



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

April 6, 2020

Re: Animal Welfare Assurance
A3295-01 [OLAW Case 4N]

Dr. Jane E. Strasser
Associate Vice President for Research
University of Cincinnati
P.O. Box 210663
51 Goodman Drive, (b) (4) University Hall
Cincinnati, OH 45221-0567

Dear Dr. Strasser,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 31, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Cincinnati. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Cincinnati Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to provide food to newly weaned mice. The final report states on February 14, 2020 two newly weaned mice were placed in a cage on a ventilated rack system by the responsible researcher who inadvertently failed to provide food. On February 17, 2020 the stressed mice were discovered by animal facility staff. The incident was discussed at the March 12, 2020 IACUC meeting and the following corrective actions were implemented:

- The veterinary staff provided food to the animals. The lab was contacted, and the responsible researcher immediately euthanized the animals since they were genetically unsuitable.
- The IACUC mandated the laboratory be retrained in humane animal care and that plans be developed to prevent recurrence.
- A letter written by the Institutional Official (IO) to the Director of the animal facility described the staff's failure to adequately complete daily observations and mandated that the incident and its impact be reviewed with staff.
- A letter written by the IO to the Principal Investigator (PI) emphasized the importance of humane animal care and the corrective actions which included retraining and a preventative action plan. It also informed the PI that failure to comply could result in loss of animal research privileges and that OLAW would be informed of the incident.

It is noted that the animals were supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Cincinnati has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

April 6, 2020
OLAW Case A3295-4N

Sincerely,

(b) (6)

Jacquelyn T. Tubbs, DVM
Veterinary Medical Officer
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



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 Research Integrity Officer
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 Cincinnati, OH 45221-0663

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March 31, 2020

Brent Morse, D.V.M., DACLAM
 Interim-Director, Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 6700B Rockledge Drive, Suite 2500 MSC 6910
 Bethesda, Maryland 20817

RE: PHS Assurance No. D16-00190 / (A3295-01)

In accordance with PHS Policy and the terms of our Assurance Statement, we are advising you of an issue of non-compliance discussed at the March 12, 2020 meeting of the University of Cincinnati Institutional Animal Care and Use Committee (IACUC).

Delegated Husbandry Deficiency

On Friday, February 14, 2020 two newly weaned mice were placed in a cage on a ventilated rack system by the responsible researcher who inadvertently failed to provide food. On Monday, February 17, 2020 animal facility staff discovered the stressed mice.

Corrective Actions:

- The veterinary staff immediately provided food to the mice. The lab was contacted and the responsible researcher immediately euthanized the animals since they were genetically unsuitable.
- The IACUC mandated that the laboratory be retrained in humane animal care and that plans be developed to prevent recurrence.
- The IO wrote a letter to the Director of the animal facility describing the staff's failure to adequately complete daily observations and mandating that the incident and its impact be reviewed with staff.
- The IO wrote a letter to the PI emphasizing the importance of humane animal care and the corrective actions which included retraining and a preventative action plan. It also informed the PI that failure to comply could result in loss of animal research privileges and that OLAW would be informed of the incident.

Species: mouse
NIH Funding: 1 R21 CA241025 01

Sincerely,

(b) (6)

Jane E. Strasser, Ph.D.

cc: George Babcock, Ph.D., Chair, Institutional Animal Care and Use Committee

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, April 1, 2020 9:38 AM
To: Custer, David (custerda)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Letter of Non-Compliance from University of Cincinnati D16-00190 (A3295-01)

Thank you for this report, Mr. Custer. We will respond shortly.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: Custer, David (custerda) <custerda@ucmail.uc.edu>
Sent: Wednesday, April 1, 2020 9:21 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Babcock, George (babcocgf) <babcocgf@ucmail.uc.edu>; Strasser, Jane (strassje) <strassje@ucmail.uc.edu>
Subject: Letter of Non-Compliance from University of Cincinnati D16-00190 (A3295-01)

Dear Dr. Morse, *et.al.*,

Attached is a letter from the IO at the University of Cincinnati describing a recent non-compliance.

David A. Custer
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University of Cincinnati
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