

## DEPARTMENT OF HEALTH & HUMAN SERVICES

## PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Fassimile: (301) 402-7065

January 16, 2020

Re: Animal Welfare Assurance #A3456-01 [OLAW Case 8S] UMN IACUC #35921A

Dr. Christopher Cramer
Vice President for Research
Institutional Official
University of Minnesota

(b) (4) Johnston Hall - 101 Pleasant St., SE
Minneapolis, MN 55455

Dear Dr. Cramer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 8, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Minnesota.

According to the information provided, this Office understands that the University of Minnesota determined that instances of noncompliance occurred with respect to: 36 hamsters that did not receive the required analgesia prior to surgery as described in the protocol. The incidents occurred on September 27, 2019 and October 3, 2019, where animals received analgesics after the procedure. The letter states that response from the PI indicated that due to a training issue of personnel, the analgesia was mistakenly not given as outlined in the protocol. All personnel on the protocol have now been retrained as to the required administration schedule of analgesia prior to surgical procedure. It is noted that this study is NIH funded.

Based on its assessment of this explanation, OLAW understands that the University of Minnesota has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely, (b) (6)

Jacquelyn T. Tubbs, DVM Veterinary Medical Officer Division of Compliance Oversight Office of Laboratory Animal Welfare

cc: IACUC Contact

A3456-85

## University of Minnesota

Twin Cities Campus

Institutional Animal Care and Use Committee (IACUC)

Mayo Mail Code 79
D-528 Mayo Memorial Building
420 Delaware St. SE
Minneapolis, MN 55455
Phone: 612-626-2126
Fax: 612-626-6061
iacuc@umn.edu
www.research.umn.edu/iacuc

January 8, 2020

TO:

Axel Wolff, DVM

Office of Laboratory Animal Welfare

National Institutes of Health RKL1, Suite 360, MSC 7982 6705 Rockledge Drive Bethesda, MD 20892-7982

RE:

University of Minnesota Animal Care Program D16-00288 (A-3456-01)

NIH Grant Number: NSF 1856724

UMN IACUC #35921A

Dear Dr. Wolff,

In keeping with the terms of our assurance, please be informed that we are making a report of non-compliance of an IACUC approved, NIH funded study involving hamsters. The basis of this report results from a post approval monitoring inspection. The report outlined that thirty-six hamsters undergoing surgery did not receive the required analgesic prior to surgery as described in the protocol but instead were given this analgesic after the procedure. This deviation in protocol occurred on September 27, 2019 (13 animals) and October 3, 2019 (23 animals).

We want to inform you that we consider this matter closed. A response was received from the PI indicating that due to a training issue of personnel, the analgesic was mistakenly not given at the required time as outlined in the protocol. As such, all personnel working on the protocol have now been retrained as to the required administration schedule so that animals receive proper analgesic coverage prior to the surgical procedure.

Sincerely

(b) (6)

Christopher J. Cramer, Ph.D.
Vice President for Research
Institutional Official, University of Minnesota
101 Pleasant Street, S.E.
Minneapolis, MN 55455

CC: Brian Crooker, Chair, IACUC Lynn Collura Impelluso, Institutional Veterinarian

## Morse, Brent (NIH/OD) [E]

From:

OLAW Division of Compliance Oversight (NIH/OD)

Sent:

Wednesday, January 08, 2020 1:34 PM

To:

(b) (6) OLAW Division of Compliance Oversight (NIH/OD)

Cc: Subject: Brian Crooker; Lynn Impelluso RE: Report of Non Compliance

Thank you for providing this report

(b) (6) We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM Director Division of Compliance Oversight Office of Laboratory Animal Welfare National Institutes of Health

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From:

(b) (6

Sent: Wednesday, January 08, 2020 10:43 AM

**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov> **Cc:** Brian Crooker <crook001@umn.edu>; Lynn Impelluso <impell@umn.edu>

Subject: Report of Non Compliance

Hello Dr. Wolff,

Attached please find a report of non-compliance. Let me know if you have any questions.

Thank you,

(b) (6)

(b) (6)