



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

May 26, 2020

Re: Animal Welfare Assurance
#A3021-01 (OLAW Case 4B]

Ms. Jennifer Lassner
Senior Assistant Vice President for Research
The University of Iowa
Office for the Vice President for Research
2660 University Capitol Centre
Iowa City, Iowa 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 20, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Iowa Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to the IACUC-approved protocol. The final report states on May 2, 2020 a review of surgery records for a laboratory revealed that up to 30 mice has undergone surgery. The lab did not provide analgesia in accordance with the dosing regimen described in their approved Animal Protocol. Also, it was determined that surgical records were not in compliance with IACUC requirements. One corrective action involved the IACUC Training and Compliance Manager and clinical veterinarian meeting with the surgeon and lab manager to discuss the incident and provide on-the-spot retraining. The report states additional retraining on aseptic surgery expectations (including recordkeeping) is scheduled to be performed once normal research activities resume (currently suspended due to the COVID-19 pandemic).

At the convened IACUC meeting on May 13, 2020, members discussed the incident and voted that it was deemed reportable. Furthermore, the members voted to suspend the surgeon's access to animal facilities until such time the full retraining can be completed.

It is noted that this research is supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Iowa has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,
Jacquelyn T.
Tubbs -S

Digitally signed by Jacquelyn T.
Tubbs -S
Date: 2020.05.27 08:14:57
-04'00'

Jacquelyn T. Tubbs, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact


**Office of the Vice President
for Research**

2660 University Capitol Centre
Iowa City, Iowa 52242-5500
319-335-2119

May 20, 2020

Axel V. Wolff, MS, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Wolff:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is HL130346.

May 2, 2020: Upon review of a laboratory's surgical records, it was found that surgery had been performed on up to 30 mice. The lab did not provide analgesia in accordance with the dosing regimen described in their approved Animal Protocol. Additionally, surgical records were not in compliance with IACUC requirements.

The IACUC Training and Compliance Manager and clinical veterinarian met with the surgeon and lab manager to discuss the incident and provide on-the-spot retraining. Further retraining on aseptic surgery expectations (including recordkeeping) is scheduled to be performed once normal research activities resume (currently suspended due to the COVID-19 pandemic).

May 13, 2020: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies. Additionally, the members voted to suspend the surgeon's access to animal facilities until such time the full retraining can be completed.

I have reviewed the event and believe it was appropriately managed.
If you have any questions pertaining to this report, please contact me.

Sincerely,

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Jennifer Lassner
Institutional Official
Associate Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, May 22, 2020 7:59 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you for these reports. We will respond soon.

Axel Wolff

From: IACUC <iacuc@uiowa.edu>
Sent: Wednesday, May 20, 2020 3:09 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>
Subject: Report of non-compliance incident

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

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