



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 5, 2020

Re: Animal Welfare Assurance
#A3288-01 (OLAW Case Z)

Ms. Lynn Chronister
Vice President for Research and
Economic Development
University of South Alabama
307 University Blvd., N AD 200
Mobile, Alabama 36688

Dear Ms. Chronister,

Thank you for your May 29, 2020 letter responding to our May 1st request for additional information regarding the Minority View that was attached to your 2019 Annual Report to OLAW which described housing animals (fish) without an approved protocol and without the knowledge of the IACUC or veterinarians. It is understood that the activity was not PHS funded.

Corrective and preventive actions included counseling of personnel involved and the IACUC approval of a protocol covering the housing of fish.

OLAW agrees with the consensus of the IACUC and that this issue *should* have been considered a significant deficiency. Since this activity was not PHS funded and if the IACUC considered this an isolated incident and therefore not a programmatic deficiency, the incident would *not* be required to be reported to OLAW.

We appreciate your cooperation by providing the requested information. Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident, correct the issue at hand, and prevent recurrence. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2020.06.05 10:21:02 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



A3288-Z

UNIVERSITY OF SOUTH ALABAMA

May 29, 2020

Brent Morse, DVM
Director, Division of Compliance Oversight
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Dear Dr. Morse:

The purpose of this letter is to provide a written report of a non-compliance that occurred at the University of South Alabama (Assurance A3288-01) in May 2019. The species is *Archosargus probatocephalus*. This report is a response to the letter dated May 1, 2020, regarding a minority view memorandum attached to our OLAW 2019 Annual Report. In this communication from OLAW, it was requested that the IACUC "provide a description of the occurrence and all corrective/preventive actions." Additionally, the IACUC was asked to "consider if the occurrence represented a programmatic failure and why they determined it was not reportable to this Office." The IACUC determined the non-compliance incident was considered serious but would not be reported to OLAW. Rather, a minority view memorandum was attached to the 2019 Annual Report.

During spring 2019 semi-annual inspections, aquatic housing was inspected at the Dauphin Island Sea Laboratory (DISL). Several research projects performed at the DISL are affiliated with USA and are therefore under the jurisdiction of the USA IACUC.

On April 23, 2019 it was noted that there were mesocosms housing *Archosargus probatocephalus*, common name: sheepshead fish. A USA graduate student stated that they were holding the fish, pending approval of a submitted IACUC-protocol. The fish were being housed as per a previously approved (but expired) IACUC protocol for housing sheepshead fish. The Attending Veterinarian (AV) for USA was part of the aquatic housing inspection team and verified that the fish were housed appropriately and that they were in good condition. During the inspection, the graduate student was counseled to not use or euthanize the animals, pending an IACUC investigation.

The IACUC Chair and I were notified by the AV of the incident. The Program Review subcommittee appointed two IACUC members to investigate the circumstances of this occurrence. The two IACUC members contacted the PI, the graduate student, and research staff at the DISL. The investigative team presented the following to the full IACUC at the May 2019 meeting:

1. An angler who was familiar with the USA graduate student and knew she would be working with sheepshead fish brought several to the DISL for her.
2. She accepted the fish and housed them in the tank, only planning to use them once a usage protocol was approved. The graduate student did not know that she needed an approved protocol to house the donated fish.

Institutional Animal Care and Use Committee
AD 240 | 307 University Blvd. North | Mobile, Alabama 36688
TEL: 251-341-4913 | FAX: 251-460-7955 | dmiller@southalabama.edu

3. Sheepshead fish are seasonal and are hard to catch.
4. No experiments were carried out.
5. The fish could not be released from the dock because they are naturally found farther offshore.
6. This was an isolated incident.
7. Corrective action had already been initiated and included counseling the graduate student, the PI, and research staff, and submission for review and approval an umbrella protocol for temporary housing of fish species.

