| Every research facility, exhibitor, carrier, and intermediate handler not required to be licensed under Section 3 of the Animal Welfare Act, shall register with the USDA (7 USC 2136). This application provides information for such registration. U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE APPLICATION FOR REGISTRATION (TYPE OR PRINT) | | | | | | | | IB No. 0579-003 DRM APPROVED |
|---|--|---|---|----------------------------|---|--------------------------------------|-------------------------------------|--|
| | | | USDA USE ONLY Applicant should send completed form to this address. USDA APHIS ANIMAL CARE WESTERN 2150 Centre Ave. Building B, Mailstop 3W11 Fort Collins, CO 80526-8117 (970) 494-7478 | | | | | |
| REGISTRATIC | ON UPDATE | | | R-0007 | ATE NO./CU | ST NO: | RENE | WAL DATE |
| REGISTRANT (Name and permanent mailing address, including Zip Code) University Of Louisiana At Lafayette P.O. Box 41008 Lafayette, LA 70504 COUNTY: Lafayette TELEPHONE () - 3. (A) PREVIOUS USDA REGISTRATION NUMBER (IF ANY) | | | 2. LOCATION (S) OF BUSINESS, EXHIBITION SITE(s), OR RESEARCH FACILITIES (Use additional sheets if necessary) New Iberia Research Center 4401 West Admiral Doyle Drive New Iberia, LA 70560 County: Iberia 4. (B) ACTIVE USDA CERTIFICATE NUMBER(S) IN WHICH YOU HAVE AN INTERES | | | | | |
| 5. ARE YOU USING FEDERAL FUNDS TO CARRY OR RESEARCH, TESTS, OR EXPERIMENTS | but | 6. TYPE OF REGI Class E – E Class R – F | xhibit | or | | H – Intern T - Carrier | nediate Ha | ndler |
| 7. FEDERAL FUND TYPES: Award Contract Grant 9. IF INDIVIDUAL IDENTIFY EACH OV | WNER, IF PARTNERSHIP ID | 8. TYPE OF ORGA | ip ecify)_ | ¢ C | IF CORPORATION, I | | lividual | |
| OFFICERS FOR RESEARCH FACILIT | TES INCLUDE THE INSTITUT | TIONAL OFFICIAL (| Use sepa | rate sheet | if needed) | | | |
| (b) (6), (b) $(7)(C)$ | B. TH | | cial | c. P.O. | | | ayette | , LA 70504 |
| | | | | | | | | |
| I hereby register as a Research Facility, Exhibitor, Carri to the best of my knowledge. I hereby acknowledge rec | er, or Intermediate Handler ur eipt of and agree to comply wi | CERTIFICA inder the Animal Welf ith all the regulations | are Act 7 | U.S.C., 213 dards conta | 11 et seq. and I certify ined in 9 CFR, Subpar | that the inform t A, parts 1, 2 i | nation provided and 3. I certify | herein is true and corr that all listed persons a |
| 18 years of age or older. 10. SIGNATURE (b) (6), (b) (| | (b) | (6), | b TITLE (7 | ype or Print) (C)Instit Offici | utiona | | 12. DATE SIGNED 3/27/29 |

Obtained by Rise for Animals. Uploaded 07/04/2020

APHIS FORM 7011 (FEB 2009)



2017 MAY -8 AM 9: 52

RECEIVED

UNITED STATES DEPARTMENT OF AGRICULTURE

BEFORE THE SECRETARY OF AGRICULTURE

.)

)

)

)

)

) Docket No. 15-0086

In re:

THE UNIVERSITY OF LOUISIANA AT LAFAYETTE, a public educational institution doing business as NEW IBERIA RESEARCH CENTER,

Respondent.

Consent Decision and Order

This proceeding was instituted under the Animal Welfare Act, as amended (7 U.S.C. $\S 2131 \text{ et seq.})(Act)$, by a complaint filed by the Administrator, Animal and Plant Health Inspection Service (APHIS), United States Department of Agriculture, on March 9, 2015, and amended on March 8, 2016, alleging that the respondent violated the regulations and standards issued pursuant to the Act (9 C.F.R. § 1.1 et seq.)(Regulations and Standards). This decision is entered pursuant to the consent decision provisions of the Rules of Practice applicable to this proceeding (7 C.F.R. § 1.138).

