



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

April 29, 2019

Re: Animal Welfare Assurance
A3021-01 [OLAW Case 3N]

Ms. Jennifer Lassner
Senior Assistant VP for Research
The University of Iowa
2660 University Capitol Centre
Iowa City, IA 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 17, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. According to the information provided, OLAW understands that two mice were exposed to CO2 for euthanasia but the required secondary physical method to ensure death was not performed.

The corrective action consisted of contacting the Principal Investigator and retraining the laboratory member responsible on the appropriate conduct of euthanasia.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair
IACUC Coordinator



43021-3N

**Office of the Vice President
for Research**

2660 University Capitol Centre
Iowa City, Iowa 52242-5500
319-335-2119

April 17, 2019

Axel V. Wolff, MS, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Wolff:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is AI121196.

April 3, 2019: An animal care technician observed a lab member perform CO₂ euthanasia on two mice. The lab member did not subsequently perform a confirmation method within the euthanasia room as required by IACUC policy. When contacted, however, the lab member stated that the mice were not breathing after transport to the lab to perform tissue dissection.

The IACUC was promptly notified of the incident.

The IACUC Training and Compliance Manager contacted the PI and lab member. The PI provided retraining to the lab on proper euthanasia, including prompt confirmation of death. The IACUC Training and Compliance Manager met with the lab member to provide additional retraining.

April 10, 2019: The IACUC discussed the incident at a convened meeting of the quorum and voted to report to the appropriate agencies.

I have reviewed the events and believe they were appropriately managed and fully addressed. I am confident that the corrective action taken will prevent future occurrences.

If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

A large rectangular area of the document has been redacted with a solid grey box, obscuring the signature and any text that might have been present.

Jennifer Lassner
Institutional Official
Senior Assistant Vice President for Research

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, April 17, 2019 2:03 PM
To: IACUC; OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you for providing these reports. We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: IACUC [mailto:iacuc@uiowa.edu]
Sent: Wednesday, April 17, 2019 1:40 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@aaalac.org
Subject: Report of non-compliance incident

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

(b) (6)

(b) (6)