

## Annual Report to OLAW

Institution: University of Southern California
Assurance Number: A 3518-01
Reporting Period: January 1, 2019 through December 31, 2019

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

### I. Program Changes *[Select A or B]*

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. *[Skip to Item II.]*
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period. **(FAQ 6)**

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed (PHS Policy IV.A.2.).
  - ☐ AAALAC Accredited – Category 1
  - ☐ Non-Accredited – Category 2
- ☒ This institution's program for animal care and use has changed (PHS Policy IV.A.1.a-i.). *[Attach a full description of the changes.]*
- ☒ The individual designated by this institution as the Institutional Official has changed. *[Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]*
- ☒ The membership of this institution's IACUC has changed. *[Provide current roster of members in Item VI.]*

### II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. *[Do not provide semiannual reports unless they include a minority view.]*

#### A. Program Evaluations

*[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6 month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]*

<b>Date 1:</b> 1/23/19 - I. Institutional Policies and Responsibilities (1-3)  2/20/19 - I. Institutional Policies and Responsibilities (4-6)  3/20/19 - I. Institutional Policies and Responsibilities (7-9)  4/24/19 - I. Institutional Policies and Responsibilities (10-12)  5/22/19 - II. Veterinary Care (1-6)	<b>Date 2:</b> 7/24/19 - I. Institutional Policies and Responsibilities (1-3)  8/21/19 - I. Institutional Policies and Responsibilities (4-6)  9/18/19 - I. Institutional Policies and Responsibilities (7-9)  10/16/19 - I. Institutional Policies and Responsibilities (10-12)  12/4/19 - II. Veterinary Care (1-6)
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#### B. Facility Inspections



*[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6 month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]*

Date 1: May 22, 24; June 4, 5, 7, 19, and 26. Report finalized on 7/24/19.	Date 2: November 1, 7, 14, 19, 22 ; December 3, 13. Report finalized on 1/22/20
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#### III. Minority Views [Select A or B]

- ☒ A. There were **no minority** views during this reporting cycle.  
☐ B. Any minority views submitted by members of the IACUC regarding reports filed under PHS Policy IV.F. for this reporting cycle are attached.

#### IV. Signatures

IACUC Chairperson	Institutional Official
Name: <u>Brett Lund</u>	Name: <u>Maja Mataric</u>
 (b) (6)	 (b) (6)
Signature:	Signature:
Date: <u>1/22/2020</u>	Date: <u>1-29-20</u>

## V. Change in Institutional Official

Name: Maja Mataric	
Title: Interim Vice President of Research	Degree/Credential: Ph.D. Computer Science and Artificial Intelligence
Name of Institution: University of Southern California	
Address: [street, city, state, zip code] 3720 South Flower Street, (b) (4) Los Angeles, CA 90089	
E-mail: vice.president.research@usc.edu	
Phone: (b) (6)	Fax: (b) (6)

## VI. Change in IACUC Membership [Current roster]

<b>Institution: University of Southern California</b>			
<b>IACUC Contact Information</b>			
Address: [street, city, state, zip code]  1540 Alcazar St (b) (4) Los Angeles, CA, 90089-9004			
E-mail: glgonzal@med.usc.edu			
Phone: (b) (6)		Fax: (b) (6)	
<b>IACUC Chairperson</b>			
Name: Brett Lund, Ph.D.			
Title: Assistant Professor of Research Neurology, Department of Neurology		Degree/Credentials: Ph.D.	
PHS Policy Membership Requirements***:			
<b>IACUC Roster</b> [Provide below or attach]			
Name of Member/ Code*	Degree/ Credential	Position Title/ Occupational Background**	PHS Policy Membership Requirements
(b) (6)			Scientist
			Scientist
			Scientist
			Scientist
			Scientist

	(b) (6)
	Scientist
	Scientist
	Scientist
	Scientist
	Scientist
	Scientist
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	Scientist
	Scientist
	Scientist
	Scientist
	Scientist
	Scientist
	Scientist
	Scientist
	Scientist
	Veterinarian
	Nonaffiliated Member
	Nonscientist, Nonaffiliated Member
	Nonscientist, Nonaffiliated Member

(b) (6)			
			Member
			Member
			Member
			Member
			Member
			Veterinarian
<b>Alternates for Dr.</b>			(b) (6)
			Veterinarians

\*\*\* PHS Policy Membership Requirements:

- Veterinarian*     veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
- Scientist*       practicing scientist experienced in research involving animals.
- Nonscientist*    member whose primary concerns are in a nonscientific area (for example, ethicist, lawyer, member of the clergy).
- Nonaffiliated*   individual who is not affiliated with the institution in any way other than as a member of the IACUC, and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user. A consulting veterinarian may not be considered nonaffiliated.

[Note: all members must be appointed by the CEO (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]

## **VII. Change to IACUC Procedures for Designated Member Review**

Beginning on August 1, 2019, the IACUC began reviewing all new protocols, renewals, and amendments by the designated member review process. The processes for DMR review already described in the USC assurance and OLAW guidance are followed, and protocols are listed for possible DMR review when placed on each monthly agenda. This allows for some protocols to be approved more quickly to reduce regulatory burden.