The full IACUC discussed this noncompliance at the May 16, 2019 meeting. After much deliberation, a vote was put forth to consider this noncompliance "minor" vs "significant" for the following reasons:

1. The fish were housed appropriately and would have perished had they been released.
2. This was an isolated incident.
3. The PI, the graduate student, and research staff were counseled and understood that an active housing was required for fish donated by anglers.
4. The mesocosms were well maintained by DISL and represent a housing paradigm like what is found in the field.

The committee voted in favor of considering this a "minor" deficiency identified during semi-annual inspections, with three dissenting votes. Those three members chose to write a minority view. This memorandum was attached to the OLAW Annual Report for 2019.

An additional motion was made to vote on the proposed corrective action plan (CAP): counseling of the graduate student, the PI, and research staff; and submission for review and approval of an umbrella protocol for temporary housing of fish species. The CAP was unanimously approved.

This occurrence was not reported to OLAW after the May 2019 meeting for the following reasons:

1. No animals were harmed.
2. The studies were not funded by a PHS-awarding unit.
3. The IACUC voted to consider this a "minor" deficiency.

The full committee reviewed the letter from Dr. Morse dated May 1, 2020, the report from the two IACUC members who investigated the occurrence in May 2019, the memorandum containing the minority view as submitted with the 2019 Annual Report to OLAW, and the minutes from the May 16, 2019 IACUC meeting at a meeting which occurred on May 21, 2020. The IACUC discussed the concern(s) raised by OLAW Case Z, including whether this represented a programmatic failure. In the initial review of the incident, most of the committee voted that this was a minor deficiency (see reasoning detailed above) and not reportable to OLAW. As stated, a minority view was documented. Corrective action was immediately taken at the time of the incident and an approved protocol is now in place to prevent any further issues with housing of fish species.

After deliberation at the meeting on May 21, 2020, there was a consensus that this deficiency could have been considered a "significant" deficiency, but no motion was made to change the Semi-Annual Inspection report from Spring 2019. In addition, the committee noted that regardless of the designation of "significant" vs "minor" on the Semi-Annual report to me, the incident was serious and corrective action was taken.

On May 21st at the IACUC committee meeting, an additional motion was made by the Attending Veterinarian (AV). The motion brought forth was to consider the incident as a programmatic failure and to classify the incident as a "significant deficiency and programmatic failure". There was no voting member who seconded the motion. The IACUC noted that:

1. No animals were harmed.
2. The incident was taken seriously.
3. Prompt corrective action was taken.
4. The IACUC had extensive discussions about this incident at the May 2019 and 2020 meetings.

The University of South Alabama is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. Should you have any questions regarding this written report, please feel free to contact me.

Sincerely,

 (b) (6)

Vice President for Research and Economic Development
Institutional Official

CC AAALAC International
Michele Schuler, DVM, PhD, Attending Veterinarian
Research Compliance Coordinator
University of South Alabama IACUC

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, June 3, 2020 7:12 AM
To: Michele Schuler
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Animal Welfare Assurance #A3288-01 (OLAW Case Z)

Thank you for this report, Dr. Schuler. Dr. Morse will respond soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: Michele Schuler <mschuler@southalabama.edu>
Sent: Tuesday, June 2, 2020 1:08 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Lynne Chronister <lchronister@southalabama.edu>; Natalie Bauer <nbauer@southalabama.edu>; (b) (6)
(b) (6)
Subject: Animal Welfare Assurance #A3288-01 (OLAW Case Z)

Dr. Morse,
Please find the attached letter, signed by our Institutional Official, in response to your letter dated 5/1/20 requesting information regarding a deficiency noted during the Spring 2019 Semi-Annual inspections.