The respondent admits the jurisdictional allegations of the complaint, specifically admits that the Secretary has jurisdiction in this matter, waives oral hearing and further procedure, and consents and agrees, for the purpose of settling this proceeding, to the entry of this decision. This settlement does not constitute an admission, implied or otherwise, by respondent to any violations of the Act as alleged in the complaint. The complainant agrees to the entry of this decision.

Findings of Fact

The University of Louisiana at Lafayette (ULL) is a public educational institution located in Lafayette, Louisiana. ULL is part of the University of Louisiana system, and is governed by the Board of Supervisors of the University of Louisiana system. At all relevant times, ULL operated New Iberia Research Center (NIRC), a research facility, as that term is defined in the Act and the Regulations, and was and is registered as a research facility under the Act. ULL holds AWA registration number 72-R-0007. ULL's agent for service of process is Joy Henriott, 1201 North Third Street, Suite 7-300, Baton Rouge, Louisiana 70802.

Conclusion of Law

Respondent having admitted the findings set forth above, and the parties having agreed to the entry of this decision, such decision will be entered.

Order

1. Respondent will comply with the Act and Regulations.

 Respondent, its agents and employees, successors and assigns, directly or through any corporate or other device, shall cease and desist¹ from violating the Act and the Regulations and Standards.

3. Respondent is assessed a civil penalty of \$100,000, to be paid by certified check or money order made payable to the Treasurer of the United States and sent to USDA APHIS Miscellaneous, P.O. Box 979043, St. Louis, MO 63197-9000. The certified check or money order shall include the docket number of this proceeding, namely Docket No. 15-0086.

The provisions of this order shall become effective immediately. Copies of this decision shall be served upon the parties.

¹ The agreement to this "cease and desist" order does not constitute an admission, implied or otherwise, by respondent to any violations of the Act as alleged in the complaint.

THE UNIVERSITY OF LOUISIANA AT LAFAYETTE, a public educational institution doing business as NEW IBERIA RESEARCH CENTER Respondent

By lts Att cord

Lauren C. Axley

Samuel D. Jockel Attorneys for Complainant

Done at Washington, D.C. this 8 day of 2017. a Bobbie J. McCartney

Chief Administrative Law Judge

CERTIFICATE OF SERVICE

The University of Louisiana at Lafayette, d/b/a New Iberia Research Center, Respondent Docket: 15-0086

Having personal knowledge of the foregoing, I declare under penalty of perjury that the information herein is true and correct and this is to certify that a copy of the <u>(SIGNED)</u> <u>CONSENT DECISION AND ORDER</u> has been furnished and was served upon the following parties on <u>May 8, 2017</u> by the following:

USDA (OGC) - Electronic Mail Colleen A. Carroll, OGC <u>Colleen.Carroll@ogc.usda.gov</u> Ada Quick <u>Ada.Quick@ogc.usda.gov</u> Lauren C. Axley, OGC <u>Lauren.Axley@ogc.usda.gov</u> Donna Erwin, OGC <u>Donna.Erwin@ogc.usda.gov</u> Samuel D. Jockel, OGC <u>Samuel.Jockel@ogc.usda.gov</u> Joyce McFadden, OGC Joyce.McFadden@ogc.usda.gov

Respondent(s) - Electronic Mail Jacqueline Stump, Esq. Suite 400, Gordon Square 100 East Vermilion St. Lafayette, LA 70501 Office: (337)233-1100 oats@oatsmarino.com cfontenot@oatsmarino.com USDA (APHIS) - Electronic Mail IES Legals, APHIS IESLegals@aphis.usda.gov AC RSS Mailbox, APHIS ac.rss.mailbox@aphis.usda.gov

Respectfully Submitted,

Elium Moron, Legal Assistant USDA/Office of Administrative Law Judges Hearing Clerk's Office 1400 Independence Ave., SW, Room 1031-S Washington, DC 20250-9203 Phone: 202-720-4443



| University Of Louisiana At Lafayette | Customer ID: | 1451 |
|--------------------------------------|--------------|--------------------------------|
| P.O. Box 41008 | Certificate: | 72-R-0007 |
| Lafayette, LA 70504 | Site: | 001 |
| | UNIVEF | SITY OF LOUISIANA AT LAFAYETTE |
| | | |
| | Туре: | ROUTINE INSPECTION |
| | Date: | 21-SEP-2017 |

No non-compliant items identified during this inspection.

