

Annual Report to OLAW

Institution: University of Pennsylvania
Assurance Number: D16-00045 (A3079-01)
Reporting Period: January 1, 2019 to December 31, 2019

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

I. Program Changes *[Select A or B]*

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. *[Skip to Item II.]*
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period. ([FAQ 6](#))

Select all that apply:

- ☒ This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- ☒ [AAALAC Accredited](#) – Category 1 Additional Schools Accredited at Penn. (Schools of Arts and Sciences, Engineering and Applied Sciences, and Nursing have been added to the School of Medicine and the School of Veterinary Medicine for full accreditation.)
- ☐ Non-Accredited – Category 2
- ☒ This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)). *[Attach a full description of the changes.]*
- ☐ The individual designated by this institution as the Institutional Official has changed. *[Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]*
- ☒ The membership of this institution's IACUC has changed. *[Provide current roster of members in Item VI.]*

II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. *[Do not provide semiannual reports unless they include a minority view.]*

A. Program Evaluations

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6 month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]

Date 1: 5/23/2019	Date 2: 11/26/2019
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B. Facility Inspections

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6 month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]

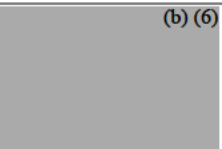

Date 1: 4/1-30/2019

Date 2: 10/1-31/2019

III. Minority Views *[Select A or B]*

- ☒ A. There were **no minority** views during this reporting cycle.
- ☐ B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F.](#) for this reporting cycle are attached.

IV. Signatures

IACUC Chairperson	Institutional Official
Name: Yale Cohen, PhD	Name: Dawn Bonnell, PhD
Signature:  (b) (6)	Signature:  (b) (6)
Date: January 9, 2020	Date: January 13, 2020

V. Change in Institutional Official- NA

Name:	
Title:	Degree/Credential:
Name of Institution:	
Address: <i>[street, city, state, zip code]</i>	
E-mail:	
Phone:	Fax:

VI. Change in IACUC Membership [*Current roster*]

Institution: University of Pennsylvania	
IACUC Contact Information	
Address: [<i>street, city, state, zip code</i>]	
E-mail:	
Phone:	Fax:
IACUC Chairperson	
Name:	
Title:	Degree/Credentials:
PHS Policy Membership Requirements***:	

See IACUC Roster Below:

Name of Member/Code #	Degree	Position / Specialty	PHS Policy Affiliation
1 - Yale Cohen	PhD	Professor Otorhinolaryngology (Medicine)	Scientist IACUC Chair
2 - Diane Gaertner	DVM, DACLAM	ULAR Director Attending Veterinarian	Attending Veterinarian
(b) (6)			Veterinarian
			Scientist
			Scientist
			Scientist
			Scientist IACUC Vice-Chair
			Scientist
			Nonaffiliated Non-scientist
			Veterinarian
			Scientist
			Scientist (Veterinarian)
			Scientist

(b) (6)	Scientist
	Scientist
	Scientist
	Veterinarian
	Scientist
	Nonaffiliated Non-scientist
	Scientist
	Alternate- Veterinarian
	Alternate- Scientist (Veterinarian)
	Alternate- Office of animal welfare member

Yellow highlight are new members

*** [PHS Policy](#) Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (for example, ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC, and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user. A consulting veterinarian may not be considered nonaffiliated.

[Note: all members must be appointed by the CEO (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members

must be so identified.]

Changes to the Animal Care and Use Program

1. University Laboratory Animal Resources Department-

(b) (6)

