UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE 1. CERTIFICATE NUMBER: 21-R-0118 CUSTOMER NUMBER: 346

FORM APPROVED

OMB NO. 0579-0036

### ANNUAL REPORT OF RESEARCH FACILITY

(TYPE OR PRINT)

New York University-Washington Square Office Of Veterinary Resources

(b)(2)High, (b)(7)f

New York New York, NY 10012

Telephone:

(b)(2)High, (b)(7)f

3. REPORTING FACILITY ( List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary )

FACILITY LOCATIONS (Sites) - See Atached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )					
A. Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in leaching, testing, experiments, research, or surgery but not ye used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use or pain-relleving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgestic, or tranquitizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for whithe use of appropriate anesthetic, analgesic, or tranquilizings would have adversely affected the procedures, residently of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reask such drugs were not used must be attached to this report	F.  TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters					
8. Rabbits					
9. Non-human Primates		8	93		101
10. Sheep					
11. Pigs					
12. Other Farm Animals					
13. Other Animals					
aerbil5			564		564
voles			53		53

#### ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analysesic, and tranquilizing drugs, prior to, during, and following actual rese leaching, testing, surgery, or experimentation were followed by this research facility.
- Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and ap Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary inc brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICE	AL
(Chief Executive Officer or Legally Responsible Institutional Official)	

SIGNATURE OF CEO OF INSTITUTIONAL OFFICIAL

IMAKE STITLE OF CEO OF INSTITUTIONAL OFFICIAL / Tree of Cold

DATE SIGNED

b6, b7c

# **AMENDMENT TO**

## 2008

## ANNUAL REPORT



United States Department of Agriculture

Marketing and Regulatory Programs

Animal and Plant Heartn Inspection. Services

**Animal Care** 

920 Main Campus Drive Suite 200 Raleigh, NC 27606

Tel No. 919-855-7100 Fax No. 919-855-7145

May 18, 2009

(b)(6), (b)(7)c

New York University

(b)(2)High, (b)(7)f

New York, NY 10012

Dear (b)(6), (b)(7)c

Thank you for your letter of May 5, 2009 and the amendment made to your Fiscal Year 2008 Annual Report. The information will be filed, and also forwarded to your inspector Dr. John Lopinto for his review.

Sincerely,

Nicolette Petervary, VMD Regional Animal Care Specialist Eastern Region, Animal Care

Cc: Dr. John Lopinto, VMO
Dr. Robert Willems ARD
Dr. Elizabeth Goldentyer, RD
Mr. Joe Nelson, Program Manager
21-R-0118
File



University Animal Welfare Committee (b)(2)High, (b)(7)f New York, NY 10012

(b)(2)High, (b)(7)f

Dr. Elizabeth Goldentyer Regional Director, Animal Care Eastern Region, USDA, APHIS 920 Main Campus Drive, Suite 200 Raleigh, North Carolina 27606-5213

Re: Certification No. 21-R-0118

April 23, 2009

Dear Dr. Goldentyer,

As per your last correspondence to (b)(6), (b)(7)c on 4/7/2009, enclosed you will find the amended 2008 Annual Report. Please contact me it any further information is required.

#### Respectfully,

(b)(6), (b)(7)c

Enclosure: Amended Annual Report of Research Facility

See attached form for additional information.

Interagency Report Control No.:

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

ANNUAL REPORT OF RESEARCH FACILITY

1. CERTIFICATE NUMBER: 21-R-0118
CUSTOMER NUMBER: 346

FORM APPROVED OMB NO. 0579-0036

New York University-Washington Square
Office Of Veterinary Resources

MAY = 5

(TYPE OR PRINT) (b)(2)High, (b)(7)f
New York New York, NY 10012

Telephone:

(b)(2)High, (b)(7)f

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY ( Attach additional sheets if necessary or use APHIS Form 7023A )					
A.  Animals Covered By The Animal Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in leaching, testing, experiments, research, or surgery but not ye used for such purposes.	C. Number of animats upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use or pain-relieving drugs.	Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquitizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for whithe use of appropriate anesthetic, analgesic, or tranquilized drugs would have adversely affected the procedures, resident or interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reast such drugs were not used must be attached to this report	(COLUMNS C+D+E)
4. Dogs					
5. Cats					
6. Guinea Pigs					
7. Hamsters	,				
8. Rabbits	·		_		
9. Non-human Primates		8	93		101
10. Sheep					
11. Pigs					
12. Other Farm Animals			•		
13. Other Animals					
gerbils			564		564
voles			63		53

#### ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual rese teaching, testing, surgery, or experimentation were followed by this research facility.
- 2) Each principal investigator has considered alternatives to painful procedures.
- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and applicational Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC-approved exceptions, this summary included the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official)				
SIGNATURE OCO E O OR INSTERIOUM OFFICIAL	b6, b7c	DATE SIGNED		
		1		

APHIS FORM 7023 ( AUG 91 ) (Replaces VS FORM 18-23 (OCT 88), which is obsolete.)

### Addendum to 2008 ANNUAL REPORT OF RESEARCH FACILITY dated 4/16/09

RE: 21-R-0118

The NYU IACUC approved Animal Use Protocols involving	(b)(4)
(b)(4) using the following guidelines:	

1. Guidelines for the care and use of mammals in neuroscience and behavioral research / Committee on Guidelines for the Use of Animals in Neuroscience and Behavioral Research. National Academy of Sciences. 2003.

Neuroscience-related protocols occasionally require the regulation of animals' food or fluid intake to achieve a specific experimental goal. The regulation process may entail *scheduling* of access to food or fluid sources so an animal consumes as much as desired at regular intervals, or *restriction*, in which the total volume of food or fluid consumed is strictly monitored and controlled. As stated in the *Guide*, "the least restriction that will achieve the scientific objective should be used" (p. 12). Regulation of food or fluid is commonly used as motivation in experiments that require animals to perform a behavioral task with a high degree of repeatability (Toth and Gardiner, 2000), but the food or fluid consumption is not the experimental variable. In those studies, food and fluid regulation is used to motivate the animals to perform a specific behavioral task for a food or fluid reward; regulation of food or fluid outside the experimental session ensures response reliability to the food and fluid reward in each session (NIH, 2002).

2. Guide for the Care and Use of Laboratory Animals, Institute of Laboratory Animal Research, Commission on Life Sciences, National Research Council, 1996.

When experimental situations require food or fluid restriction, at least minimal quantities of food and fluid should be available to provide for development of young animals and to maintain long-term well-being of all animals. Restriction for research purposes should be scientifically justified, and a program should be established to monitor physiologic or behavioral indexes, including criteria (such as weight loss or state of hydration) for temporary or permanent removal of an animal from the experimental protocol (Van Sluyters and Oberdorfer 1991). Restriction is typically measured as a percentage of the ad libitum or normal daily intake or as percentage change in an animal's body weight. Precautions that should be used in cases of fluid restriction to avoid acute or chronic dehydration include recording of fluid intake and recording of body weight at least once a week (NIH 1990)-or more often, as least restriction that will achieve the scientific objective should be used.

