



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

August 3, 2020

Re: Animal Welfare Assurance  
A3472-01 [OLAW Case 5S]

Dr. David Russell  
Vice President and Dean of Basic Research  
University of Texas Southwestern Medical Center  
5323 Harry Hines Boulevard  
Dallas, TX 75390-9002

Dear Dr. Russell,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your July 17, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Texas Southwestern Medical Center following up on an initial February 27, 2020 notification by voicemail.

According to the information provided, this Office understands that the UT Southwestern Medical Center Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: unauthorized personnel. The final report states a laboratory member was observed performing euthanasia of mice. While this individual had completed all required IACUC training, they were not listed as approved personnel on the protocol. This determination was based on observations of the Senior IACUC Liaison on February 14, 2020. As a result, the Sr. IACUC Liaison counseled the principal investigator on February 17, 2020 and an amendment was submitted to add the laboratory member. The individual was added to the protocol on March 2, 2020.

It is noted that this research is supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Texas Southwestern Medical Center has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn  
T. Tubbs -S

Digitally signed by  
Jacquelyn T. Tubbs -S  
Date: 2020.08.03  
14:22:10 -04'00'

Jacquelyn T. Tubbs, DVM  
Animal Welfare Program Specialist  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Contact

A3472-55

**UT Southwestern**  
Medical Center

**David W. Russell, Ph.D.**  
Vice Provost and Dean of Research  
UT Southwestern Medical School  
Department of Molecular Genetics  
Eugene McDermott Distinguished Chair

July 17, 2020

Brent Morse, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, MD 20892

RE: UT Southwestern Medical Center Animal Welfare Assurance Statement # D16-00296  
Grant: 1R01NS100741-01A1

Dear Dr. Morse:

I write to provide a final report of non-compliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* regarding an incident in which a laboratory member who had completed all required IACUC training was observed performing euthanasia of mice but was inadvertently not listed as personnel on the approved protocol. This determination was based on observations made by a Sr. IACUC Liaison on February 14, 2020. This incident was first reported to you via voicemail message by an IACUC Manager on February 27, 2020.

The Sr. IACUC Liaison counseled the PI on February 17, 2020 and an amendment was submitted to add the laboratory member in question to the protocol. The laboratory member was added to the protocol on March 2, 2020.

We believe the actions taken by the IACUC are appropriate for this situation.

Yours sincerely,

(b) (6)

David W. Russell, Ph.D.  
Vice Provost, Dean of Basic Research, and Institutional Official

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Friday, July 31, 2020 7:39 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Report to OLAW

Thank you for this report, (b) (6) We will respond soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6)  
**Sent:** Wednesday, July 29, 2020 4:20 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** (b) (6) David  
Russell <David.Russell@UTSouthwestern.edu>  
**Subject:** Report to OLAW Ca

To the Division of Compliance Oversight,

Attached is a final report of non-compliance. Please let me know if you have any questions regarding this report.

(b) (6)

(b) (6)

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**UT Southwestern**

Medical Center

The future of medicine, today.