See attached form for additional information. Interagency Report Control No.

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE 1. CERTIFICATE NUMBER: 31-R-0091 CUSTOMER NUMBER:

FORM APPROVED OMB NO. 0579-0036

ANNUAL REPORT OF RESEARCH FACILITY (TYPE OR PRINT)

Ricerca Biosciences Llc 7528 Aubum Road Concord, OH 44077

Telephone: (440) -357-3300

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, or experimentation, or held for these purposes. Attach additional sheets if necessary)

FACILITY LOCATIONS (Sites) - See Atached Listing

A.  Animals Covered  By The Animal  Welfare Regulations	B. Number of animal being bred, conditioned, or held for use in teaching, testing, experiments, research, or surgery but not ye used for such purposes.	C. Number of animals upon which teaching, research, experiments, or tests were conducted involving no pain, distress, or use o pain-relieving drugs.	D. Number of animals upon which experiments, teaching, research, surgery, or tests were conducted involving accompanying pain or distress to the animals an for which appropriate anesthetic, analgesic, or tranquilizing drugs were used.	E. Number of animals upon which teaching, experiments, research, surgery or tests were conducted involving accompanying pain or distress to the animals and for whithe use of appropriate anesthetic, analgesic, or tranquilla drugs would have adversely affected the procedures, resion interpretation of the teaching, research, experiments, surgery, or tests. (An explanation of the procedures producing pain or distress in these animals and the reask such drugs were not used must be attached to this report.)	F.  TOTAL NUMBER OF ANIMALS  ( COLUMNS C + D + E )
4. Dogs	20	557	115	19	691
5. Cats	2	12	0	0	12
6. Guinea Pigs	0	0	0	0	0
7. Hamsters	20	0	48	0	48
8. Rabbits	21	8	80	0	88
9. Non-human Primates	78	83	.65	0	148
10. Sheep	0	0	0	0	0
11. Pigs	0	13	0	0	13
12. Other Farm Animals	0	0	0	0	0_
13. Other Animals	0	0	0	0	0

## ASSURANCE STATEMENTS

- 1) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anestetic, analgesic, and tranquilizing drugs, prior to, during, and following actual resonant teaching, testing, surgery, or experimentation were followed by this research facility.

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- 3) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and applications and applications and the standards and regulations and regulations be specified and explained by the principal investigator and applications and regulations and regulations and regulations be specified and explained by the principal investigator and applications are regulations. This summary in the standards and regulations are regulations and regulations be specified and explained by the principal investigator and applications are regulations. brief explanation of the exceptions, as well as the species and number of animals affected.
- 4) The attending veterinarian for this research facility has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL (Chief Executive Officer or Legally Responsible Institutional Official) NAME & TITLE OF C.E.O. OR INSTITUTIONAL OFFICIAL (Type or Print) DATE SIGNED RIGHATI ME DECIED OF INSTITUTIONAL OFFICIAL 11/19/08

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## Column E Explanation

- 1. Registration Number: 31-R-0091
- 2. Number of animals used in this study: 32, of which 17 were considered to experience unrelieved pain or distress.
- 3. Species (common name) of animals used in this study: Dog
- 4. Explanation of the procedure producing pain/or distress:

Administration of the test article in the 14-day oral toxicity study caused pain or distress in 17 dogs.

5. Provide scientific justification why pain and or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For Federally mandated testing, see Item 6 below)

The purpose of this study was to define the oral toxicity and if signs of toxicity were alleviated or treated the goal of the study could not be achieved.

6. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number.

FDA 21 CFR 312 and FDA 21 CFR 58

## **Column E Explanation**

- 1. Registration Number: 31-R-0091
- 2. Number of animals used in this study: 40, 1 animal found dead.
- 3. Species (common name) of animals used in this study: Dog
- 4. Explanation of the procedure producing pain/or distress:

1 animal found dead in cage the morning following administration of test article.

5. Provide scientific justification why pain and or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For federally mandated testing, see Item 6 below)

Death occurred during evening hours when observations were not performed. There was no indication of a problem during earlier scheduled and unscheduled observations.

6. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number.

FDA 21 CFR 312 and FDA 21 CFR 58

## **Column E Explanation**

- 1. Registration Number: 31-R-0091
- 2. Number of animals used in this study: 4, of which 1 animal died
- 3. Species (common name) of animals used in this study: Dog
- 4. Explanation of the procedure producing pain/or distress:

Dosing of compound

5. Provide scientific justification why pain and or distress could not be relieved. State methods or means used to determine that pain and/or distress relief would interfere with test results. (For federally mandated testing, see Item 6 below)

1 animal died prior to treatment

6. What, if any, federal regulations require this procedure? Cite the agency, the code of Federal Regulations (CFR) title number and the specific section number.

FDA 21 CFR 312 and FDA 21 CFR 58