



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

October 15, 2019

Re: Animal Welfare Assurance
A3033-01 [OLAW Case 2C]

Dr. Sandra A. Brown
Vice Chancellor for Research Affairs
University of California-San Diego
9500 Gilman Drive, 0043
LaJolla, CA 92093-0043

Dear Dr. Brown,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 7, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of California San Diego, following up on an initial telephone report on July 10, 2019. According to the information provided, OLAW understands that 12 mice died after their cages had been left inside another container in a procedure room. No additional information could be uncovered about the incident because the Principal Investigator (PI) was away from the institution.

The corrective actions consisted suspending the protocol. The PI subsequently resigned from the institution.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

UNIVERSITY OF CALIFORNIA SAN DIEGO

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EMAIL: VCRESEARCH@UCSD.EDU
URL: RESEARCH.UCSD.EDU

October 7, 2018

Axel Wolff, MS, DVM
Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Wolff,

Per PHS Policy IV.F.3, I am writing to advise you of an incident that occurred at UC San Diego. In June 2019, twelve mice were found deceased in their cages, out of view, inside a secondary container, within a procedure room. An investigation was unable to determine the circumstances associated with the mice because the Principal Investigator (PI) was on leave from UC San Diego.

At the June 19, 2019 IACUC meeting, the IACUC voted unanimously to suspend the PI's protocol. Due to the PI's leave and ultimate resignation from UC San Diego, it is not known whether NIH funding supported these animals.

If you have any questions, please contact me at (b) (6) or sandrabrown@ucsd.edu.

Sincerely,

(b) (6)

Sandra A. Brown, PhD
Vice Chancellor for Research
IACUC Institutional Official
Assurance number: A3033-1 / D16-00020

cc: Philip Richter, DVM, PhD, Director, Animal Care Program

(b) (6)

Kristen Anderson-Vicino, MS, Director, IACUC Office

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, October 8, 2019 6:51 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Letter from University of California, San Diego

Thanks for this report, Ms. Anderson-Vicino. I will send a response soon.
Axel Wolff

From: IACUC <iacuc@ucsd.edu>
Sent: Monday, October 7, 2019 6:14 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Brown, Sandra <sandrabrown@ucsd.edu>; Richter, Philip <pjrichter@ucsd.edu>; (b) (6)
(b) (6) Anderson-Vicino, Kristen <kca002@ucsd.edu>
Subject: Letter from University of California, San Diego

Dear Dr. Wolff,

Please find attached another correspondence from Dr. Sandra A. Brown, Vice Chancellor for Research at the University of California, San Diego.

Regards,

Kristen

Kristen C. Anderson-Vicino, MS, CPIA, LATG
Director, IACUC Office
Research Compliance and Integrity
Office of the Vice Chancellor for Research | UC San Diego | 9500 Gilman Drive, La Jolla, CA 92093-0071
<http://blink.ucsd.edu/sponsor/iacuc/>

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Initial Report of Noncompliance

By: aw

Date: 7/10/19

Time: 12:15

Name of Person reporting: Kristen Anderson

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: U California San Diego

Assurance number: A3033

Did incident involve PHS funded activity? Yes

Funding component: _____

Was funding component contacted (if necessary): _____

What happened?

10 cages in bins in storage room do not get the dark, mice died from lack of air

Species involved: mouse

Personnel involved:

Dates and times:

Animal deaths:

Projected plan and schedule for correction/prevention (if known): _____

Prevent access to vivarium
Suspend protocol, holding protocol
Terminate grant

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____