



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

September 11, 2019

Re: Animal Welfare Assurance
#A3456-01 [OLAW Case 8P]
UMN IACUC #34120A

Dr. Christopher Cramer
Vice President for Research
Institutional Official
University of Minnesota
(b) (4) Johnston Hall - 101 Pleasant St., SE
Minneapolis, MN 55455

Dear Dr. Cramer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 10, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Minnesota. According to the information provided, OLAW understands that 46 mice were subjected to an unapproved anesthetic procedure.

The corrective actions consisted of having the Principal Investigator amend the protocol to include the procedure. The procedure will not be conducted until the amendment has been approved.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy. Please remember not to charge the NIH grant for any unauthorized animal activities.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

13456-8P

UNIVERSITY OF MINNESOTA

Twin Cities Campus

Institutional Animal Care and Use Committee (IACUC)

Mayo Mail Code 79
D-528 Mayo Memorial Building
420 Delaware St. SE
Minneapolis, MN 55455
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September 10, 2019

TO: Axel Wolff, DVM
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

RE: University of Minnesota Animal Care Program D16-00288 (A-3456-01)
NIH Grant Number: R21 CA235385
UMN IACUC #34120A

Dear Dr. Wolff,

In keeping with the terms of our assurance, please be informed that we are making a report of non-compliance of an IACUC approved, NIH funded study involving mice. The basis of this report results from a post approval monitoring inspection. The report outlined that forty-six mice underwent an anesthetic procedure that was not approved in the protocol. This deviation in protocol occurred between the dates of March 6, 2019 and August 20, 2019.

We want to inform you that we consider this matter closed. A response was received from the PI indicating that an amendment has been submitted to the IACUC adding the anesthetic procedure for injection of cells and until such time that this amendment is approved, the procedure will not be conducted.

Sincerely,

(b) (6)

Christopher J. Cramer, Ph.D.
Vice President for Research
Institutional Official, University of Minnesota
101 Pleasant Street, S.E.
Minneapolis, MN 55455

CC: Brian Crooker, Chair, IACUC
Lynn Collura Impelluso, Institutional Veterinarian

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, September 11, 2019 6:32 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance

Thank you. We will respond soon.
Axel Wolff

From: (b) (6)
Sent: Tuesday, September 10, 2019 4:01 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Brian Crooker <crook001@umn.edu>; Lynn Impelluso <impell@umn.edu>
Subject: Report of non-compliance

Hello Dr. Wolff,
Attached please find the second of three reports of non-compliance being sent today. Please let me know if you have any questions.
Thank you,

(b) (6)

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(b) (6)