



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

January 24, 2019

Re: Animal Welfare Assurance  
A3270-01 [OLAW Case 1F]

Dr. Laurie Locascio  
Vice President for Research  
University of Maryland – College Park  
(b) (4) Lee Building – 7809 Regents Drive  
College Park, MD 20742

Dear Dr. Locascio,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 22, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Maryland – College Park. We received the initial report via a phone call on August 16, 2018. According to the information provided, OLAW understands that an unapproved breeding scheme for Guinea Pigs was found to be taking place in May 2018. Breeding of transgenic and superovulated animals was allowed on the protocol, but not for colony expansion or fertility testing. These animals were not part of an NIH-funded study.

Corrective actions included the PI stopping the unauthorized breeding and submitting a protocol amendment to cover the additional breeding. The personnel working on the protocol were retrained regarding all aspects of the approved protocol.

The consideration of this matter by the University of Maryland – College Park was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to correct and prevent recurrence of the issue are appropriate. We appreciate being informed of this matter and find no cause for further action by this office at this time.

Sincerely,

(b) (6)

Brent C. Morse, DVM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Contact



# DIVISION OF RESEARCH

OFFICE OF THE VICE PRESIDENT FOR RESEARCH

2133 Lee Building  
College Park, Maryland 20742-5125  
301.405.4175 TEL 301.405.8386 FAX

January 22, 2019

Dr. Brent Morris, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, MD 20892-7982

RE: Animal Welfare Assurance Number: **D16-00172 (A3270-01)**

Dear Dr. Morse,

The University of Maryland, College Park has finalized an issue that was previously self-reported to OLAW on August 16, 2018. It was determined that, per a post-approval monitoring visit in late May, 2018, breeding of guinea pigs was performed in a manner inconsistent with the approved protocol. Specifically, the approved protocol described the breeding of transgenic and superovulated animals, but did not include standard breeding for the purposes of colony expansion or fertility testing. In addition, a breeding configuration (harem mating vs single pair) was used that was inconsistent with the IACUC-approved procedures. No PHS funding supported this work.

Corrective actions:

The investigator was highly cooperative, and noncompliant breeding was halted. The personnel working on the protocol were retrained regarding all aspects of the approved protocol and an amendment submitted to cover the additional breeding.

Sincerely,

(b) (6)

Laurie E. Locascio

Vice President for Research and  
Institutional Official

cc: Dr. Catherine Carr, IACUC Chair  
Dr. Shannon Stutler, Attending Veterinarian

(b) (6)

**Morse, Brent (NIH/OD) [E]**

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**From:** Morse, Brent (NIH/OD) [E]  
**Sent:** Wednesday, January 23, 2019 2:52 PM  
**To:** 'Pamela Jean Lanford'  
**Cc:** Laurie Locascio; (b) (6) Catherine Carr; Shannon A. Stutler  
**Subject:** RE: olawdco@mail.nih.gov

Thank you for providing this final report. We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

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**From:** Pamela Jean Lanford [mailto:planford@umd.edu]  
**Sent:** Wednesday, January 23, 2019 1:36 PM  
**To:** Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>  
**Cc:** Laurie Locascio <lcl@umd.edu>; (b) (6) Catherine Carr <cecarr@umd.edu>; Shannon A. Stutler <sstutler@umd.edu>  
**Subject:** olawdco@mail.nih.gov

Dear Dr. Morse,

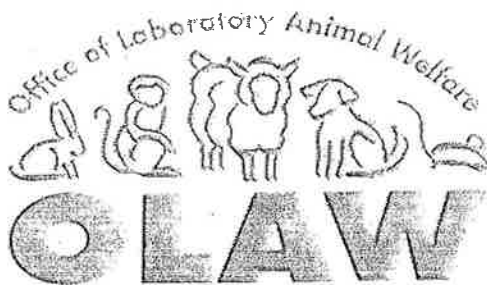
Please find attached a letter from the University of Maryland College Park Institutional Official, Dr. Laurie Locascio, finalizing an animal care issue previously self-reported to the Office of Laboratory Animal Welfare on August 16th, 2018.

Please let us know if you have questions or concerns.

Sincerely,

Pam Lanford

Pamela Lanford MS, PhD, CPIA  
Director of Animal Research Support & Administrator, IACUC  
Chair-Elect, University Senate  
(b) (4) A James Clark Hall  
8278 Paint Branch Drive  
University of Maryland  
College Park, MD 20742  
t: (b) (6)



## Initial Report of Noncompliance

By: BMDate: 8/17/18Time: VoicemailName of Person reporting: Pam Linford, PhDTelephone # (b) (6)Fax #: (b) (6)Email: (b) (6)Name of Institution: U. Md. Coll. ParkAssurance number: A3270Did incident involve PHS funded activity? No

Funding component: \_\_\_\_\_

Was funding component contacted (if necessary): \_\_\_\_\_

What happened? Breeding on protocol was not approvedSpecies involved: Mouse G.P.Personnel involved: ResearcherDates and times: May 25<sup>th</sup>

Animal deaths: \_\_\_\_\_

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

Projected submission to OLAW of final report from Institutional Official: \_\_\_\_\_

OFFICE USE ONLY

Case # \_\_\_\_\_