This inspection and exit briefing were conducted with facility representatives.

| Prepared By: | | | Dete: |
|---------------------------|-------------------|--------------------------|--|
| | SCHNELL MICHAEL | USDA, APHIS, Animal Care | Date: 21-SEP-2017 |
| Title: | VETERINARY MEDICA | LOFFICER 6100 | |
| Received By: | | C | btained by Rise for Animals. Uploaded 07/04/2020 |
| | FACILITY REPRESEN | ΓΑΤΙVΕ | Date: |
| Title: 19-04155 | SENT VIA E-MAIL | | 21-SEP-2017 |
| 19-04133 | _000000 | Page 1 of 1 | |



Customer: 1451 Inspection Date: 21-SEP-17

Animal Inspected at Last Inspection

| Cust No | Cert No | Site | Site Name | Inspection |
|---------|--|---------|---|------------|
| 1451 | 72-R-0007 | 001 | UNIVERSITY OF LOUISIANA AT LAFAYETTE | 21-SEP-17 |
| Count | Species | | | |
| 000001 | COTTON-TOP TAM | MARIN | | |
| 000020 | WHITE-FACED CAPUCHIN / WHITE-HEADED CAPUCHIN / WHITE-THROATED CAPUCHIN | | | |
| 000025 | COMMON MARMOSET | | | |
| 000192 | CHIMPANZEE | | | |
| 000392 | CRAB-EATING MA | CAQUE / | LONG-TAILED MACAQUE / CYNOMOLGUS MONKEY | |
| 000556 | PIG-TAILED MACAQUE | | | |
| 000639 | AFRICAN GREEN MONKEY / GRIVET | | | |
| 005106 | RHESUS MACAQU | JE | | |
| 006931 | Total | | | |

Fausch, Emily R - APHIS

| From: | AC West |
|--------------|--|
| Sent: | Wednesday, October 25, 2017 7:31 AM |
| То: | Fausch, Emily R - APHIS |
| Subject: | FW: Inspection Report for New Iberia CID 1451 72-R-0007 Site 001 2017.09.21 signed |
| Attachments: | New Iberia CID 1451 72-R-0007 Site 001 2017.09.21 signed.pdf; New Iberia CID 1451 |
| | 72-R-0007 Site 001 2017.09.21 Protocol Review Form.pdf |

Sincerely,



USDA APHIS Animal Care Program Support Unit



Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526 Phone: 970-494-7478 Email: ACWest@aphis.usda.gov

Raleigh: 920 Main Campus Drive, Suite 200, Raleigh, NC 27606 Phone: 919-855-7100 Email: ACEast@aphis.uda.gov

Join the Animal Care Stakeholder Registry and receive emails on topics of interest

-----Original Message-----From: Schnell, Michael A - APHIS Sent: Tuesday, October 3, 2017 3:50 PM To: AC West <acwest@aphis.usda.gov> Subject: FW: Inspection Report for New Iberia CID 1451 72-R-0007 Site 001 2017.09.21 signed

Please find attached the inspection report and the email confirmation of receipt. Additionally, the Protocol Selection Worksheet is attached.

Michael A. Schnell, DVM, MBA Veterinary Medical Officer USDA, APHIS, Animal Care

-----Original Message-----From: Francois J Villinger [mailto: @louisiana.edu] Sent: Friday, September 22, 2017 4:24 AM To: Schnell, Michael A - APHIS < Michael.A.Schnell@aphis.usda.gov> Subject: Re: Inspection Report for New Iberia CID 1451 72-R-0007 Site 001 2017.09.21 signed

Dear Dr. Schnell,

Thank you very much for all your help, support and advice. I hope we will have other opportunities to host you in NIRC in the future.

Have a safe flight back,

Sincerely yours

Francois Villinger DVM

Director

New Iberia Research Center

University of Louisiana at Lafayette

4401 W Admiral Doyle Drive

New Iberia, LA 70560

Tel. 337 482 0225

Fax. 337 373 0057

@louisiana.edu

----- Original Message -----From: "Michael A Schnell - APHIS" <Michael.A.Schnell@aphis.usda.gov> To: @Outorford@louisiana.edu Cc: "Simone R Tomlinson - APHIS" <Simone.R.Tomlinson@aphis.usda.gov> Sent: Thursday, September 21, 2017 8:44:16 PM Subject: Inspection Report for New Iberia CID 1451 72-R-0007 Site 001 2017.09.21 signed

Dear Dr. Villinger:

Please find attached the inspection report for your facility from your inspection this week. Please reply to this email to only acknowledge receipt of this report.

