



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

May 26, 2020

Re: Animal Welfare Assurance
#A3021-01 (OLAW Case 4C]

Ms. Jennifer Lassner
Senior Assistant Vice President for Research
The University of Iowa
Office for the Vice President for Research
2660 University Capitol Centre
Iowa City, Iowa 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 20, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Iowa Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure of lab personnel to carry out veterinary orders (e.g. treatments). The final report states on April 14, 2020 it was discovered that a lab member missed three treatments of an animal as required by the veterinary staff. It is noted the clinical veterinarian assigned to the case stated there was no animal welfare impact due to the missed treatments. Corrective actions included:

- The IACUC Training and Compliance Manager contacted the Principal Investigator (PI) and lab manager to discuss the issue and the lab member was provided retraining.
- On May 1, 2020 the IACUC Chair, Attending Veterinarian, and Director of the IACUC Office held a meeting with the PI to discuss the incident. As a result, the PI formed a remediation plan, including removal of animal research privileges for any lab member who does not follow veterinary orders. Reinstatement would occur once appropriate assurance is given that they fully understand and agreed to abide by their responsibilities for animal care.

The IACUC members discussed the incident at the convened meeting held on May 13, 2020. Furthermore, a meeting will be held with the PI and the Associate Dean of Research regarding the research compliance environment in the laboratory.

It is noted that this project is supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Iowa has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,
**Jacquelyn T.
Tubbs -S**
Jacquelyn T. Tubbs, DVM
Veterinary Medical Officer
Division of Compliance Oversight
Office of Laboratory Animal Welfare

Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2020.05.27 08:19:58
-04'00'

cc: IACUC Contact



**Office of the Vice President
for Research**

2660 University Capitol Centre
Iowa City, Iowa 52242-5500
319-335-2119

May 20, 2020

Axel V. Wolff, MS, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Wolff:

This letter is to report an incident involving a mouse. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is NS036592.

April 14, 2020: A lab member was found to have missed three treatments of an animal as required by the veterinary staff. In the professional opinion of the clinical veterinarian assigned to the case, there was no animal welfare impact due to the missed treatments.

The IACUC Training and Compliance Manager contacted the PI and lab manager to discuss the matter. Additionally, the lab member was provided retraining.

May 1, 2020: The IACUC Chair, Attending Veterinarian, and Director of the IACUC Office held a meeting with the PI to discuss the incident. The PI has formed a remediation plan, including removal of animal research privileges for any lab member who does not follow veterinary orders. Removal would be for an undetermined period and reinstatement would occur once appropriate assurance is given that they fully understand and agreed to abide by their responsibilities for animal care.

May 13, 2020: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies. Additionally, a meeting will be held with the PI and the Associate Dean of Research regarding the research compliance environment in the laboratory.

I have reviewed the event and believe it was appropriately managed.
If you have any questions pertaining to this report, please contact me.

Sincerely,

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Jennifer Lassner
Institutional Official
Associate Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, May 22, 2020 8:01 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Got it, thanks.
Axel Wolff

From: IACUC <iacuc@uiowa.edu>
Sent: Wednesday, May 20, 2020 3:12 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>
Subject: Re: Report of non-compliance incident

My apologies as one additional attachment did not save.

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From: IACUC
Sent: Wednesday, May 20, 2020 2:08 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>
Subject: Report of non-compliance incident

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

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