



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

May 15, 2019

Re: Animal Welfare Assurance
#A3971-01 (OLAW Case C)

Dr. William Polik
Associate Dean for Research and Scholarship
IACUC Institutional Officer
Hope College
36 East 12th Street
Holland, Michigan 49423

Dear Dr. Polik,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 5, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Hope College, following up on an initial telephone report on February 22, 2019. According to the information provided, OLAW understands that Nile Grass Rats on a breeding protocol were being housed after the protocol had expired.

The corrective actions consisted of revising the Daily Animal Care Inventory Sheet to include a space for the project approval number. This sheet will be submitted to the Institutional Animal Care and Use Committee (IACUC) monthly and the approval number will be checked to ensure that the protocol is active. There are no other animals being housed under an expired protocol.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the IACUC to comply with the PHS Policy and assumes that the Committee reviewed and approved the breeding protocol. Thank you for keeping OLAW apprised on this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair
Robert Gibbens, D.V.M., USDA-APHIS-AC



Hope COLLEGE

April 5, 2019

Axel Wolff, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

Dear Dr. Wolff:

Hope College, in accordance with Assurance A3971-01 and PHS Policy IV.F.3., provides this report of noncompliance regarding a situation where a breeding colony of Nile Grass Rats were being housed without an approved protocol, as their previously approved protocol had lapsed.

On February 19, it came to the attention of the IACUC Chair, Susan M. Fraley, DVM, that there were adult breeding Nile Grass Rats housed in the vivarium without a currently approved protocol. On that day Dr. Fraley left a voice mail about the matter with your office and then had a phone conversation with you on February 22 about the situation. The Institutional Officer, Dr. William Polik, was advised of the noncompliance and plan for correction on March 1.

The following corrective action plan was implemented after the incident:

1. On February 26, The Chair of the IACUC Dr. Fraley requested that the (b) (6) change the Daily Animal Care Inventory Sheet to include a space for Project Approval Number, and she did this.
2. When these sheets are turned in each month, Dr. Fraley will specifically look at the approval number to ensure that the protocol is active.

Hope College is dedicated to ensuring the health and welfare of the animals we use in research and teaching. We deeply regret this noncompliance. We have reviewed our Program and have confirmed that there are no other animals being housed under lapsed protocols.

We also appreciate the guidance and assistance provided by OLAW. If you have any questions regarding this report, please contact:

Susan M. Fraley, DVM, Hope College IACUC Chair
fraleys@hope.edu
(b) (6)

Sincerely,
(b) (6)

William Polik, PhD
Associate Dean for Research and Scholarship
IACUC Institutional Officer

DEPARTMENT OF BIOLOGY

35 East 12th Street, PO Box 9000, Holland, MI 49422-9000 | 616.395.7720 | fax 616.395.7125 | hope.edu/academic/biology

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, May 14, 2019 1:47 PM
To: Susan Fraley; OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Noncompliance Self-report

Thank you for this report Dr. Fraley. Doctor Wolff will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: Susan Fraley [mailto:fraleys@hope.edu]
Sent: Tuesday, May 14, 2019 1:43 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: Noncompliance Self-report

Hi, Dr. Wolff,
Attached is our letter of non-compliance. Please let me know if there is anything more you need. Thank you for all of your help.
Susan

Susan M. Fraley, D.V.M.
HCACUC Chair - Hope College
Veterinarian - South Crossing Veterinary Center
Adjunct Professor, Department of Biology - Hope College
email: fraleys@hope.edu
phone: (b) (6)
fax: (b) (6)



Initial Report of Noncompliance

By: Am

Date: 2/22/19

Time: 12:00

Name of Person reporting: Susan Frichley

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Hope College

Assurance number: A3971

Did incident involve PHS funded activity?

Funding component:

Was funding component contacted (if necessary):

What happened?

Male grass rats on breeding protocol which was not renewed

Species involved: Grass Rat

Personnel involved:

Dates and times:

Animal deaths:

Projected plan and schedule for correction/prevention (if known):

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case #