



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

December 9, 2019

Re: Animal Welfare Assurance
#A3187-01 [OLAW Case 8S]

Mr. George A. Huber
Vice Chancellor for Research Conduct
and Compliance
University of Pittsburgh
(b) (4) Hieber Building
3500 Fifth Avenue
Pittsburgh, PA 15213

Dear Dr. Huber,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 27, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Pittsburgh. According to the information provided, OLAW understands that mice failed to receive the post-surgical analgesia described in the approved protocol.

The corrective actions consisted of counseling the laboratory staff to perform all procedures as described in the protocol, having the laboratory staff become familiar with new procedures before performing them, issuing a letter of reprimand to the Principal Investigator (PI) and supervisor, placing the laboratory under enhanced post-approval monitoring, retraining the PI and staff on protocol adherence, and informing the investigator about use of data acquired under noncompliant conditions.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate, correct, and prevent recurrence of the noncompliance. We appreciate having been informed about this matter and find no cause for further action by this Office.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



George A. Huber, JD, MSIE, MSSM
 Vice Chancellor for Research Protections
 Professor of Health Policy and Management

Office of Research Protections

Suite 401, Hiebert Building
 3500 Fifth Avenue
 Pittsburgh, PA 15213
 412-624-2202
 ghuber@pitt.edu
 orp.pitt.edu

November 27, 2019

Brent Morse, DVM, DACLAM
 NIH Office of Laboratory Animal Welfare
 Rockledge One, Suite 360
 6705 Rockledge Drive – MSC 7982
 Bethesda, MD 20892-7982

Dear Dr. Morse:

As the Institutional Official responsible for the University of Pittsburgh's Animal Care and Use Program (IACUC) (Assurance number D16-00118), I am writing to report an incident discovered on September 24, 2019 wherein several boxes of mice covered by the investigator's IACUC protocol underwent surgical procedures in January 2019 but were not administered the post-operative analgesic specified in the protocol. The protocol stated that mice will receive buprenorphine twice a day for three days after surgery.

The University of Pittsburgh IACUC reviewed and accepted the investigator's corrective action plan (CAP), which consisted of the following item:

1. Laboratory staff will review the protocol to ensure that they understand which procedures are approved and exactly how to perform the procedures.
2. Prior to either beginning a new procedure or performing a procedure that has not been performed recently, individual staff will re-familiarize themselves with the protocol.

The investigator's research is supported by the following NIH grants:

5K01MH107735-04

EXCITATORY SIGNALING AND OXIDATIVE
 PHOSPHORYLATION ALTERATIONS IN
 SCHIZOPHRENIA

1R01MH120066-01

SEX-SPECIFIC DENDRITIC SPINE AND
 MICROGLIA PATHOLOGY IN DEPRESSION

Brent Morse, DVM, DACLAM
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The IACUC has levied the following sanctions:

1. A letter of reprimand was sent to the Principal Investigator and his/her supervisor regarding this violation. The letter has been placed in our files as evidence of a violation.
2. For a six-month period, the investigator's animal research activities will be subject to enhanced monitoring by the Compliance Officer.
3. The investigator and laboratory staff will undergo training on protocol adherence.
4. The investigator was reminded that any data collected from studies involving off-protocol work represents work not approved by the IACUC, and that said data cannot be used in support of grant applications or publications without disclosing this fact.

Please do not hesitate to contact me if you have any questions or concerns.

Sincerely,

(b) (6)

George A. Huber

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, November 27, 2019 1:25 PM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: University of Pittsburgh

Thank you for providing these reports (b) (6) We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Wednesday, November 27, 2019 12:58 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: University of Pittsburgh

Please review the attached correspondence forwarded on behalf of George A. Huber, Vice Chancellor for Research Protections. Thank you.

(b) (6)