Please let me know if you have any additional questions or concerns.
Thank you for your guidance.

regards, Michele

--
Michele Schuler, DVM, PhD
Director, University Biologic Resources
Associate Professor
Departments of Comparative Medicine and Microbiology
University of South Alabama
TEL (b) (6)
FAX (b) (6)
mschuler@southalabama.edu



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Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

May 1, 2020

Re: Animal Welfare Assurance
#A3288-01 (OLAW Case Z)

Ms. Lynn Chronister
Vice President for Research and
Economic Development
University of South Alabama
307 University Blvd., N AD 200
Mobile, Alabama 36688

Dear Ms. Chronister,

Upon review of your 2019 Annual Report to OLAW, our Division of Assurances referred the Minority View that was attached to the Division of Compliance Oversight. The minority View, dated May 16, 2019 from the Attending Veterinarian Dr. Schuler, describes housing of fish without an IACUC-approved protocol at the Dauphin Island Sea Lab. This was investigated by an IACUC subcommittee starting May 2, 2019 and reported to the full committee on May 16, 2019. The committee voted to consider this non-compliance as "minor" vs. "significant". Housing animals without an approved protocol and without the knowledge of the IACUC or veterinarians is a serious concern whether categorized as a significant deficiency or not.

Since corrective and preventive actions were not included in the Minority View letter, and as authorized under section V. A. 4. of the PHS Policy, and as referenced in your Animal Welfare Assurance for Humane Care and Use of Laboratory Animals, OLAW is requesting that your institution provide an explanation of the circumstances surrounding the above concern. Please instruct the IACUC, avoiding any conflict of interest, to send a report, signed by you as the Institutional Official, to the following OLAW email inbox: OLAWdco@od.nih.gov and provide a description of the occurrence and all corrective/preventive actions. Please have them consider if the occurrence represented a programmatic failure and why they determined it was not reportable to this Office. Please also include information regarding any PHS/NSF/DHHS funding of activities related to the occurrence, including grant or contract numbers.

We appreciate your cooperation and ask that you please provide the requested information **by July 31, 2020**. Please contact me if I can be of assistance at morseb@mail.nih.gov.

Sincerely,

Brent C. Morse -S Digitally signed by Brent C. Morse -S
Date: 2020.05.01 10:52:06 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

Annual Report to OLAW

Initial: _____

Institution: University of South Alabama

Assurance Number: A3288-01

Reporting Period: January 1, 2019 - December 31, 2019

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

I. Program Changes [Select A or B]

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. [Skip to Item II.]
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period. (FAQ 6)

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed (PHS Policy IV.A.2.).
- ☐ AAALAC Accredited - Category 1
- ☐ Non-Accredited - Category 2
- ☐ This Institution's program for animal care and use has changed (PHS Policy IV.A.1.a-i.). [Attach a full description of the changes.]
- ☐ The individual designated by this institution as the Institutional Official has changed. [Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]
- ☒ The membership of this institution's IACUC has changed. [Provide current roster of members in Item VI.]

II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the Guide with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. [Do not provide semiannual reports unless they include a minority view.]

A. Program Evaluations

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6 month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]

Date 1: May 02, 2019

Date 2: November 04, 2019

B. Facility Inspections

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6 month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]



Date 1: April 23-May 01, 2019

Date 2: October 25-November 01, 2019

III. Minority Views [Select A or B]

- [] A. There were **no minority** views during this reporting cycle.
- [X] B. Any minority views submitted by members of the IACUC regarding reports filed under PHS Policy IV.F. for this reporting cycle are attached.

IV. Signatures

IACUC Chairperson	Institutional Official
Name: Natalie Bauer, Ph.D.	Name: Lynne U. Chronister, M.S.
 (b) (6)	 (b) (6)
Signature:	Signature:
Date: 1-16-2020	Date: 01/16/20

V. Change in Institutional Official

Name:	
Title:	Degree/Credential:
Name of Institution:	
Address:	
E-mail:	
Phone:	Fax:

