



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive - MSC 7982  
Bethesda, Maryland 20892-7982  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

March 15, 2018

Re: Animal Welfare Assurance  
A3899-01 [OLAW Case B]

Dr. Wade Tornquist  
Associate Provost and Associate Vice President  
For Graduate Studies and Research  
Eastern Michigan University  
(b) (4) Boone Hall  
Ypsilanti, Michigan 48197

Dear Dr. Tornquist,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 9, 2018 letter reporting an incident of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Eastern Michigan University. According to the information provided, OLAW understands that on March 8, 2018 a PI continued to conduct research using mice after protocol expiration. The PI had been sent a reminder but did not submit a renewal application. The remaining mice are currently under a different IACUC-approved protocol. It is further understood that the animal activity was not funded by the PHS.

Corrective and preventive actions included the PI renewing his protocol approval and instituting a calendar-tracking measure to better keep track of protocol annual reviews and three-year de novo reviews.

OLAW believes that the corrective and preventative measures put in place by Eastern Michigan University are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. Although this activity was not PHS funded, the application of the standards of the PHS Policy across the animal care and use program reduces any potential appearance of a double standard. We appreciate being informed of this issue and find no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM  
Acting Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC contact

Axel V. Wolff, DVM, MS  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
6705 Rockledge Drive  
Bethesda, MD 20817

March 9, 2018

Dear Dr. Wolff,

This letter represents a report of noncompliance at Eastern Michigan University under assurance number A3899-01. The incident qualifies for reporting under PHS Policy IV.F.3.b: a serious deviation from the provisions in the *Guide*.

On Thursday, March 8, 2018, the Eastern Michigan University Office of Research Compliance discovered that one of our principal investigators had allowed his IACUC approval to lapse and was conducting research using mice without current IACUC approval. The principal investigator had been sent a reminder but did not respond with a renewal application. The mice used under the lapsed protocol are currently under a different IACUC-approved protocol.

The corrective action plan consists of the following:

1. The principal investigator is not conducting research with mice until approval is renewed.
2. The principal investigator is renewing his IACUC approval.
3. The Office of Research Compliance has instituted new measures to better track IACUC protocols. Upon approval, the Research Compliance Officer enters reminder notices into her calendar to provide notification for annual review and the three-year de novo review. Notification will continue weekly until the annual report/de novo application has been received.

All provisions of the corrective action plan have been implemented on the date of this notice

The research conducted using these mice is unfunded. Therefore, there is no effect on PHS-supported activities.

Eastern Michigan University is committed to ensuring ethical care of all animals used in research and teaching. Any additional guidance from OLAW in these matters would be

appreciated. Any questions you have can be directed to [REDACTED] (b) (6)  
[REDACTED] (b) (6)

Thank you for your time and consideration.

Sincerely,

[REDACTED] (b) (6)

Wade Tornquist, Ph.D.  
Institutional Official  
Associate Provost and Associate Vice President for Graduate Studies and Research  
Eastern Michigan University

Wolff, Axel (NIH/OD) [E]

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, March 12, 2018 7:37 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: OLAW letter

Thank you for this report, (b) (6) We will respond soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6)  
**Sent:** Friday, March 09, 2018 5:13 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** Wade Tornquist <wtornquis@emich.edu>  
**Subject:** Fwd: OLAW letter

Dear Dr. Wolff,

Please find attached a letter reporting a recent discovery of noncompliance at Eastern Michigan University. We have implemented a corrective action plan, as described in the letter.

Please contact me with any questions or concerns.

Best,

(b) (6)

(b) (6)