



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

September 3, 2019

Re: Animal Welfare Assurance  
#A3336-01 (OLAW Case 6L)

Drs. Lisa Cassis, M. Paul Murphy and Mark A. Suckow  
University of Kentucky  
Office of the Vice President for Research  
(b) (4) Main Building  
Lexington, KY 40506-0032

Dear Drs. Cassis, Murphy and Suckow,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your August 26, 2019 letter regarding noncompliance with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals at the University of Kentucky, which had been preceded by a preliminary report to OLAW on July 12, 2019. According to the information provided, our office understands that a cage of mice on a PHS funded dietary study failed to be fed over a weekend. Upon discovery all mice were dead, except one which was ill and euthanized immediately.

Corrective measures by the IACUC involved counseling the principal investigator and laboratory personnel to submit a services request form for weekend care.

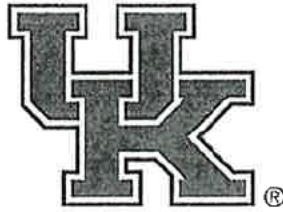
Based on its assessment of this explanation, OLAW understands measures have been taken to prevent recurrence of this problem. OLAW concurs with the actions taken by your institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals. Please contact our office if the corrective actions are not carried out as described. We appreciate having been informed of this matter and find no cause for further action by this office at this time.

Sincerely,

(b) (6)

Nicolette Petervary, VMD, DACAW  
Veterinary Medical Officer  
Office of Laboratory Animal Welfare

cc: IACUC Contact



**Office of the Vice President for Research**

(b) (4) Main Building  
Lexington, KY 40506-0032

(b) (6)

[www.research.uky.edu](http://www.research.uky.edu)

August 26, 2019

Axel Wolff, M.S., D.V.M.  
Deputy Directory  
Office of Laboratory Animal Welfare  
National Institutes of Health  
RKL1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7982

Reference: Animal Welfare Assurance D16-00217 (A 3336-01)  
University of Kentucky

Dear Dr. Wolff:

The University of Kentucky Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, is reporting the following noncompliance and deviation from the *PHS Policy on the Humane Care and Use of Laboratory Animals* as required by section IV, F, 3a of the PHS Policy.

This incident was initially reported following a report by animal facility staff on July 12, 2019.

A cage of mice belonging to an investigator who is approved to conduct studies involving feeding a special diet contained four dead mice and one ill mouse that was immediately euthanized. Laboratory personnel had failed to provide feed to the mice over the weekend and had not requested the animal facility personnel to do so. The research staff readily acknowledged that they had forgotten to submit a services request form that would have directed animal facility personnel to provide the needed feed.

The PI and the laboratory personnel involved were reminded to use the services request form as intended, so that incidents such as this will be avoided in the future.

The IACUC believes that this action is an appropriate response to prevent similar future incidents. These studies were funded through a grant from the NIH National Heart, Blood, and Lung Institute (5R01HL107326-02).

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If you have questions concerning this incident, please contact Dr. Mark A. Suckow, Attending Veterinarian ([msuckow@uky.edu](mailto:msuckow@uky.edu); [REDACTED] (b) (6)), Dr. M. Paul Murphy, IACUC Chair ([mpmurp3@uky.edu](mailto:mpmurp3@uky.edu); [REDACTED] (b) (6)), or Dr. Lisa A. Cassis, Institutional Official ([lcassis@uky.edu](mailto:lcassis@uky.edu); [REDACTED] (b) (6)).

Sincerely,

[REDACTED] (b) (6)

Lisa A. Cassis, PhD  
Vice President for Research  
Committee  
Institutional Official

[REDACTED] (b) (6)

M. Paul Murphy, PhD  
Chair, Institutional Animal Care and Use

[REDACTED] (b) (6)

Mark A. Suckow, DVM, DACLAM  
Attending Veterinarian

## Morse, Brent (NIH/OD) [E]

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Wednesday, August 28, 2019 9:43 AM  
**To:** Suckow, Mark A.; OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Noncompliance Reports

Thank you for providing these reports Dr. Suckow. We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

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**From:** Suckow, Mark A. [mailto:msuckow@uky.edu]  
**Sent:** Tuesday, August 27, 2019 3:59 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Subject:** Noncompliance Reports

Dear Dr. Morse,

Attached please find two reports regarding noncompliance that recently occurred at the University of Kentucky. These reports follow the preliminary reports that I submitted on August 26, 2019.

Regards,

Mark A. Suckow, DVM, DACLAM  
Associate Vice President for Research  
Attending Veterinarian  
Professor, Department of Biomedical Engineering  
University of Kentucky  
(b) (4) Bowman Hall  
Lexington, KY 40506  
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