Sincerely, Michael A. Schnell, DVM, MBA Veterinary Medical Officer USDA, APHIS, Animal Care

[cid:image001.jpg@01D33309.9E1365F0]

This electronic message contains information generated by the USDA solely for the intended recipients. Any unauthorized interception of this message or the use or disclosure of the information it contains may violate the law and subject the violator to civil or criminal penalties. If you believe you have received this message in error, please notify the sender and delete the email immediately.

Research Facility Protocol Selection Worksheet Merck Sharp & Dohme- South San Francisco

| Legal Name : WEICK On a P & D C | | | | | |
|---------------------------------|---------------------------------------|--|--|--|--|
| Customer Number: | Certificate Number: <u>22-R-00</u> 36 | | | | |
| Inspection Date:09/26/17 | Site Number: 007 | | | | |
| Inspector: Drs. Schnell | | | | | |

| Reasons Protocols Were Selected for Review : | How Many Protocols Were Selected |
|--|---|
| 1. Protocols identified during inspection of concern (select all) | 0 |
| 2. Column E protocols (select all) | 0 |
| 3. Protocols with IACUC-approved exemptions/exceptions (select all) | 0 |
| 4. Protocols cited as noncompliant and not corrected during the last inspection (select all) | 0 |
| 5. Additional Protocols Selected: a. If <5 remaining protocols, select all remaining: b. If >5 remaining protocols, select 5 additional protocols: 1) Protocol for each regulated species and/or, 2) Protocols involving high risk procedures (see Chapter 7, Animal Welfare Inspection Guide for guidance): | 5 (represents all species with active protocols) |
| Total Protocols Selected and Reviewed | 5 |

*Note: Protocol selection guidance applies to protocols which have been initially approved, or have had significant changes approved, since the last inspection. For protocols reviewed by an Animal Care Veterinary Medical Officer within the last year, professional judgment should be used in determining whether another review is necessary.



| University Of Louisiana At Lafayette | Customer ID: | 1451 |
|--------------------------------------|--------------|---------------------------------|
| P.O. Box 41008 | Certificate: | 72-R-0007 |
| Lafayette, LA 70504 | Site: | 001 |
| | UNIVEF | RSITY OF LOUISIANA AT LAFAYETTE |
| | | |
| | Туре: | ROUTINE INSPECTION |
| | Date: | 23-AUG-2018 |

No non-compliant items identified during this inspection.

This inspection and exit interview were conducted with the facility's director and various facility personnel.

Additional Inspectors

Moore Mary, Veterinary Medical Officer

| Prepared By: | | | |
|---------------------------|---------------------------|--------------------------|------------------------------------|
| | TOMLINSON SIMONE, D V M | USDA, APHIS, Animal Care | Date: 27-AUG-2018 |
| Title: | VETERINARY MEDICAL OFFICE | ER 6087 | |
| Received By: | | Obtained by Ris | e for Animals. Uploaded 07/04/2020 |
| | (b) (6), (b) (7)(| C) | Date: |
| Title: 19-04155 | ATTENDING VETERINARIAN, V | IA EMAIL | 27-AUG-2018 |
| 10 0 1100 | F | Page 1 of 1 | |



Customer: 1451 Inspection Date: 23-AUG-18

Species Inspected

| Cust No | Cert No | Site | Site Name | Inspection |
|---------|--------------------|------|--------------------------------------|---------------|
| 1451 | 72-R-0007 | 001 | UNIVERSITY OF LOUISIANA AT LAFAYETTE | 23-AUG-18 |
| | | | | |
| | | | | |
| Count | Scientific Name | | Common Name | |
| 000020 | Cebus capucinus | | WHITE-HEADED / WHITE-THROA | TED CAPUCHIN |
| 000715 | Chlorocebus aethi | ops | AFRICAN GREEN MONKEY / GRI | VET |
| 000446 | Macaca fasciculari | is | CRAB-EATING MACAQUE / CYNC | MOLGUS MONKEY |
| 005130 | Macaca mulatta | | RHESUS MACAQUE | |
| 000447 | Macaca nemestrin | а | PIG-TAILED MACAQUE | |
| 000171 | Pan troglodytes | | CHIMPANZEE | |
| 006929 | Total | | | |



| University Of Louisiana At Lafayette | Customer ID: | 1451 |
|--------------------------------------|--------------|--------------------------------|
| P.O. Box 41008 | Certificate: | 72-R-0007 |
| Lafayette, LA 70504 | Site: | 001 |
| | UNIVEF | SITY OF LOUISIANA AT LAFAYETTE |
| | | |
| | Туре: | FOCUSED INSPECTION |
| | Date: | 31-OCT-2018 |
| | | |

No non-compliant items identified during this focused inspection.