VI. Change in IACUC Membership [Current roster]

Institution: University of South Alabama			
IACUC Contact Information			
Address: Danielle L. Miller 307 University Blvd. (b) (4) Mobile, AL 36688-0002			
E-mail: daniellemiller@southalabama.edu			
Phone: (b) (6)		Fax: (b) (6)	
IACUC Chairperson			
Name: Natalie Bauer			
Title: Associate Professor, Dept. of Pharmacology		Degree/Credentials: Ph.D.	
PHS Policy Membership Requirements***: Scientist, Voting Member			
IACUC Roster [Provide below or attach]			
Name of Member/ Code*	Degree/ Credential	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
Natalie Bauer	Ph.D. (Chair)	Associate Professor, Dept. of Pharmacology	Scientist, Voting Member
Michele Schuler	D.V.M., Ph.D.	Director of Biological Resources and Associate Professor, Comparative Medicine	Veterinarian, Voting Member
(b) (6)			Scientist, Voting Member
			Non-scientist, non- affiliated, voting member
			Non-scientist, non- affiliated, voting member
			Scientist, Voting Member
			Scientist, Voting Member
			Scientist, Voting Member
			Scientist, Voting Member
			Non-Scientist, Non- affiliated, Voting Member
(b) (6)			Scientist, Voting Member

(b) (6)	Scientist, Voting Member
	Non-scientist, Non-affiliated, Voting Member ✓
	IACUC Administrator, non-voting member
	Consultant, non-voting member.

* Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

** List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

*** PHS Policy Membership Requirements:

Veterinarian veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.

Scientist practicing scientist experienced in research involving animals.

Nonscientist member whose primary concerns are in a nonscientific area (for example, ethicist, lawyer, member of the clergy).

Nonaffiliated Individual who is not affiliated with the institution in any way other than as a member of the IACUC, and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user. A consulting veterinarian may not be considered nonaffiliated.

[Note: all members must be appointed by the CEO (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]

MEMORANDUM

Department of Comparative
Medicine/College of Medicine

Date:

May 16, 2019

To:

IACUC

From:

Michele Schuler, DVM, PhD
Attending Veterinarian

Subject:

Minority view

During recent semi-annual inspections, an investigator affiliated with the Dauphin Island Sea Lab was observed to be housing fish for which there was no IACUC-approved protocol. No animals were in any distress and the housing was appropriate for the species.

This non-compliance was reported to the subcommittee performing Program Review on May 2, 2019. The committee members present recommended that two members of the committee should further investigate this noncompliance as per the AAALAC Program Description and established USA-IACUC procedures for investigating reports of noncompliance. Findings of this investigation were reported to the full committee at the May 16, 2019 meeting.

After a thorough discussion, the committee voted to consider this noncompliance to be "minor" based on the following factors:

1. The mesocosm where the fish were housed is an extension of the gulf waters;
2. No experiments were performed;
3. The fish were donated by an angler who was unaware of IACUC regulations;
4. The fish donated were deep-water dwellers and would have perished if released in the shallow waters;
5. The fish were housed appropriately;
6. The graduate student did not realize that housing these animals was not approved by the IACUC and was included on a "pending" protocol; and
7. An IACUC protocol to use the fish was already under IACUC's review at the time of the semi-annual inspection and the protocol was approved on April 24, 2019.

Memorandum

Page 2

Regardless of all of the above considerations, three members of the IACUC (b) (6) did not support the vote and felt that this noncompliance should be classified as "significant." Our rationale for this opinion was that regardless of the reasoning detailed above, **animals were housed without assignment to an IACUC-approved protocol** for approximately three weeks prior to the semi-annual inspection. During this timeframe, the PI did not contact the IACUC to discuss expedited protocol review or to report that animals had been donated and that there was no protocol on which to house them. As per our Assurance A3288-01 and our AAALAC Program Description, animal work (including housing of animals for research purposes) cannot be initiated prior to the protocol's approval date.

The proposed Corrective Action Plan was unanimously approved by the committee regardless of the designation of "minor" or "significant" of the noncompliance.

Morse, Brent (NIH/OD) [E]

From: Morse, Brent (NIH/OD) [E]
Sent: Wednesday, April 15, 2020 10:43 AM
To: Thornton, Venita (NIH/OD) [E]
Subject: RE: Status of the following ARs ??

Hi Venita,

A3288-01 (U of So. Alabama) will be DCO case A3288-Z

A3908-01 (N. Arizona U) - reported not having a N/A member currently for the IACUC. As long as they have not conducted "official business" there is no non-compliance. I suggest you inform them in your AR acceptance letter that if they have conducted official business without a N/A member, they need to report that to DCO along with a corrective action plan which may include re-doing any official business.

