



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive – MSC 7982  
Bethesda, Maryland 20892-7982  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

February 5, 2018

Re: Animal Welfare Assurance  
A3270-01 [OLAW Case 1E]

Dr. Laurie E. Locascio  
Vice President for Research and  
Institutional Official  
University of Maryland – College Park  
2133 Lee Building – 7809 Regents Drive  
College Park, MD 20742

Dear Dr. Locascio:

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 12, 2018 letter regarding noncompliance with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals (Policy) at the University of Maryland College Park which was preceded by a preliminary report via telephone to OLAW on December 13, 2017. According to the information supplied, OLAW understands that in August 2017, care staff did not perform health checks or provide adequate food and water for two cages of mice on two sequential days. One adult mouse and four pups died, and two pups survived after rehydration treatment. It was reported that the involved animal activity was PHS supported.

Corrective actions included the facility veterinarian and post-approval monitoring coordinator retraining all care staff in this facility regarding adequate daily care and health checks. The post-approval monitoring coordinator will also perform a follow-up visit to the facility.

Please be advised that OLAW recommends prompt, *i.e., without delay*, preliminary reporting of noncompliance issues with submission of a final report once corrective action has been taken. OLAW accepts the corrective actions to reduce the likelihood of recurrence of this noncompliance and concurs with the submission of this report by the institution to comply with the PHS Policy. We appreciate being informed of this incident and find no cause for further action by this office.

Sincerely,

signature

Jane Na, DVM  
Veterinary Medical Officer, OLAW  
National Institutes of Health

cc: IACUC Contact



UNIVERSITY of MARYLAND  
**RESEARCH**

OFFICE OF THE VICE PRESIDENT FOR RESEARCH

2133 Lee Building  
College Park, Maryland 20742-5125  
301.405.4175 TEL 301.405.8386 FAX

January 12, 2018

Dr. Axel Wolff  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
Rockledge 1, Suite 360, MSC 7892  
6750 Rockledge Drive  
Bethesda, MD 20892-7982

RE: Animal Welfare Assurance Number: **D16-00172 (A3270-01)**

Dear Dr. Wolff,

The University of Maryland, College Park has finalized an issue that was previously self-reported to OLAW. This work is supported by NIH award 5R01DK085035.

In August 2017, animal care staff failed to perform health checks and to provide adequate food and water for two cages of mice, on two sequential days. This resulted in the deaths of an adult mouse and four pups. Two pups were successfully rehydrated.

**Corrective action:** The facility veterinarian and the Post-Approval Monitoring (PAM) coordinator provided all responsible care staff in this facility with immediate retraining regarding the requirements of adequate daily care and health checks. The PAM coordinator will perform a follow up visit to the facility.

Sincerely,

signature

Laurie E. Locascio, Ph.D.  
Vice President for Research and Institutional Official

LEL/PJL:smp

## Morse, Brent (NIH/OD) [E]

**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Tuesday, January 23, 2018 8:48 AM  
**To:** Secondary individual OLAW Division of Compliance Oversight (NIH/OD)  
**Cc:** Laurie Locascio; Secondary individual  
**Subject:** RE: UMD finalized report

Thank you, Secondary individual. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Acting Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

**From:** Secondary individual [mailto:Secondary individual@umd.edu]  
**Sent:** Monday, January 22, 2018 10:33 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** Laurie Locascio <lcl@umd.edu>; Secondary individual [mailto:Secondary individual@umd.edu]  
**Subject:** UMD finalized report

Dear Dr. Wolff,

Please find attached a letter from the University of Maryland College Park Institutional Official, Dr. Laurie Locascio, finalizing an animal care issue previously self-reported to the Office of Laboratory Animal Welfare on December 12th, 2017.

This is the **second** of two reporting communications.

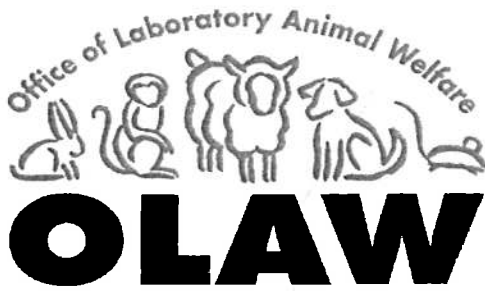
Please let us know if you have questions or concerns.

Sincerely,

Secondary individual

Secondary individual

Director of Animal Research Support & Administrator, IACUC  
Division of Research  
Room 4 Marie Mount Hall  
7814 Regents Drive  
University of Maryland  
College Park, MD 20742  
t telephone #



## Initial Report of Noncompliance

By: 

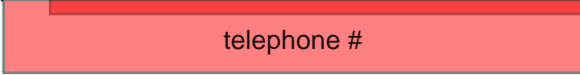
Date: 12/13/17

Time: 3:20

Name of Person reporting: 

Secondary individual

Telephone #:

telephone # 

Fax #:

Email:

Name of Institution:

U. MD. Coll. Park

Assurance number:

A3270

Did incident involve PHS funded activity? ?

Funding component: \_\_\_\_\_

Was funding component contacted (if necessary): \_\_\_\_\_

What happened?

Dam + 4 pups found dead. No feed for 2 days.

Species involved: Mouse

Personnel involved: Student caretaker

Dates and times: August 2017

Animal deaths: Yes

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # \_\_\_\_\_