



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

January 24, 2020

Re: Animal Welfare Assurance
A3021-01 [OLAW Case 3T]

Ms. Jennifer Lassner
Senior Assistant VP for Research
The University of Iowa
2660 University Capitol Centre
Iowa City, IA 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your letter dated January 14, 2020, concerning a report of an incident of noncompliance with the Public Health Service Policy on the Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Iowa. According to the information provided, OLAW understands that on December 19, 2019, a mouse exceeded the number of days on an approved study protocol and was subsequently euthanized. This activity was PHS funded.

Corrective and preventive actions included amending the study protocol to extend the study duration.

The University of Iowa's prompt reporting of this incident was consistent with the philosophy of self-regulation. Similarly, the timely actions taken to respond to the issue were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Catharine E. Pritchard, PhD
Animal Welfare Policy Scientist
Division of Policy and Education
Office of Laboratory Animal Welfare

cc: IACUC Contact



13021 - 3T

**Office of the Vice President
for Research**

2660 University Capitol Centre
Iowa City, Iowa 52242-5500
319-335-2119

January 14, 2020

Axel V. Wolff, MS, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Wolff:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is AI139874.

December 19, 2019: During veterinary review of a clinical case, it was determined that a mouse undergoing treatment had exceeded the study/experimental endpoint. Although the animal had not met clinical endpoints that would require euthanasia, the animal had exceeded the number of days on study as approved in the Animal Protocol. The mouse was subsequently euthanized.

The IACUC Office was promptly notified of the incident and the PI contacted. The PI was directed to submit appropriate amendments to allow for extension of the study duration and the PI has done so.

January 8, 2020: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the events and believe they were appropriately managed and fully addressed. If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)



Jennifer Lassner
Institutional Official
Senior Assistant Vice President for Research

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, January 14, 2020 9:23 AM
To: IACUC; OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you for submitting these reports (b) (6) We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: IACUC [mailto:iacuc@uiowa.edu]
Sent: Tuesday, January 14, 2020 8:58 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@aaalac.org
Subject: Report of non-compliance incident

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

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(b) (6)