



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

June 6, 2019

Re: Animal Welfare Assurance
A3114-01 [OLAW Case 4I]

Dr. S. Jack Hu
Vice President for Research, and
University of Michigan
(b) (4) Victor Vaughan Building
1111 Catherine Street
Ann Arbor, MI 48109-2054

Dear Dr. Hu,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 31, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Michigan, following up on an initial telephone report on May 31, 2019. According to the information provided, OLAW understands that eleven mice were euthanized by a method that differed from what was described in the approved protocol. The method used was acceptable under the American Veterinary Medical Association Guidelines for Euthanasia of Animals and was conducted correctly.

The corrective actions consisted of counseling the investigator responsible.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair
Director Animal Care and Use Office



INSTITUTIONAL ANIMAL CARE & USE COMMITTEE

UNIVERSITY OF MICHIGAN

A3114-41

May 31, 2019

Axel Wolff, MS, DVM
Deputy Director, Office of the Director
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health (NIH)
BG 6700B Rm 2528
6700B Rockledge Drive
Bethesda, MD 20817

Dear Dr. Wolff:

The University of Michigan (U-M), in accordance with Assurance D16-00072 and the Public Health Service Policy on Humane Care and Use of Laboratory Animals (PHS Policy), provides this report of noncompliance occurring at the U-M. The IACUC discussed this matter on Monday, May 20, 2019.

Specifically, eleven mice, under grant number R01 HL123333, were euthanized using a method that was not approved on the specific IACUC approved protocol under which the animals were housed. The euthanasia method used is an AVMA approved method and euthanasia was conducted with proficiency.

The individual responsible for the protocol deviation is apologetic for the course of action. This individual recently transitioned from a fellow to a Research Assistant Professor and has had no prior instances of noncompliance at U-M. The U-M IACUC believes that this is an isolated event and that the chance of future occurrences is minimal.

The University of Michigan is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance by OLAW in this regard. Should you have any questions regarding this report, please contact William G. Greer (wggreer@umich.edu; (b) (6)).

Sincerely,

(b) (6)

S. Jack Hu, IO and Vice President for Research

Cc: William Greer, Assistance Vice President for Research & Director of ACUO
Daniel D. Myers, Jr., DVM, MPH, DACLAM, IACUC Chair
AAALAC International

412 Victor Vaughan, 1111 Catherine Street
Ann Arbor, MI 48109-2054

T: 734-763-8028 F: 734-936-3234
acuoffice@umich.edu

Wolff, Axel (NIH/OD) [E]

From: Wolff, Axel (NIH/OD) [E]
Sent: Wednesday, June 5, 2019 6:14 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: FW: Report from #D16-00072
Attachments: LetterToOLAW_D16-00072_05.31.19.pdf

Thank you for this report, (b) (6) I'll send a response soon.
Axel Wolff

From: (b) (6)
Sent: Monday, June 03, 2019 3:28 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Greer, William <wggreer@med.umich.edu>; Myers, Daniel <ddmyers@med.umich.edu>; accredit@aaalac.org
Subject: Report from #D16-00072

Dear Dr. Wolff,

As a follow-up to our conversation on Friday, May 31, 2019, attached please find the U-M formal report. da

Please let me know if there are any questions or problems accessing the attachment.

Thank you,
(b) (6)

(b) (6)



 **ANIMAL CARE & USE OFFICE**
UNIVERSITY OF MICHIGAN

Electronic Mail is not secure, may not be read every day, and should not be used for urgent or sensitive issues



Initial Report of Noncompliance

By: *aw*

Date: *5/31/19*

Time: *8:30*

Name of Person reporting: (b) (6)

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: *U of Michigan*

Assurance number: *A3114*

Did incident involve PHS funded activity? _____

Funding component: _____

Was funding component contacted (if necessary): _____

What happened?

11 mice euthanized by different method than described in protocol

Species involved: *Mice*

Personnel involved: *Investigator*

Dates and times:

Animal deaths:

Projected plan and schedule for correction/prevention (if known): _____

Counsel PI

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____