



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

May 26, 2020

Re: Animal Welfare Assurance  
#A3021-01 (OLAW Case 4A]

Ms. Jennifer Lassner  
Senior Assistant Vice President for Research  
The University of Iowa  
Office for the Vice President for Research  
2660 University Capitol Centre  
Iowa City, Iowa 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 20, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Iowa Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to the IACUC-approved protocol. The final report states on May 2, 2020 a lab member performed tail snipping of four adult mice. This activity was not approved in the protocol, nor was it conducted in accordance with the IACUC's policy on tail snipping of rodents. Corrective action involved the IACUC Training and Compliance Manager retraining the lab member and reviewing the IACUC policy on tail snipping. Another corrective measure was the submission of an appropriate amendment to the Animal Protocol for this procedure, which is now approved. The IACUC reviewed the incident on May 13, 2020 at the convened meeting and voted the incident as reportable.

It is noted that these animals are involved in PHS funded activities. Based on its assessment of this explanation, OLAW understands that the University of Iowa has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,  
Jacquelyn T.  
Tubbs -S

Digitally signed by Jacquelyn T.  
Tubbs -S  
Date: 2020.05.27 08:04:58  
-04'00'

Jacquelyn T. Tubbs, DVM  
Animal Welfare Program Specialist  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Contact



**Office of the Vice President  
for Research**

2660 University Capitol Centre  
Iowa City, Iowa 52242-5500  
319-335-2119

May 20, 2020

Axel V. Wolff, MS, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare (OLAW)  
National Institutes of Health  
6700B Rockledge Drive  
Suite 2500, MSC 6910  
Bethesda, MD 20892

Dear Dr. Wolff:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is AA025919.

May 2, 2020: A lab member was found to have performed tail snipping of four adult mice. This was not describe in their approved Animal Protocol, nor was it conducted in accordance with the IACUC's policy on tail snipping of rodents.

The IACUC Training and Compliance Manager provided retraining of the lab member, including review of the IACUC policy on tail snipping. The lab has also submitted an appropriate amendment to the Animal Protocol for this procedure, which is now approved.

May 13, 2020: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the event and believe it was appropriately managed.  
If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

A large rectangular grey box redacting the signature of Jennifer Lassner.

Jennifer Lassner  
Institutional Official  
Associate Vice President for Research

## **Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Friday, May 22, 2020 7:59 AM  
**To:** IACUC  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Report of non-compliance incident

Thank you for these reports. We will respond soon.

Axel Wolff

**From:** IACUC <iacuc@uiowa.edu>  
**Sent:** Wednesday, May 20, 2020 3:09 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** AAALAC International <accredit@AAALAC.org>  
**Subject:** Report of non-compliance incident

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

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