



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

January 24, 2020

Re: Animal Welfare Assurance  
A3021-01 [OLAW Case 3U]

Ms. Jennifer Lassner  
Senior Assistant VP for Research  
The University of Iowa  
2660 University Capitol Centre  
Iowa City, IA 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your letter dated January 14, 2020, concerning a report of an incident of noncompliance with the Public Health Service Policy on the Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Iowa. According to the information provided, OLAW understands that on December 18, 2019, four breeding pairs of mice were found on a protocol for which breeding had not been approved. The mice were subsequently separated and monitored for birth. This activity was PHS funded.

Corrective and preventive actions included retraining and amending the study protocol to allow breeding.

The University of Iowa's prompt reporting of this incident was consistent with the philosophy of self-regulation. Similarly, the timely actions taken to respond to the issue were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Catharine E. Pritchard, PhD  
Animal Welfare Policy Scientist  
Division of Policy and Education  
Office of Laboratory Animal Welfare

cc: IACUC Contact

**Office of the Vice President  
for Research**

2660 University Capitol Centre  
Iowa City, Iowa 52242-5500  
319-335-2119

January 14, 2020

Axel V. Wolff, MS, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare (OLAW)  
National Institutes of Health  
6700B Rockledge Drive  
Suite 2500, MSC 6910  
Bethesda, MD 20892

Dear Dr. Wolff:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is NS107293.

December 18, 2019: A lab was found to have performed breeding (four cages of breeder pairs) which was not approved in their Animal Protocol. Breeders were separated and females monitored for birth.

The IACUC Office was promptly notified of the incident and the PI contacted.

December 20, 2019: The IACUC Training and Compliance Manager met with the lab member to provide retraining. The lab has submitted the appropriate amendments to their Animal Protocol.

January 8, 2020: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the events and believe they were appropriately managed and fully addressed. If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

A large rectangular area of the document has been redacted with a solid grey box, covering the signature and any handwritten notes.

Jennifer Lassner  
Institutional Official  
Senior Assistant Vice President for Research

**Morse, Brent (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Tuesday, January 14, 2020 9:23 AM  
**To:** IACUC; OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Report of non-compliance incident

Thank you for submitting these reports (b) (6) We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

**From:** IACUC [mailto:iacuc@uiowa.edu]  
**Sent:** Tuesday, January 14, 2020 8:58 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** accredit@aaalac.org  
**Subject:** Report of non-compliance incident

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

(b) (6)

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(b) (6)