I have placed both of these ARs in you mail box.

Cheers!

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: Morse, Brent (NIH/OD) [E]
Sent: Friday, April 10, 2020 12:19 PM
To: Thornton, Venita (NIH/OD) [E] <ThorntoV@OD.NIH.GOV>
Subject: RE: Status of the following ARs ??

Hey Venita,

Both of these ARs are in my office for Minority View review by me for DCO. I will review them next Wednesday when I come in and will pass them along for final processing. Brent

From: Thornton, Venita (NIH/OD) [E] <thorntov@od.nih.gov>
Sent: Friday, April 10, 2020 8:58 AM
To: Tubbs, Jai (NIH/OD) [E] <jacquelyn.tubbs@nih.gov>; Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>
Cc: James, Kiara (NIH/OD) [E] <kiara.james@nih.gov>; OLAW-DOA Annual Reports (NIH/OD) <olawarp@od.nih.gov>
Subject: RE: Status of the following ARs ??

Good Morning – Thanks Jai & Brent for checking on this. Now the million dollar question is. . . where are these files ??
:-0

~Venita

Venita B. Thornton, DVM, MPH
CAPT - USPHS Commissioned Corps.
Senior Assurance Officer
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700-B Rockledge Drive
Suite 2500 / MSC 6910
Bethesda, Maryland 20892
Phone: 301.496.7163 (Main)
Phone: 301.451.4208 (Direct)
Fax: 301.480.3421
Email: thorntov@od.nih.gov

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From: Tubbs, Jai (NIH/OD) [E] <jacquelyn.tubbs@nih.gov>
Sent: Friday, April 10, 2020 7:28 AM
To: Thornton, Venita (NIH/OD) [E] <thorntov@od.nih.gov>; Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>
Cc: James, Kiara (NIH/OD) [E] <kiara.james@nih.gov>; OLAW-DOA Annual Reports (NIH/OD) <olawarp@od.nih.gov>
Subject: RE: Status of the following ARs ??

Good morning Venita,

I checked my spreadsheet and I didn't have either of these ARs. The ARs that did have noncompliant issues have been resolved as well. Hope this helps.

Happy Friday.

J Tubbs

From: Thornton, Venita (NIH/OD) [E] <thorntov@od.nih.gov>
Sent: Thursday, April 9, 2020 4:22 PM
To: Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>; Tubbs, Jai (NIH/OD) [E] <jacquelyn.tubbs@nih.gov>
Cc: James, Kiara (NIH/OD) [E] <kiara.james@nih.gov>; OLAW-DOA Annual Reports (NIH/OD) <olawarp@od.nih.gov>
Subject: RE: Status of the following ARs ??

Greetings Folks -

Paula recently sent out a report regarding the status of remaining 2019 Annual Reports yet to be approved. I am unable to locate these two Annual Reports, and so I am checking with DOC to see if maybe you are currently processing them. We think that #A3288-01 had a Minority View, and #A3908-01 had a noncompliant IACUC (i.e. No Non-affiliated Member). Any assistance / information that you can provide is greatly appreciated.

D16-00185	A3288-01	University of South Alabama	1/31/2020	1/17/2020	Thornton, Ver
D16-00523	A3908-01	Northern Arizona University - Flagstaff	1/31/2020	1/30/2020	Thornton, Ver

Venita B. Thornton, DVM, MPH
CAPT - USPHS Commissioned Corps.
Senior Assurance Officer

Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700-B Rockledge Drive
Suite 2500 / MSC 6910
Bethesda, Maryland 20892
Phone: 301. 496. 7163 (Main)
Phone: 301. 451. 4208 (Direct)
Fax: 301. 480. 3421
Email: thorntov@od.nih.gov

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From: James, Kiara (NIH/OD) [E] <kiara.james@nih.gov>
Sent: Thursday, April 9, 2020 3:54 PM
To: Thornton, Venita (NIH/OD) [E] <thorntov@od.nih.gov>
Cc: OLAW-DOA Annual Reports (NIH/OD) <olawarp@od.nih.gov>
Subject: RE: Status of the following ARs ??

