



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

May 26, 2020

Re: Animal Welfare Assurance
A3270-01 [OLAW Case 1K]

Dr. Laurie Locascio
Vice President for Research
University of Maryland – College Park
(b) (4) Lee Building – 7809 Regents Drive
College Park, MD 20742

Dear Dr. Locascio,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 14, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Maryland – College Park. We received the initial report via a phone call on October 1, 2019. According to the information provided, OLAW understands that on August 1, 2019 it was reported that mice were housed in a coldroom without IACUC approval of the housing area and without an approved departure from recommendations within the Guide for the Care and Use of Laboratory Animals (*Guide*). The animals were immediately removed from the area and the PI and laboratory staff were retrained in the procedures to request a new housing space and requesting an exemption from the *Guide*. An amendment for this project was reviewed and approved by the IACUC. The animals were part of a project that was partially NIH-funded.

The consideration of this matter by the University of Maryland – College Park was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to correct and prevent recurrence of the issue were appropriate. We appreciate being informed of this matter and find no cause for further action by this office at this time.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2020.05.26 08:47:21 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



DIVISION OF RESEARCH

OFFICE OF THE VICE PRESIDENT FOR RESEARCH

2133 Lee Building
College Park, Maryland 20742-5125
301.405.4175 TEL

A3270-1K

May 14, 2020

Dr. Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892-7982

RE: Animal Welfare Assurance Number: D16-00172 (A3270-01)

Dear Dr. Morse:

The University of Maryland, College Park, has finalized an issue that was previously self-reported to OLAW on October 1, 2019. On August, 1st, a report was made to the Post-approval Monitoring coordinator that mice were being housed in a space and under conditions inconsistent with the *Guide*, without appropriate amendments for space approval and an exception to standard housing (cold room conditions). The UMD IACUC considered this issue at their next regularly scheduled meeting, September 19th, where the committee confirmed it to be a reportable noncompliance per NOT-OD-05-034 "b) any serious deviation from the provisions of the *Guide* [for the Care and Use of Laboratory Animals]." This work was partially funded under PHS award DK110195.

Corrective actions: The animals were removed from the space immediately and an amendment submitted by the PI. The PI and all laboratory personnel were retrained regarding the procedures for requesting a new space and for requesting an exemption from the *Guide*. The amendment for this project was subsequently reviewed and approved by the UMD IACUC.

Sincerely,

(b) (6)

Laurie E. Locascio
Vice President for Research and
Institutional Official

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, May 20, 2020 7:13 AM
To: Pamela Jean Lanford
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: UMD D16-00172

Thank you. We will respond soon.
Axel Wolff

From: Pamela Jean Lanford <planford@umd.edu>
Sent: Monday, May 18, 2020 10:54 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Laurie Ellen Locascio <lel@umd.edu>; (b) (6)
Subject: UMD D16-00172

Dear Dr. Morse,

Please find attached a letter from the University of Maryland, College Park Institutional Official, Dr. Laurie Locascio, finalizing an animal care issue previously self-reported to the Office of Laboratory Animal Welfare on October 1st, 2019.

Please let us know if you have questions or concerns.

Sincerely,

Pam LanforPamela Lanford PhD, MS, CPIA
Director of Animal Research Support & Administrator, IACUC
Past Chair, University Senate
(b) (4) James Clark Hall
8278 Paint Branch Drive
University of Maryland
College Park, MD 20742
t: (b) (6)
pronouns: she/her/hers

Morse, Brent (NIH/OD) [E]

From: Pamela Jean Lanford <planford@umd.edu>
Sent: Wednesday, April 29, 2020 10:10 AM
To: Morse, Brent (NIH/OD) [E]
Subject: Re: Open OLAW cases

Follow Up Flag: Follow up
Flag Status: Flagged

Thank you very much for this email, Dr. Morse. Many apologies for the delays on these letters. We will make sure that they are completed as soon as possible.

Sincerely, Pam Lanford

Pamela Lanford PhD, MS, CPIA
Director of Animal Research Support & Administrator, IACUC
Chair, University Senate
(b) (4) James Clark Hall
8278 Paint Branch Drive
University of Maryland
College Park, MD 20742
t: (b) (6)
pronouns: she/her/hers

On Wed, Apr 29, 2020 at 10:00 AM Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov> wrote:

Hello Dr. Lanford,

I hope you and your family are well. This is just a reminder that OLAW has three open compliance cases from U.MD - College Park. One is recent but two are getting old. Those two are OLAW Case A3270-1K reported to OLAW October 1, 2019 (cold room used as housing area without approval) and case -1L reported on December 9, 2019 (8 cows inoculated and 2 euthanized). OLAW understands that these are challenging times, but if you are able to provide interim or final reports, we can update our files. If not, please respond with a request for an extension for the investigation for these cases. An email is fine. Thank you for your cooperation.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM

Director

Division of Compliance Oversight



Initial Report of Noncompliance

By: (b) (6)

Date: 10/1/19

Time: 4:15

Name of Person reporting: Pam Lanford, PhD

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution:

Assurance number:

U. MD - College Park

A3270

Did incident involve PHS funded activity? _____

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? PI used a "cold room" as a housing area for > 24 hrs. w/o approval.

Species involved:

Personnel involved:

Dates and times:

Animal deaths:

Mice

Researcher

8/1/19

No

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____