

DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR EXPRESS MAIL:

Rockledge One, Suite 360

Bethesda, Maryland 20817

Telephone: (301) 496-7163

6705 Rockledge Drive

Office of Laboratory Animal Welfare

Facsimile: (301) 402-7065 Re: Animal Welfare Assurance

A3270-01 [OLAW Case 1D]

FOR US POSTAL SERVICE DELIVERY: Office of Laboratory Animal Welfare Rockledge One, Suite 360 6705 Rockledge Drive - MSC 7982 Bethesda, Maryland 20892-7982 Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

February 5, 2018

Dr. Laurie E. Locascio Vice President for Research and Institutional Official University of Maryland - College Park 2133 Lee Building – 7809 Regents Drive College Park, MD 20742

Dear Dr. Locascio:

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 12, 2018 letter regarding noncompliance with the Guide for the Care and Use of Laboratory Animals at the University of Maryland College Park (UMD) which was preceded by a preliminary report via telephone to OLAW on November 16, 2017. According to the information supplied, OLAW understands that in November 2017, care staff did not promptly report an incident where approximately 3/4s of the 210 1-day old chicks were found dead upon arrival to UMD after transport from a rearing facility in a climate-controlled UMD vehicle. Additionally, the carcasses were disposed of without examination by the University Attending Veterinarian. An inspection of the van's temperature control systems and exhaust did not reveal any malfunctions and the cause of death was unable to be determined. It was reported that the involved animal activity was not PHS supported.

Corrective actions included the facility veterinarian and post-approval monitoring coordinator retraining all care staff in this facility regarding adequate daily care and reporting responsibilities. The post-approval monitoring coordinator will also perform a follow-up visit.

Since these activities were in a functional program area that could affect Public Health Service (PHS) funded work, the establishment and application of policies and practices that are consistent with the provisions of the PHS Policy are critical. OLAW accepts the corrective actions to reduce the likelihood of recurrence of this noncompliance and concurs with the submission of this report by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals. We appreciate being informed of this incident and find no cause for further action by this office.

Sincerely,

signature

Jane Na, DVM Veterinary Medical Officer, OLAW National Institutes of Health

cc: IACUC Contact

2133 Lee Building College Park, Maryland 20742-5125 301.405.4175 TEL 301.405.8386 FAX

January 12, 2018

Dr. Axel Wolff
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7892
6750 Rockledge Drive
Bethesda, MD 20892-7982

RE: Animal Welfare Assurance Number: D16-00172 (A3270-01)

Dear Dr. Wolff,

The University of Maryland, College Park (UMD) has finalized an issue that was previously self-reported to OLAW. There is no PHS funding attached to this activity.

In November 2017, a shipment of 1-day old chicks was transported from a rearing facility to UMD via a climate-controlled UMD vehicle. Upon arrival at the UMD, it was discovered that approximately 3/4th of the 210 animals had died. Extensive inspection of the van's temperature control systems and exhaust did not reveal any malfunction, and it was not possible to determine a cause of death.

The staff operating the van failed to promptly report this incident, and carcasses were disposed of without review by the University Attending Veterinarian (UAV). This unit was therefore noncompliant with *The Guide* and UMD policy on adverse event reporting to the UAV.

Corrective action: The UAV and the Post-Approval Monitoring (PAM) coordinator provided all responsible care staff in this facility with immediate retraining regarding the requirements of adequate daily care and their responsibilities in reporting. The PAM coordinator will perform a follow up visit to the facility.

Sincerely

Laurie E. Locascio, Ph.D.

Vice President for Research and Institutional Official

LEL/PJL:smp

Morse, Brent (NIH/OD) [E]

From:

OLAW Division of Compliance Oversight (NIH/OD)

Sent:

Tuesday, January 23, 2018 8:47 AM

To:

Secondary individual OLAW Division of Compliance Oversight (NIH/OD)

Cc:

Laurie Locascio; condary individu

Subject:

RE: UMD finalized report

Thank you ondary individue will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM **Acting Director** Division of Compliance Oversight Office of Laboratory Animal Welfare National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From:

Secondary individual

@umd.edu1

Sent: Monday, January 22, 2018 10:32 AM

To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>

Cc: Laurie Locascio < lel@umd.edu >; Secondary individual Pumd.edu >

Subject: UMD finalized report

Dear Dr. Wolff,

Please find attached a letter from the University of Maryland College Park Institutional Official, Dr. Laurie Locascio, finalizing an animal care issue previously self-reported to the Office of Laboratory Animal Welfare on November 16th, 2017.

This is the **first** of two reporting communications.

Please let us know if you have questions or concerns.

Sincerely,

Secondary individual

Secondary individual

Director of Animal Research Support & Administrator, IACUC Division of Research

Room #Iarie Mount Hall

7814 Regents Drive

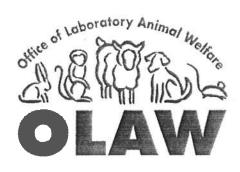
University of Maryland

College Park, MD 20742

t: telephone #



43270 - 143270 on 09/15/2020
Date: 11 / 6/17 Name of Person reporting Telephone #: Fax #: Email: Name of Person reporting Telephone #: Fax #: Email:
Date: 11/16/17 Time: 3:35
Name of Person reporting Telephone #: Fax #: Email: Secondary individual telephone #
Name of Institution: Assurance number: U. MD at Coll. Park
Did incident involve PHS funded activity? Funding component: Was funding component contacted (if necessary):
What happened? Chicks being transported in Univ. Truck. A bout 150/200 dead on arrival at Univ. Species involved: Chicken Personnel involved: Univ. staff Dates and times: Nov 2 Animal deaths:
Projected plan and schedule for correction/prevention (if known): Checking if CO problem in van. Delayed reporting of incident to problem program. Projected submission to OLAW of final report from Institutional Official:
OFFICE USE ONLY Case #



Division of Compliance Oversight

Record of Call for Case # A 3270-1 | D

Date & Time	Message	Initials
12/13/17	Spoke W Secondary individual Final report expected yarmany.	
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