This inspection and exit interview were conducted with the attending veterinarian and various facility personnel.

| Prepared I | Bv- | | | |
|------------|-----------------------|----------------------------|--------------------------|---------------------------------|
| Tepareu | - | TOMLINSON SIMONE, D V M | USDA, APHIS, Animal Care | Date: 07-NOV-2018 |
| Titl | le: | VETERINARY MEDICAL OFFICE | R 6087 | |
| Received | By: | | Obtained by Rise f | or Animals. Uploaded 07/04/2020 |
| | Ī | (b) (6), (b) (7)(C | | Date: |
| Ţįtļ | le: 04155 0 | ATTENDING VETERINARIAN, VI | A EMAIL | 07-NOV-2018 |
| 19-0 | 04135_0 | P | age 1 of 1 | |



Customer: 1451 Inspection Date: 31-OCT-18

Species Inspected

| Cust No | Cert No | Site | Site Name | Inspection |
|---------|-----------------|------|--------------------------------------|------------|
| 1451 | 72-R-0007 | 001 | UNIVERSITY OF LOUISIANA AT LAFAYETTE | 31-OCT-18 |
| | | | | |
| | | | | |
| Count | Scientific Name | | Common Name | |
| 000001 | Macaca mulatta | | RHESUS MACAQUE | |
| 000001 | Total | | | |

Obtained by Rise for Animals. Uploaded 07/04/2020

| From: | Tomlinson, Simone R - APHIS |
|--------------|--|
| То: | (b) (6), (b) (7)(C) <u>d@nirc.louisiana.edu)</u> |
| Subject: | USDA Inspection Report ***ACTION REQUIRED |
| Date: | Wednesday, November 7, 2018 5:40:00 PM |
| Attachments: | FI_CID1451_310CT2018.pdf |

Good evening,

Please see the attached inspection report. **Please reply to this email stating your name and that you have received the report**. This will act as your signature of receipt and no further action is needed. Please don't hesitate to contact myself with any questions/concerns. Thank you.

Regards,

Simone Tomlinson, DVM USDA APHIS Animal Care Veterinary Medical Officer, Louisiana Cell: (b) (6), (b) (7)(C) Email: simone.r.tomlinson@aphis.usda.gov



| University Of Louisiana At Lafayette | Customer ID: | 1451 |
|--------------------------------------|--------------------------------------|--------------------|
| P.O. Box 41008 | Certificate: | 72-R-0007 |
| Lafayette, LA 70504 | Site: | 001 |
| | UNIVERSITY OF LOUISIANA AT LAFAYETTE | |
| | | |
| | Туре: | FOCUSED INSPECTION |
| | Date: | 31-OCT-2018 |

No non-compliant items identified during this focused inspection.

This inspection and exit interview were conducted with the attending veterinarian and various facility personnel.

| Prepared By | <i>r</i> : | | | | |
|-------------|---------------------------------|--------------------------|-------------------------------------|--|--|
| | TOMLINSON SIMONE, D V M | USDA, APHIS, Animal Care | Date: 07-NOV-2018 | | |
| Title: | VETERINARY MEDICAL OFFICER 6087 | | | | |
| Received By | <i>ı</i> : | Obtained by Ri | se for Animals. Uploaded 07/04/2020 | | |
| | (b) (6), (b) (7)(| C) | Date: | | |
| Title: | | IA EMAIL | 07-NOV-2018 | | |
| 19-041 | 55_000016 F | Page 1 of 1 | | | |



Customer: 1451 Inspection Date: 31-OCT-18

Species Inspected

| Cust No | Cert No | Site | Site Name | Inspection |
|---------|-----------------|------|--------------------------------------|------------|
| 1451 | 72-R-0007 | 001 | UNIVERSITY OF LOUISIANA AT LAFAYETTE | 31-OCT-18 |
| | | | | |
| | | | | |
| Count | Scientific Name | | Common Name | |
| 000001 | Macaca mulatta | | RHESUS MACAQUE | |
| 000001 | Total | | | |

Obtained by Rise for Animals. Uploaded 07/04/2020