

## Annual Report to OLAW

Institution: University of Texas Health Science Center at San Antonio
Assurance Number: D16-00224 (A-3345-01)
Reporting Period: 01/01/19 – 12/31/19

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

### I. Program Changes [Select A or B]

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. [Skip to Item II.]
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period. (FAQ 6)

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed (PHS Policy IV.A.2.).
- ☐ AAALAC Accredited – Category 1
- ☐ Non-Accredited – Category 2
- ☒ This institution's program for animal care and use has changed (PHS Policy IV.A.1.a-i.). [Attach a full description of the changes.]
- ☐ The individual designated by this institution as the Institutional Official has changed. [Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]
- ☒ The membership of this institution's IACUC has changed. [Provide current roster of members in Item VI.]

### II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. [Do not provide semiannual reports unless they include a minority view.]

#### A. Program Evaluations

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6 month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]

Date 1: March 27, 2019	Date 2: September 11, 2019
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## B. Facility Inspections

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6 month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]

January 11, 17, 2019
February 21, 27, 2019
March 4, 5, 6, 7, 21, 2019
April 18, 2019
May 7, 16, 22, 2019
June 6, 14, 17, 20, 2019
July 18, 2019
August 15, 16, 29, 2019
September 16, 18, 19, 20, 23, 26, 2019
October 15, 16, 17, 18, 21, 22, 23, 24, 25, 28, 2019
November 6, 8, 11, 13, 14, 19, 21, 2019
December 12, 2019

## III. Minority Views [Select A or B]

- ☒ A. There were **no minority** views during this reporting cycle.
- ☐ B. Any minority views submitted by members of the IACUC regarding reports filed under PHS Policy IV.F. for this reporting cycle are attached.

## IV. Signatures

IACUC Chairperson	Institutional Official
Name: Kristine Vogel, Ph.D.	Name: Andrea Giuffrida, Ph.D.
Signature: <b>Kristine S. Vogel PhD</b> <small>Digitally signed by Kristine S. Vogel PhD DN: cn=Kristine S. Vogel PhD, o=UT Health San Antonio, ou=Cell System &amp; Anatomy, email=vogelk@uthscsa.edu, c=US Date: 2020.01.14 15:03:18 -0500</small>	<b>(b) (6)</b> <small>Digitally signed by Andrea Giuffrida DN: cn=Andrea Giuffrida, o=Office of the Vice President for Research, ou, email=giuffrida@uthscsa.edu, c=US Date: 2020.01.15 08:51:08 -0600</small>
Date:	Date:

## V. Change in Institutional Official

Name:	
Title:	Degree/Credential:
Name of Institution:	
Address: [street, city, state, zip code]	
E-mail:	
Phone:	Fax:

# **VI. Change in IACUC Membership** [Current roster]

<b>Institution: University of Texas Health Science Center at San Antonio</b>			
<b>IACUC Contact Information</b>			
Address: [street, city, state, zip code]  7703 Floyd Curl Drive San Antonio, Texas 78229-3900			
E-mail: <a href="mailto:vogelk@uthscsa.edu">vogelk@uthscsa.edu</a>			
Phone: (b) (6)		Fax: (210) 567-3803	
<b>IACUC Chairperson</b>			
Name: Kristine Vogel			
Title: Assistant Professor		Degree/Credentials: Ph.D.	
PHS Policy Membership Requirements***:			
<b>IACUC Roster</b> [Provide below or attach]			
Name of Member/ Code*	Degree/ Credential	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
(b) (6)			Safety/Occupational Health Member(Primary)
			Scientist (Alternate)
			Safety/Occupational Health Member(Alternate)
			TBRI Liaison (Primary)
Cerecero (Watson), Jennifer	B.S., M.S.	Director, Radiation Safety	Safety/Occupational Health Member(Alternate)
(b) (6)			IACP Member
			Scientist (Alternate)
			Veterinarian (Primary)
			Safety/Occupational Health Member (Alternate)
			Non-Scientist (Primary)
			Scientist (Alternate)
Hacker, Sander	V.M.D.	Director	Attending Veterinarian (Primary)
(b) (6)			Veterinarian (Alternate)
			Scientist (Alternate)
			Scientist (Alternate)
			Scientist (Primary)
			Veterinarian (Alternate)
			Scientist (Alternate)

