



DEPARTMENT OF HEALTH & HUMAN SERVICES
HEALTH

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 26, 2020

Re: Animal Welfare Assurance
#A3456-01 [OLAW Case 8T]
UMN IACUC #36018A

Dr. Christopher Cramer
Vice President for Research
Institutional Official
University of Minnesota
(b) (4) Johnston Hall - 101 Pleasant St., SE
Minneapolis, MN 55455

Dear Dr. Cramer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 25, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Minnesota. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Minnesota Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to ensure death of animals after euthanasia procedures. The final report states on June 8, 2020 a weanling mouse was discovered in a euthanasia bag and the animal had not been properly euthanized. It is stated the principal investigator has outlined the corrective action plan with a description of the incident. The staff member responsible will be retrained in multiple courses offered by the University. Retraining will include proper CO₂ practices, which is offered as a hands-on course through Research Animal Resources per the report.

It is noted that this research is supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Minnesota has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

(b) (6)

Jacquelyn T. Tubbs, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

UNIVERSITY OF MINNESOTA

Twin Cities Campus

Institutional Animal Care and Use Committee (IACUC)

Mayo Mail Code 79
D-528 Mayo Memorial Building
420 Delaware St. SE
Minneapolis, MN 55455
Phone: 612-626-2126
Fax: 612-626-6061
iacuc@umn.edu
www.research.umn.edu/iacuc

June 25, 2020

TO: Axel Wolff, DVM
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

RE: University of Minnesota Animal Care Program D16-00288 (A-3456-01)
NIH Grant Number: R01 AR042423 and R01 AR049899
UMN IACUC #36018A

Dear Dr. Wolff,

In keeping with the terms of our assurance, please be informed that we are making a report of non-compliance of an IACUC approved, NIH funded study involving mice. The basis of this report results from a self-reported incident. The report outlined that one weanling mouse was found in a euthanasia bag that was not properly euthanized. This deviation in protocol occurred on June 8, 2020.

We want to inform you that we consider this matter closed. Within the description of the incident, the PI outlined the corrective action that will occur. The staff member involved with this deviation will be retrained in multiple courses offered by the University, including proper CO2 practices, which is offered as a hands-on course through Research Animal Resources to ensure that this incident does not recur.

Sincerely,

(b) (6)

Christopher J. Cramer, Ph.D.
Vice President for Research
Institutional Official, University of Minnesota
101 Pleasant Street, S.E.
Minneapolis, MN 55455

CC: Richard Bianco, Chair, IACUC
Lynn Collura Impelluso, Institutional Veterinarian

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, June 26, 2020 7:46 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of Non-Compliance

Thank you for this report, (b) (6) We will send a response soon.
Axel Wolff

From: (b) (6)
Sent: Thursday, June 25, 2020 1:37 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Richard Bianco <bianc001@umn.edu>; Lynn Impelluso <impell@umn.edu>
Subject: Report of Non-Compliance

Hello Dr. Wolff,

Attached please find a report of non-compliance.
Thank you,
(b) (6)

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(b) (6)