Hmm I think A3908-01 might have been one of the AR that I couldn't find the file. There were more files missing than usual this year ☹️

Best Wishes,

Kiara A. James

Program Analyst
Office of Laboratory Animal Welfare, NIH
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20892
Phone: (301) 594-2289
Email: kiara.james@nih.gov

Division of Assurances
E-Fax (301) 451-5672
Email: olawdoa@mail.nih.gov

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From: Thornton, Venita (NIH/OD) [E] <thorntov@od.nih.gov>
Sent: Thursday, April 9, 2020 3:49 PM
To: James, Kiara (NIH/OD) [E] <kiara.james@nih.gov>
Cc: OLAW-DOA Annual Reports (NIH/OD) <olawarp@od.nih.gov>
Subject: RE: Status of the following ARs ??

Okay. . . so maybe DOC has A3288-01 since they had a Minority View. I'll check with Brent.

But that doesn't resolve where A3908-01 file is currently? It was not with the other ARs in my office, that I'm processing. I can't remember having the file or reviewing the AR. I guess I'll have to download it and review it. . . . this is strange!!



Venita B. Thornton, DVM, MPH
CAPT - USPHS Commissioned Corps.
Senior Assurance Officer
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700-B Rockledge Drive
Suite 2500 / MSC 6910
Bethesda, Maryland 20892
Phone: 301.496.7163 (Main)
Phone: 301.451.4208 (Direct)
Fax: 301.480.3421
Email: thorntov@od.nih.gov

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From: James, Kiara (NIH/OD) [E] <kiara.james@nih.gov>
Sent: Thursday, April 9, 2020 3:32 PM
To: Thornton, Venita (NIH/OD) [E] <thorntov@od.nih.gov>
Cc: OLAW-DOA Annual Reports (NIH/OD) <olawarp@od.nih.gov>
Subject: RE: Status of the following ARs ??

Hi Dr. Thornton,

I do not believe Denis had those one.

University of South Alabama had a minority report.
Northern Arizona University – Flagstaff didn't have a community member.

Best Wishes,

Kiara A. James

Program Analyst
Office of Laboratory Animal Welfare, NIH
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20892
Phone: (301) 594-2289
Email: kiara.james@nih.gov

Division of Assurances
E-Fax (301) 451-5672
Email: olawdoa@mail.nih.gov

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From: Thornton, Venita (NIH/OD) [E] <thorntov@od.nih.gov>
Sent: Thursday, April 9, 2020 3:13 PM
To: James, Kiara (NIH/OD) [E] <kiara.james@nih.gov>
Cc: OLAW-DOA Annual Reports (NIH/OD) <olawarp@od.nih.gov>
Subject: Status of the following ARs ??

Hi Kiara –

Can you please check on the status of these ARs. They were flagged in Paula's recent report as still outstanding. There were 3 institutions listed, and I can account for only 1 of them that I reviewed & generated a Clarification Letter (#A3048-01). I don't remember reviewing the remaining ARs (were they given to Denis for review?)

Please provide me with a Status report. Thanks.

D16-00027	A3048-01	Midwestern University	1/31/2020	1/10/2020	Thornton, Ver
D16-00185	A3288-01	University of South Alabama	1/31/2020	1/17/2020	Thornton, Ver
D16-00523	A3908-01	Northern Arizona University - Flagstaff	1/31/2020	1/30/2020	Thornton, Ver

Venita B. Thornton, DVM, MPH
CAPT - USPHS Commissioned Corps.
Senior Assurance Officer
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700-B Rockledge Drive
Suite 2500 / MSC 6910
Bethesda, Maryland 20892
Phone: 301.496.7163 (Main)
Phone: 301.451.4208 (Direct)
Fax: 301.480.3421
Email: thorntov@od.nih.gov

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