

DACT Guidance on the Retirement of Non-human Primates to Sanctuaries

Purpose

To ensure the ethical, practical, and humane disposition of ASU-owned non-human primates (NHP) when they are no longer needed for research, testing, or teaching. The following sets criteria for determining which animals qualify for retirement and transferring ownership of animals to approved non-human primate sanctuaries.

General Requirements:

The following general requirements apply to all non-human primate retirements:

1. In all cases, ASU will adhere to applicable local, state, federal, and international laws, rules, regulations, and guidelines including verification that retirement does not constitute a prohibited gift.
2. All retirement must comply with restrictions from funding source or sponsor.
3. The ASU Attending Veterinarian or Designee shall perform a complete physical examination on all candidate animals for retirement.
4. The ASU Attending Veterinarian or Designee in conjunction with the applicable Principal Investigator (if any) shall make a determination based upon the animal history (including research history), the physical examination, the proposed animal use and other applicable factors, whether the candidate animal is suitable for retirement. Refer to item number 4 under "Animal Retirement" for a list of requirements

Sanctuary Approvals

The following are guidelines for the sanctuary vetting process. The Attending Veterinarian (AV) and the Institutional Official (IO) must vet each sanctuary. Once a sanctuary has cleared the vetting process, it will be added to the list of vetted sanctuaries. Prior to sending any animal to a vetted sanctuary, the specific adoption to that sanctuary must be reviewed and approved by the AV and IO to confirm that the sanctuary is still acceptable.

Possible components of the vetting and approval process include:

1. Review sanctuary facilities via a visit, if possible, or possibly via a virtual tour.
 - Is the housing adequate?
 - Do the current animals look healthy and behave normally?
 - Do the animals have access to areas protected from the environment (e.g., shade, supplemental heat, indoor space)?
 - Is social housing appropriate – are groups too large?
 - Ensure the sanctuary does not breed their animals
 - Is enrichment sufficient?
 - Is a veterinarian experienced with non-human primate readily available?
 - Are staff and volunteers well-trained and respectful of the animals?
 - Is diet fresh and of sufficient nutritional value and quantity?
2. Review USDA records for the past 3 years, if applicable
3. Review IRS records for the past 3 years
4. Review animal transfer, handling, quarantine, veterinary, and emergency protocols and records

5. Review accreditations, if any - American Sanctuary Association (ASA), Global Federation of Animal Sanctuaries (GFAS), North American Primate Sanctuary Alliance (NAPSA) or Association of Zoos and Aquariums (AZA)
6. Review website, If applicable
7. Contact other institutions that have worked with the sanctuary

Animal Retirement

When animals are no longer needed for ASU research, they may be retired to a non-human primate sanctuary. The following criteria apply to all non-human primate retirements:

1. The sanctuaries must agree to care for the animal in a manner appropriate for the particular species
2. The sanctuaries must concur with an ASU veterinarian's health assessment of the animal at the time of retirement.
3. At the time of retirement, animals must not be actively involved in an IACUC-approved research protocol or needed for other projects at ASU for which the animal would be suitable.
4. Animals to be retired must be deemed healthy upon physical examination with no detectable naturally or experimentally derived injury or disease that would impair their ability to thrive without special care. This determination is made by the ASU Attending Veterinarian. The following is a list of characteristics that define a healthy animal:
 - Animals must be in overall good health
 - Animals must be lacking any major abnormal morphology
 - Animals must not exhibit any major behavioral issues
 - Animals must be fully recovered and healed from any surgical procedures
5. Males must be vasectomized prior to retirement
6. A **Release of Animals Form** (below) must be signed by the Principal Investigator (PI) prior to retirement
7. A **Transfer of Liability and Release Form** (below) must be signed by the ASU Attending Veterinarian, the Institutional Official, and the recipient prior to transfer

Approved Sanctuaries

The following is a list of sanctuaries that have been successfully vetted by the Attending Veterinarian and the Institutional Official. However, each retirement will require specific approval of the animal and retirement destination.

Principal Investigator – Release of Animals

I have finished working with the animal(s) listed below. I believe that the animal(s) is suitable for retirement and give my permission for the animal(s) to be placed for retirement at the discretion of the Animal Care Program.

Species

Number of Animals

Principal Investigator Signature

Date

Principal Investigator Printed Name

Agreement and Transfer of Liability and Release Form (Non-human Primate Retirement, Arizona State University)

1. Recipient accepts receipt of animal(s) described below ("Animal(s)") from the ASU Animal Care Program.
2. Recipient agrees with ASU DACT's assessment that the Animal(s) is in good health and understands and agrees that there are no guarantees regarding the future health or temperament of the Animal(s). Recipient assumes all risk associated with the Animal(s).
3. Recipient has obtained all applicable holding permits for receipt of the Animal(s) and has provided copies to DACT.
4. ASU is not responsible for the care of the Animal(s) described below while under the Recipient's care. Recipient accepts responsibility for care of the Animal(s) and will care for the Animal(s) in a manner generally considered appropriate for this species.
5. Recipient assumes responsibility for and agrees to hold harmless and indemnify from liability Arizona State University and the Arizona Board of Regents, their employees, officers, regents, agents, and all members of the relevant committees, including the Institutional Animal Care and Use Committee and its members, for any claim or cause of action that may arise from the transfer and conveyance of the Animal(s) or Recipient's custody and care (or lack thereof) for such Animal(s).
6. Recipient shall not disclose to any third party or to any employee, agent, or representative of the Recipient who does not need to know that the Animal was donated by Arizona State University, and the Recipient shall ensure such employees, agents, or representatives understand and comply with this obligation and respect the confidential nature of this transaction.
7. Recipient shall not transfer the Animal to a third party unless such third party agrees in writing to accept and abide by all terms and conditions of this Agreement.
8. This Agreement shall remain in effect for the life of the Animal and for one (1) year thereafter. This Agreement is the entire agreement between the parties hereto concerning the subject matter hereof and replaces any prior oral or written communications between the parties. The Agreement may only be modified by a written document executed by the parties hereto.
9. Recipient has read and understands the foregoing and executes this **Transfer of Liability and Release Form** with full knowledge of its significance.

Animal(s) (If more than one animal, attach and use supplemental form to include descriptions of additional animal(s)):

Species: _____ Number: _____

Identifying Marks/Tattoo #/Color: _____

Sex: _____ Age: _____ Weight: _____

Vaccination History (if applicable): _____

Medical/Behavioral Information: _____

ASU Veterinarian's Comments: _____

ASU Veterinarian's Signature: _____

Sanctuary:

Printed Name of Sanctuary Director: _____

Address of Sanctuary: _____

Telephone: _____ Email: _____

Signature of Sanctuary Director: _____ Date: _____

ASU Approval of Retirement:

Printed Name of ASU Attending Veterinarian: _____

Signature of ASU Attending Veterinarian: _____ Date: _____

Printed Name of Institutional Official: _____

Signature of Institutional Official: _____ Date: _____