENTS

- 1.) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2.) Each principal investigator has considered alternatives to painful procedures.
- 3.) This facility is adhering to the standards and regulations under the Act and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4.) The attending veterinarian for this research facility has appropriate authority to ensure the provisions of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer (C.E.O.) or Legally Responsible Institutional Official (L.O.))  
I certify that the above is true, correct, and complete (7 U.S.C. Section 2143)

(b)(6), (b)(7)c

DATE SIGNED  
11/19/09

EG 12-8-09

## **ATTACHMENT 2 TO APHIS FORM 7023**

### **SUMMARY OF AN EXCEPTION TO THE STANDARDS AND REGULATIONS UNDER THE ACT**

Re: ANNUAL REPORT  
Fiscal year: 2009  
Certificate Number: 21-R-0075  
Customer Number: 434

#### **Water Restriction:**

Forty-six Rhesus macaques were provided with water daily during the week in frequent, small amounts depending upon the individual animal, in studies in which they were motivated to perform behavioral tasks under protocols approved by Columbia and NYSPI IACUCs. These animals were well hydrated at all times. They were provided with usual amounts of water during the weekends and unlimited amounts of water when off study.

#### **Three Baboon Cages:**

Three Baboons, with approval of the IACUC, were housed in innovative primary enclosures not precisely meeting the floor area requirements, but with perches and significantly higher heights than provided in paragraphs (b)(1) and (b)(2) of 9CFR Chap. I, Part 3, Subpart D, Sec. 3.80, Primary enclosures, that provided them with sufficient volume of space and the opportunity to express species-typical behavior. All animals demonstrate normal behavior without stereotypes and have established a social order within their stable housing room through visual contact, postural movements and sound. They comfortably used vertical positions within their enclosures to communicate well-established social hierarchy within the room.

NOV 30 2009