



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

October 15, 2019

Re: Animal Welfare Assurance
A3033-01 [OLAW Case 2E]

Dr. Sandra A. Brown
Vice Chancellor for Research Affairs
University of California-San Diego
9500 Gilman Drive, 0043
LaJolla, CA 92093-0043

Dear Dr. Brown,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 3, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of California San Diego, following up on an initial telephone report on September 5, 2019. According to the information provided, OLAW understands that 40 mice died after their cages flooded due to one cage being placed backwards on the rack and activating the litix.

The corrective actions consisted of retraining the laboratory staff on proper placement of cages on the rack. The topic of appropriate cage placement is addressed in two institutional orientation training programs.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

UNIVERSITY OF CALIFORNIA SAN DIEGO

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EMAIL: VCRESEARCH@UCSD.EDU
URL: RESEARCH.UCSD.EDU

October 3, 2019

Axel Wolff, MS, DVM
Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Wolff,

Per PHS Policy IV.F.3, I am writing to advise you of an incident that occurred in a UC San Diego vivarium. On July 15, 2019, a Principal Investigator's laboratory staff accidentally placed a mouse cage backward on the housing rack which activated the lixit to the automatic watering system. Several cages were flooded, resulting in forty mouse deaths.

The Investigator followed up immediately by retraining his entire laboratory team on the proper placement of cages on the rack. Additionally, the Animal Care Program began emphasizing the proper placement of cages on racks in two required training areas, the Orientation to Animal Research training class as well as the in-person building orientation required of all staff and researchers. Per the Principal Investigator, NIH grant R01AR069653 supported the animals that were affected by this incident.

If you have any questions, please contact me at (b) (6) or sandrabrown@ucsd.edu.

Sincerely,

(b) (6)

Sandra A. Brown, PhD
Vice Chancellor for Research
IACUC Institutional Official
Assurance number: A3033-1 / D16-00020

cc: Philip Richter, DVM, PhD, Director, Animal Care Program

(b) (6)

Kristen Anderson-Vicino, MS, Director, IACUC Office

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, October 4, 2019 11:42 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Letter from University of California, San Diego

Thank you for this report, Ms. Anderson-Vicino. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@ucsd.edu>
Sent: Friday, October 4, 2019 11:21 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Brown, Sandra <sandrabrown@ucsd.edu>; Richter, Philip <pjrichter@ucsd.edu>; (b) (6)
(b) (6) Anderson-Vicino, Kristen <kca002@ucsd.edu>
Subject: Letter from University of California, San Diego

Dear Dr. Wolff,

Please find attached correspondence from Dr. Sandra A. Brown, Vice Chancellor for Research at the University of California, San Diego.

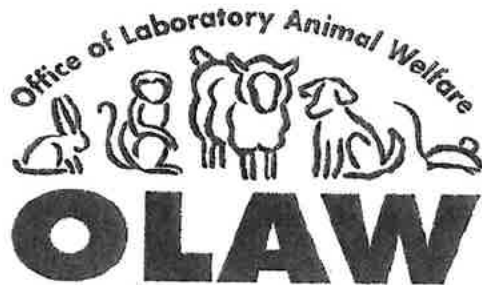
Regards,

Kristen

Kristen C. Anderson-Vicino, MS, CPIA, LATG
Director, IACUC Office
Research Compliance and Integrity
Office of the Vice Chancellor for Research | UC San Diego | 9500 Gilman Drive, La Jolla, CA 92093-0071
<http://blink.ucsd.edu/sponsor/iacuc/>

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A3033-2E



Initial Report of Noncompliance

By: and

Date: 9/5/19

Time: 1:15

Name of Person reporting: KRISTEN ANDERSON

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: U California San Diego

Assurance number: A3033

Did incident involve PHS funded activity? ?

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? Mice cage put on backwards, activated exit, flooded cages + mice drowned.

Species involved: Mice

Personnel involved:

Dates and times:

Animal deaths: 40

Projected plan and schedule for correction/prevention (if known): _____

Retrain

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____