			(b) (6)	Scientist (Alternate)
			Scientist (Alternate)	
			Scientist (Primary)	
			Scientist (Alternate)	
			Scientist (Alternate)	
			Scientist (Primary)	
			Veterinarian (Alternate)	
Patel, Darpan	Ph.D.	Director		Scientist (Alternate)
			(b) (6)	Scientist (Alternate)
			Scientist (Alternate)	
			Scientist (Alternate)	
			IACP Member(Alternate)	
			Scientist (Primary)	
			Scientist (Primary)	
			Scientist (Alternate)	
			Scientist (Primary); Vice Chair	
			Community/Non-Affiliated, Scientist (Primary)	
			DLAR Member, Non-Voting (Primary)	
				IACP Member (Alternate)
Summers, Kimberly	PharmD.	Director, Research Regulatory Program		IACP Member(Alternate)
			(b) (6)	IACP Member(Primary)
			Scientist(Primary)	
			Scientist (Primary); Chair	
			Community/Non-Affiliated, Scientist (Alternate)	
			Non-Scientist (Alternate)	
			Scientist (Primary)	
			Non-Scientist, Non-Voting (Primary)	
			Safety/Occupational Health Member(Alternate)	
			VA Liaison (Primary)	
				Scientist (Alternate)

\* Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives

upon request.

**\*\*** List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

**\*\*\*** PHS Policy Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (for example, ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC, and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user. A consulting veterinarian may not be considered nonaffiliated.

*[Note: all members must be appointed by the CEO (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]*



**Institutional Animal Care and Use Program Changes  
January 2020**

There have been general administrative updates to the Institution's Animal Welfare Assurance document in the last 12 months, to include typographical and formatting corrections, membership updates and personnel title changes.



## **MEMORANDUM**

**TO:** Nicole Lukovsky-Akhsanov, DVM MPH, DACLAM  
Veterinary Medical Officer  
Office of Laboratory Animal Welfare

**FROM:**



**DATE:** 02/28/2020

**RE:** 2019 OLAW annual Report #D16-00224(A3345-01)

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Thank you for the comments that resulted from your review of The University of Texas Health Science Center at San Antonio's (UTHSCSA) Annual Report to OLAW. Below you will find your initial review request for more information along with our response. Please contact me directly if you have any further questions.

**OLAW Request:**

We are requesting more information on your self-identified program changes, specifically the changes to the Assurance as stated in the following paragraph:

*There have been general administrative updates to the Institution's Animal Welfare Assurance document in the last 12 months, to include typographical and formatting corrections, membership updates and personnel title changes.*

The Assurance document is a contract between OLAW and your organization and as such, any changes need to be communicated to OLAW. Please submit the revised Annual Report including details of changes to the organization that impact the animal program and facilities.

**UTHSCSA Response:** The following changes do not impact the animal program and/or facilities and as a result a revised Annual Report should not be necessary at this time. More specifically the administrative updates include:





**Subsection III.B.-**The qualifications, authority and percent of time contributed by the veterinarian(s) who will participate in the program are as follows:

(b) (6)

**Subsection III.D.3.-** Prepare reports of the IACUC evaluations according to PHS Policy IV.B.3. and submit the reports to the Institutional Official. The IACUC procedures for developing reports and submitting them to the Institutional Official are as follows:

*Summary of change:* Typographical changes to the paragraphs of this section were made. More specifically, deletion of extra spaces.

**Subsection III.D.7.-** Review and approve, require modifications in (to secure approval), or withhold approval of proposed significant changes regarding the use of animals in ongoing activities according to PHS Policy IV.C. The IACUC procedures for reviewing proposed significant changes in ongoing research projects are as follows:

*Summary of change:* Typographical changes to the paragraphs of this section were made. More specifically, deletion of extra spaces.

**Subsection III.E.10.-** The risk-based occupational health and safety program for personnel working in laboratory animal facilities and personnel who have frequent contact with animals is as follows:

*Summary of change:*

1. Typographical changes to the paragraphs of this section were made. More specifically, deletion of extra spaces and deletion of unnecessary punctuation.
2. Typographical errors in the sentence, "The training details, but is not limited to," were corrected to read the following: "The training details include, but are not limited to:"





**Subsection III.G. -The training or instruction available to scientists, animal technicians, and other personnel involved in animal care, treatment, or use is as follows:**

**Summary of change:**

1. The following paragraph was deleted because the information was accidentally repetitive and provided earlier in this section.

*Mandatory employee safety training occurs prior to working in or around animal use areas. IACUC CITI training must be completed for all protocol-associated personnel prior to being assigned to an approved protocol. The CITI training for investigators, staff and students covers the importance of minimizing the number of animals required to obtain valid results by explaining the importance of alternatives (the 3 R's) and avoiding unnecessary duplication.*

2. A formatting change was made to recognize the following, already included paragraph, as the fifth bullet for this section. The paragraph now reads:

*5. Additional Didactic and Hands-On Training: There are currently two types of species and protocol specific animal use training provided to investigators by DLAR staff. DLAR coordinates schedules and maintains documentation of participation for all hands-on animal use training at the Institute.*

3. The formatting for all subsequent bullet numbers were changed in accordance with the change described above.

**Subsection VIII.– IACUC Roster:**

**Summary of change:** An updated IACUC Roster was included in the Assurance document, consistent with the roster that was included in the Annual Report form.



