

DEPARTMENT OF HEALTH & HUMAN SERVICES

FOR US POSTAL SERVICE DELIVERY: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500, MSC 6910 Bethesda, Maryland 20892-6910 Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

January 6, 2020

PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR EXPRESS MAIL: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500 Bethesda, Maryland 20817 <u>Telephone</u>: (301) 496-7163 Facsimile: (301) 402-7065

Re: Animal Welfare Assurance #A3187-01 [OLAW Case 8T]

Mr. George A. Huber Vice Chancellor for Research Conduct and Compliance University of Pittsburgh ^{(b) (4)} Hieber Building 3500 Fifth Avenue Pittsburgh, PA 15213

Dear Dr. Huber,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 19, 2019 letter reporting a serious deviation from the provisions of the *Guide for the Care and Use of Laboratory Animals* at the University of Pittsburgh. According to the information provided, OLAW understands that one mouse died due to lack of food in the cage.

The corrective actions consisted of providing diet gel and food, placing the technician responsible on administrative leave, counseling the laboratory staff, retraining the laboratory staff on ensuring adequate food and water is in the cage following cage setup and weaning, having a laboratory member conduct weekly cage checks, having a senior technician assist with cage setup and weaning, and retraining all current and one new technician. A letter of reprimand was issued to the Principal Investigator and Executive Director of the animal care program.

Based on its assessment of this explanation, OLAW understands that measures have been implemented in this specific incident to address the problem. However, this Office remains concerned about the ongoing issue of animal deaths due to lack of food/water and we await the response to case A3187-8Q regarding program wide steps to be taken to prevent such problems. Thank you for informing OLAW about this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M. Deputy Director Office of Laboratory Animal Welfare

cc: IACUC Chair

A3187

Office of Research Protections

Sulte 401, Hieber Building 3500 Fifth Avenue Plttsburgh, PA 15213 412-624-2202 ghuber@pltt.edu orp.pltl.edu



George A. Huber, JD, MSIE, MSSM Vice Chancellor for Research Protections Professor of Health Policy and Management

December 19, 2019

Brent Morse, DVM, DACLAM NIH Office of Laboratory Animal Welfare Rockledge One, Suite 360 6705 Rockledge Drive – MSC 7982 Bethesda, MD 20892-7982

Dear Dr. Morse:

As the Institutional Official responsible for the University of Pittsburgh's Animal Care and Use Program (Assurance number D16-00118), I am writing to report an incident of non-compliance¹ that was reviewed by the University's Institutional Animal Care and Use Committee (IACUC) at its November 18, 2019 meeting.

On September 30, 2019, it was determined that one box of mice covered by the investigator's IACUC protocol was not provided with food. Immediately upon discovery, the mice were provided with diet gel and food. One mouse did not survive.

The University of Pittsburgh IACUC reviewed and accepted the investigator's corrective action plan (CAP), which consisted of the following items:

- 1. Consistent with University practice, the technician responsible for the cage was placed on administrative leave until an investigation has been completed.
- 2. The Principal Investigator discussed the noncompliance at a lab meeting on October 21, 2019.
- 3. Lab personnel were retrained on ensuring adequate food and water is provided to mice at the time of cage setup and weaning.
- 4. A lab member will perform weekly checks of all cages for adequate food and water.
- 5. A senior technician will assist with new cage setup and weaning.
- 6. A new technician will be trained with the assistance of a veterinary technician for animal care and handling issues.
- 7. Animal care technicians were retrained.

¹ PHS Policy IV.C.1.d

The investigator's research is supported by the following NIH grants:

- THE ROLE OF ACOD1 IN IMMUNOMODULATION IN INTRAPULMONARY KLEBSIELLA PNEUMONIAE INFECTION
- HOST CONTROL MECHANISMS AGAINST K. PNEUMONIAE INFECTION IN THE LUNGS
- MECHANISMS OF HOST PROTECTION AGAINST PATHOGEN-SECRETED
 PROTEASES IN ACUTE LUNG INJURY

The IACUC has levied the following sanctions:

1. A letter of reprimand was sent to the Principal Investigator, the Executive Director of the animal care program, and their supervisors regarding this incident of non-compliance. The letter has been placed in our files as evidence of the same.

Please do not hesitate to contact me if you have any questions or concerns.

Sincerely,

(b) (6)

George A. Huber

Morse, Brent (NIH/OD) [E]

From:	OLAW Division of Compliance Oversight (NIH/OD)
Sent:	Thursday, December 19, 2019 3:50 PM
To:	(b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Subject:	RE: Reportable Event Notice

Thank you for providing these final reports (b) (6) We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM Director Division of Compliance Oversight Office of Laboratory Animal Welfare National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From:

(b) (6)

Sent: Thursday, December 19, 2019 2:59 PM To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov> Subject: Reportable Event Notice

Please review the attached correspondence forwarded on behalf of George A. Huber, Vice Chancellor for Research Protections. Thank you.

