FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

September 11, 2019

Re: Animal Welfare Assurance
#A3456-01 [OLAW Case 8O]
UMN IACUC #34219A

Dr. Christopher Cramer
Vice President for Research
Institutional Official
University of Minnesota
(b) (4) Johnston Hall - 101 Pleasant St., SE
Minneapolis, MN 55455

Dear Dr. Cramer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 10, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Minnesota. According to the information provided, OLAW understands that 14 mice undergoing surgery were given analgesics following surgery rather than prior to the procedure as described in the protocol. The Principal Investigator indicated that this occurred due to a staff training failure.

The corrective actions consisted of retraining the laboratory staff on the analgesia administration schedule.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

UNIVERSITY OF MINNESOTA

Twin Cities Campus Institutional Animal Care and Use Committee (IACUC)

Mayo Mail Code 79
D-528 Mayo Memorial Building
420 Delaware St. SE
Minneapolis, MN 55455
Phone: 612-626-2126
Fax: 612-626-6061
iacuc@umn.edu
www.research.umn.edu/iacuc

September 10, 2019

TO: Axel Wolff, DVM
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

RE: University of Minnesota Animal Care Program D16-00288 (A-3456-01)
NIH Grant Number: R44 GM115192-02A1
UMN IACUC #34219A

Dear Dr. Wolff,

In keeping with the terms of our assurance, please be informed that we are making a report of non-compliance of an IACUC approved, NIH funded study involving mice. The basis of this report results from a surgery inspection. The report outlined that fourteen mice undergoing surgery did not receive the required analgesic prior to surgery as described in the protocol but instead were given this analgesic after the procedure. This deviation in protocol occurred on July 25, 2019.

We want to inform you that we consider this matter closed. A response was received from the PI indicating that due to a training issue of personnel, the analgesic was mistakenly not given at the required time as outlined in the protocol. As such, all personnel working on the protocol have now been retrained as to the required administration schedule so that animals receive proper analgesic coverage prior to the surgical procedure.

Sincerely,

(b) (6)

Christopher J. Cramer, Ph.D.
Vice President for Research
Institutional Official, University of Minnesota
101 Pleasant Street, S.E.
Minneapolis, MN 55455

CC: Brian Crooker, Chair, IACUC
Lynn Collura Impelluso, Institutional Veterinarian

From:	OLAW Division of Compliance Oversight (NIH/OD)
Sent:	Wednesday, September 11, 2019 6:33 AM
To:	(b) (6)
Cc:	OLAW Division of Compliance Oversight (NIH/OD)
Subject:	RE: Third of three reports of non-compliance

Thank you. We will respond soon.
Axel Wolff

From: (b) (6)
Sent: Tuesday, September 10, 2019 4:04 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Brian Crooker <crook001@umn.edu>; Lynn Impelluso <impell@umn.edu>
Subject: Third of three reports of non-compliance

Dear Dr. Wollf,

Attached please find the last of three reports of non-compliance sent today. Let me know if you have any questions.
Thank you,
(b) (6)

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(b) (6)

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