

DEPARTMENT OF HEALTH & HUMAN SERVICES

FOR US POSTAL SERVICE DELIVERY: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500, MSC 6910 Bethesda, Maryland 20892-6910 Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

October 15, 2019

PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR EXPRESS MAIL: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500 Bethesda, Maryland 20817 Telephone: (301) 496-7163 Facsimile: (301) 402-7065

Re: Animal Welfare Assurance A3033-01 [OLAW Case 2C]

Dr. Sandra A. Brown Vice Chancellor for Research Affairs University of California-San Diego 9500 Gilman Drive, 0043 LaJolla, CA 92093-0043

Dear Dr. Brown,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 7, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of California San Diego, following up on an initial telephone report on July 10, 2019. According to the information provided, OLAW understands that 12 mice died after their cages had been left inside another container in a procedure room. No additional information could be uncovered about the incident because the Principal Investigator (PI) was away from the institution.

The corrective actions consisted suspending the protocol. The PI subsequently resigned from the institution.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M. Deputy Director Office of Laboratory Animal Welfare

cc: IACUC Chair

A3033

UNIVERSITY OF CALIFORNIA SAN DIEGO

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October 7, 2018

Axel Wolff, MS, DVM Division of Compliance Oversight Office of Laboratory Animal Welfare (OLAW) National Institutes of Health 6700B Rockledge Drive, Suite 2500, MSC 6910 Bethesda, MD 20892

Dear Dr. Wolff,

Per PHS Policy IV.F.3, I am writing to advise you of an incident that occurred at UC San Diego. In June 2019, twelve mice were found deceased in their cages, out of view, inside a secondary container, within a procedure room. An investigation was unable to determine the circumstances associated with the mice because the Principal Investigator (PI) was on leave from UC San Diego.

At the June 19, 2019 IACUC meeting, the IACUC voted unanimously to suspend the PI's protocol. Due to the PI's leave and ultimate resignation from UC San Diego, it is not known whether NIH funding supported these animals.

If you have any questions, please contact me at (b) (6)

or sandrabrown@ucsd.edu.

Sincerely,

(b) (6)

Sandra A. Brown, PhD Vice Chancellor for Research IACUC Institutional Official Assurance number: A3033-1 / D16-00020

cc: Philip Richter, DVM, PhD, Director, Animal Care Program (b) (6) Kristen Anderson-Vicino, MS, Director, IACUC Office

Wolff, Axel (NIH/OD) [E]

From:	OLAW Division of Compliance Oversight (NIH/OD)
Sent:	Tuesday, October 8, 2019 6:51 AM
То:	IACUC
Cc:	OLAW Division of Compliance Oversight (NIH/OD)
Subject:	RE: Letter from University of California, San Diego

Thanks for this report, Ms. Anderson-Vicino. I will send a response soon. Axel Wolff

 From: IACUC <iacuc@ucsd.edu>

 Sent: Monday, October 7, 2019 6:14 PM

 To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>

 Cc: Brown, Sandra <sandrabrown@ucsd.edu>; Richter, Philip <pjrichter@ucsd.edu>;^{(b) (6)}

 (b) (6)
 Anderson-Vicino, Kristen <kca002@ucsd.edu>

 Subject: Letter from University of California, San Diego

Dear Dr. Wolff,

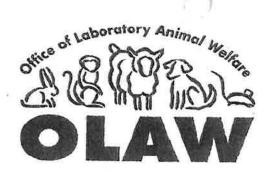
Please find attached another correspondence from Dr. Sandra A. Brown, Vice Chancellor for Research at the University of California, San Diego.

Regards,

Kristen

Kristen C. Anderson-Vicino, MS, CPIA, LATG Director, IACUC Office Research Compliance and Integrity Office of the Vice Chancellor for Research | UC San Diego | 9500 Gilman Drive, La Jolla, CA 92093-0071 http://blink.ucsd.edu/sponsor/iacuc/

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Initial Report of Noncompliance

By: an

7/10/19 Date:

Time: 12:15

Name of Person reporting: Kristen Anderson Telephone #: (b) (b) (b) Fax #: Email:

Name of Institution: U California 5 an Drigo Assurance number: <u>A 3 0.3 3</u>

Did incident involve PHS funded activity? <u>/es</u> Funding component: Was funding component contacted (if necessary):

What happened?

10 coges in him in storage room to adapt to don't, miedies from lock of ain

Species involved: Area Personnel involved: Dates and times: Animal deaths:

Projected plan and schedule for correction/prevention (if known):

Prevent access to ruvaria Suspend protocol, holding protocol Terminate grant

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY Case #_____