



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

March 16, 2020

Re: Animal Welfare Assurance
A3021-01 [OLAW Case 3Y]

Ms. Jennifer Lassner
Senior Assistant VP for Research
The University of Iowa
2660 University Capitol Centre
Iowa City, IA 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 12, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. According to the information provided, OLAW understands that two rodents were not euthanized at the deadline set by the veterinary staff.

The corrective actions consisted of euthanizing the animals and retraining the laboratory staff to promptly respond to euthanasia requests. The Office of Animal Resources has been standardizing veterinary orders for treatment, euthanasia, and adhering to experimental endpoints.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy. Please remember to specifically indicate the species involved in noncompliance reports. Thank you for informing OLAW about this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



**Office of the Vice President
for Research**

2660 University Capitol Centre
Iowa City, Iowa 52242-5500
319-335-2119

March 12, 2020

Axel V. Wolff, MS, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Wolff:

This letter is to report incidents involving rodents. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is HL142935.

February 1, 2020: A lab missed the deadline for euthanasia of two animals as required by the veterinary staff. Each of the animals were euthanized by the veterinary staff assigned to follow-up on these cases.

March 11, 2020: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies. As stated in the previous letter, the Office of Animal Resources (OAR) has been working to standardize veterinary care orders for treatments/euthanasia of clinical cases and adherence to experimental endpoints. This includes the specification of appropriate deadlines and prompt follow-up and reporting mechanisms for communicating issues to the veterinary staff and the IACUC. In the case listed above, OAR completed euthanasia when missed by the lab staff and then lab staff were retrained by the IACUC Training and Compliance Manager regarding expectations for responding to euthanasia requests. The Committee feels that the above-mentioned measures have led to a more robust program that can better identify and report such findings.

I have reviewed the event and believe it was appropriately managed and fully addressed.
If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

A large rectangular grey box redacting the signature of Jennifer Lassner.

Jennifer Lassner
Institutional Official
Associate Vice President

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, March 13, 2020 10:39 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@uiowa.edu>
Sent: Friday, March 13, 2020 10:24 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@aaalac.org
Subject: Report of non-compliance incident

Please see attached a report of non-compliance at the University of Iowa.

Thank you,

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(b) (6)