



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive – MSC 7982  
Bethesda, Maryland 20892-7982  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

February 5, 2018

Re: Animal Welfare Assurance  
A3270-01 [OLAW Case 1D]

Dr. Laurie E. Locascio  
Vice President for Research and  
Institutional Official  
University of Maryland – College Park  
2133 Lee Building – 7809 Regents Drive  
College Park, MD 20742

Dear Dr. Locascio:

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 12, 2018 letter regarding noncompliance with the Guide for the Care and Use of Laboratory Animals at the University of Maryland College Park (UMD) which was preceded by a preliminary report via telephone to OLAW on November 16, 2017. According to the information supplied, OLAW understands that in November 2017, care staff did not promptly report an incident where approximately 3/4s of the 210 1-day old chicks were found dead upon arrival to UMD after transport from a rearing facility in a climate-controlled UMD vehicle. Additionally, the carcasses were disposed of without examination by the University Attending Veterinarian. An inspection of the van's temperature control systems and exhaust did not reveal any malfunctions and the cause of death was unable to be determined. It was reported that the involved animal activity was not PHS supported.

Corrective actions included the facility veterinarian and post-approval monitoring coordinator retraining all care staff in this facility regarding adequate daily care and reporting responsibilities. The post-approval monitoring coordinator will also perform a follow-up visit.

Since these activities were in a functional program area that could affect Public Health Service (PHS) funded work, the establishment and application of policies and practices that are consistent with the provisions of the PHS Policy are critical. OLAW accepts the corrective actions to reduce the likelihood of recurrence of this noncompliance and concurs with the submission of this report by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals. We appreciate being informed of this incident and find no cause for further action by this office.

Sincerely,

signature

Jane Na, DVM  
Veterinary Medical Officer, OLAW  
National Institutes of Health

cc: IACUC Contact



UNIVERSITY of MARYLAND  
**RESEARCH**

OFFICE OF THE VICE PRESIDENT FOR RESEARCH

2133 Lee Building  
College Park, Maryland 20742-5125  
301.405.4175 TEL 301.405.8386 FAX

January 12, 2018

Dr. Axel Wolff  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
Rockledge 1, Suite 360, MSC 7892  
6750 Rockledge Drive  
Bethesda, MD 20892-7982

RE: Animal Welfare Assurance Number: **D16-00172 (A3270-01)**

Dear Dr. Wolff,

The University of Maryland, College Park (UMD) has finalized an issue that was previously self-reported to OLAW. There is no PHS funding attached to this activity.

In November 2017, a shipment of 1-day old chicks was transported from a rearing facility to UMD via a climate-controlled UMD vehicle. Upon arrival at the UMD, it was discovered that approximately 3/4<sup>th</sup> of the 210 animals had died. Extensive inspection of the van's temperature control systems and exhaust did not reveal any malfunction, and it was not possible to determine a cause of death.

The staff operating the van failed to promptly report this incident, and carcasses were disposed of without review by the University Attending Veterinarian (UAV). This unit was therefore noncompliant with *The Guide* and UMD policy on adverse event reporting to the UAV.

**Corrective action:** The UAV and the Post-Approval Monitoring (PAM) coordinator provided all responsible care staff in this facility with immediate retraining regarding the requirements of adequate daily care and their responsibilities in reporting. The PAM coordinator will perform a follow up visit to the facility.

Sincerely

Laurie E. Locascio, Ph.D.  
Vice President for Research and Institutional Official

LEL/PJL:smp

## Morse, Brent (NIH/OD) [E]

**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Tuesday, January 23, 2018 8:47 AM  
**To:** Secondary individual OLAW Division of Compliance Oversight (NIH/OD)  
**Cc:** Laurie Locascio; Secondary individual  
**Subject:** RE: UMD finalized report

Thank you Secondary individual. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Acting Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

**From:** Secondary individual @umd.edu]  
**Sent:** Monday, January 22, 2018 10:32 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** Laurie Locascio <lcl@umd.edu>; Secondary individual @umd.edu>  
**Subject:** UMD finalized report

Dear Dr. Wolff,

Please find attached a letter from the University of Maryland College Park Institutional Official, Dr. Laurie Locascio, finalizing an animal care issue previously self-reported to the Office of Laboratory Animal Welfare on November 16th, 2017.

This is the **first** of two reporting communications.

Please let us know if you have questions or concerns.

Sincerely,

Secondary individual

Secondary individual

Director of Animal Research Support & Administrator, IACUC  
Division of Research  
Room #1111 Marie Mount Hall  
7814 Regents Drive  
University of Maryland  
College Park, MD 20742  
t: telephone #



## Initial Report of Noncompliance

By: [REDACTED]

Date: 11/16/17

Time: 3:35

Name of Person reporting [REDACTED]

Secondary individual [REDACTED]

Telephone #: [REDACTED]

Fax #: [REDACTED]

telephone # [REDACTED]

Email: [REDACTED]

Name of Institution:

U. MD at Coll. Park

Assurance number:

A3270

Did incident involve PHS funded activity? \_\_\_\_\_

Funding component: \_\_\_\_\_

Was funding component contacted (if necessary): \_\_\_\_\_

What happened?

chicks being transported in Univ. truck. About 150/200 dead on arrival at Univ.

Species involved: Chicken

Personnel involved: Univ. staff

Dates and times: Nov 2

Animal deaths:

Yes

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

checking if CO problem in van. Delayed reporting of incident to ~~problem~~ program.

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # \_\_\_\_\_



## Division of Compliance Oversight

Record of Call for Case # A3270-1E1D

Date & Time	Message	Initials
12/13/17 3:20	Spoke w/ <span>Secondary individual</span> Final report expected January.	<span></span>